



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2796/2019-226

Dated: March 02, 2020.

By Courier Service

M-Securities Limited (DSE TREC # 044),
Room No-434. (3rd Floor),
9/E, Motijeel C/A, Dhaka-1000.

Attention: Managing Director/CEO

Sub: **WARNING to ensure compliance of all securities related laws in future.**

This refers to the commission's show cause-cum-hearing notice no. BSEC/Enforcement/2796/2019/427 dated August 29, 2019 based on which the hearing was scheduled on September 18, 2019.

The Commission, considering your explanation vide letter dated September 18, 2019 submitted at the time of hearing, has decided to dispose of the proceedings against M-Securities Limited (DSE TREC # 044) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission

[Signature]
02.03.2020

Hafiz Mohammed Harunur Rashid

Deputy Director (Enforcement)

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