



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

জীবন বীমা টাওয়ার (১৫, ১৬, ১৭ ও ২১তম তলা), ১০ দিলকুশা বা/এ, ঢাকা-১০০০, বাংলাদেশ।

No. BSEC/Enforcement/2298/2016/306
July 21, 2016

By Courier Service

MABS & J Partners.
Chartered Accountants
21 Purana Paltan Line (4th and 7th Floor)
Dhaka-1000.

Sub: Non-compliance with securities related laws in connection with the audited financial statements of GlaxoSmithKline Bangladesh Limited for the year ended on December 31, 2014. Warning.

This refers to the Commission's show cause cum hearing notice BSEC/Enforcement/2298/2016/190 dated May 9, 2016 based on which the hearing was conducted on June 9, 2016.

The Commission, considering the explanation vide letter Ref: G-813/MABS&J(B)/2015-16/515 dated June 9, 2016 submitted at the time of hearing, has decided to dispose of the proceedings against you and others concerned by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the said default.

For Bangladesh Securities and Exchange Commission


21.07.2016
Mohammad Siddiqur Rahman
Deputy Director

Copy for information:

Managing Director, Dhaka Stock Exchange Ltd.
Managing Director, Chittagong Stock Exchange Ltd.
Executive Director (CFD), BSEC
Executive Director (MIS), BSEC
Chairman's Office, Bangladesh SEC
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