

Av#`k

th#nZ, Kigkb, GgGGBP mmiKDiiUR wjgUW (WGMb m`m` bs-13) tK Zvi Av#e`bμ#g, wbaWiZ kZvax#b mmiKDiiUR μq weμq KgKvÊ cwiPvjv Kivi Rb" mmiKDiiUR I G · #PÄ Kigkb (AZ:ci ÔKigkbô e#j D#j-LZ) mmiKDiiUR I G · #PÄ Kigkb AvBb, 1993 (1993 m#bi 15 bs AvBb) Gi 10(1) G c`Ë ¶lgZve#j mmiKDiiUR I G · #PÄ Kigkb (÷K-Wjvi, ÷K-tevKvi I Ab#gW`Z cwiZib#a) weagvjv, 2000 Gi wea 5(5) mn ciVZ ÷K-tevKvi tiR#ók b mmiUd#KU c`vb K#i#Q;

th#nZ, Kigkb Zvi Av#`k bs SEC/SRI/97-466/246 dated August 05, 2010 Gi gva`tg GgGGBP mmiKDiiUR wjgUW (WGMb m`m` bs-13) G cwi`k#bi Rb" GKU KigU MVb K#i Ges D<sup>3</sup> cwi`kb KigUi `wLjKZ cwiZ#e`b Abhvqx findings and contraventions wbaic:

"It appears to us, as per the company's statement, company has converted the complainants account no. 3169 (BO Account # 1204120015072656) in to margin account from cash without their consent or any written agreement between the company and the client, which is a clear violation of Para 2 of the Margin Rules 1999."

Contravention: Through the aforesaid activities MAH Securities Ltd. has violated the rule 3(2) of Margin Rules, 1999.

th#nZ, Kigkb Dc#iWjLZ cwiZ#e`b Abhvqx mmiKDiiUR AvBb cwi cvj#b e`_Zvi `i"b GgGGBP mmiKDiiUR wjgUW Gi eive#i GKU show-cause-cum-hearing notice mÎ bs-SEC/Enforcement/804/2009/800 ZwiL 24 b#f#i 2010 Bs tciY K#i, 06 W#m#i 2010 Bs Zwi#L kbvxi w`b avh" K#i Ges avh"KZ Zwi#L D³ tevKvi/Wjvi Gi e`e`vcbv cwiPvjK Dc#Z n#q cÎ bs MAH/M-13/SEC/12/2010/101 ZwiL 06 W#m#i 2010 Bs Gi gva`tg wjLZ e<sup>3</sup>e" `wLj K#i | D³ c#Î Ab`v#b"i g#a", wbb#i wElq, #jv D#jL Av#Q:-

"3. In respect of the statements made in paragraph 4 of the notice it is stated that account No. 3169 (BO Account No. 1204120015072656) has been settled-up by the negotiation of Securities and Exchange Commission so the matter can not be re-opened.

4. In respect of the statements made in paragraph 4 of the notice it is stated that the account No. 3169 has already been settled-up with the full satisfaction of the client with the negotiation of the SEC and thereafter no transaction is made so there is no scope to violate any provision of Securities and Exchange Ordinance, 1969.

Wherefore it is humbly prayed that your honour would be pleased to accept our reply and the matter may kindly be dropped out being settled-up earlier and we will be grateful."

Pjgvb cÔv-2

#h#nZ, GgGGBP #m#KE#i#UR #j#g#UW Dc#iv³ e³e" #_#K cZxqgvb nq th, Dc"wcZ Awf#hMmgn m#VK #m#nZ G#¶#Î GgGGBP #m#KE#i#UR #j#g#U#Wi e³e" K#gk#bi #bKU Mnb#hM" #e#e#PZ nq#b| ZiQWw Awf#h#Mi #el#q K#gk#bi ms#k#li #K#b cgY #b#b#Z `wLj Kiv nq#b Ges #jb#`b #kl #M#j AvB#bi jsNb gvRbv nq bv ;

#h#nZ, GgGGBP #m#KE#i#UR #j#g#UW Dc#iv³ KgKv#Ûi d#j Rule 3(2) of Margin Rules, 1999 fsm K#i#Q, hv #m#KD#i#UR AvB#bi c#icšx;;

#h#nZ, GgGGBP #m#KD#i#UR #j#g#UW Gi Dc#iv³ KgKv#Û Securities and Exchange Ordinance, 1969 Gi section 22 Abhvqx kw" #hM" Aciva;

#h#nZ, K#gk#bi #e#Pbvq, #m#KD#i#UR AvBb c#icv#j#b D#j#LZ e" _Zvi Rb", Z_v c#RevRv#ii Dbq#bi cvkv#wk evRv#ii ksLjv I "QZv i ¶vi "v#_ D³ tevKvi/#Wjvi #K R#igbv Kiv c#qvRb I mgxPxb;

AZGe, #m#nZ, K#gkb, D#j#LZ hveZxq #elq #e#PbvceK, Securities and Exchange Ordinance, 1969 (Ordinance No. XVII of 1969) Gi section 22 [hv The Securities and Exchange (Amendment) Act, 2000 Øviv ms#k#v#aZ] G c`Ë ¶lgZve#j GgGGBP #m#KD#i#UR #j#g#UW (#WGmB m`m" bs-13) Gi Dci 1 (GK) j¶ UvKv R#igbv avh" Kij hv AÎ Av#`#ki ZwiL n#Z 15 (c#bi) #`#bi g#a" Øm#KD#i#UR I G · #PÄ K#gkb# Gi AbK#j Bm"KZ e"vsK Wvdu/#c-AWv#ii gva"tg K#gk#b Rgv Ki#Z n#e;

#m#KD#i#UR I G · #PÄ K#gk#bi Av#`kµtg,

#gv: #RqvDj nK #Lv`Kvi
#Pqvig"vb

#eZibt

GgGGBP #m#KE#i#UR #j#g#UW

#WGmB G#b · #e# #s

9/B, g#Z#Sj ev/G (2q Zjv)

i#g bs-429/430

XvKv-1000|

GgGGBP mKEiUR jgUW
WGmB Gtb· weis
9/B, gZSj ew/G (2q Zjv)
i"q bs-429/430
XvKv-1000|

weiq: Avt`k|

Kvgktbi 10 tde"qvix 2011 Bs ZwiLi Avt`k bs GmBm/GbtdvmtgU/804/2010/ 89 Gi mZ'wqZ Abjic Avcbvi
AeMz I ctqvRbxq e'e^{-v} Mnbtbi Rb" GZ`msM msh³ Kiv ntjv|

mKDiiUR I G· tPA Kvgktbi ct|

gxi tgvkiid tnvtmb tPaiix
ciPvjK

weZibt

cÖb weoxKgKZ© XKvóK G· tPA ywgUW
cÖb weoxKgKZ© PAMö óK G· tPA ywgUW

AeMzi Rb" Abjic:

- 1| wevnx ciPvjK, mvi t fBj"vY, GmBm
- 2| wevnx ciPvjK, AvBb, GmBm
- 3| ciPvjK, GgAvBGm, GmBm
- 4| tPqvig"vb gtnv`tqi`Bi, GmBm