



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2743/2019-559

Date: October 22, 2019

By Courier Service

MTB Capital Limited
MTB Tower (Level-3)
111 kazi Nazrul Islam Avenue
Bangla Motor, Dhaka-1000.

Sub: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's show cause cum hearing notice no. BSEC/Enforcement/2743/2019-430 dated September 01, 2019 based on which the hearing was conducted on September 18, 2019.

The Commission, considering the accused apology and written explanation vide letter no-MTBCL/HO/BCD/2019/0391 dated September 18, 2019-which was submitted at the time of hearing, has decided to dispose off the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by the accused with a **Warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve MTB Capital Limited, its Directors, Managing Director, Company Secretary and any other involved from their lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


22.10.19

Md. Rafiqunnabi
Assistant Director
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Copy for information:

- ✓ 1. Honorable Chairman's Office, BSEC
- ✓ 2. Commissioner (Enforcement), BSEC
- ✓ 3. Executive Director (CI), BSEC
- ✓ 4. Executive Director (R&D), BSEC
5. Office file