



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

BSEC/Enforcement/2302/2016/400

Date: September 07, 2016

By Special Messenger

MTB Securities Limited
WW Tower (Level 4)
68 Motijheel C/A
Dhaka-1000

Karunesh Chandra Saha, Client Code: 91063
SK. Md. Daulatana, Client Code: 90492 and 91190
Bishwajit Das, Client Code: 5367
Client of MTB Securities Limited

Attention: Managing Director/CEO

Sub: Non-compliance of securities laws: -Warning.

This refers to the Commission's show cause cum hearing notice no. **BSEC/Enforcement/2302/2016/199** dated May 15, 2016 based on which the hearing was conducted on June 19, 2016.

The Commission, considering the explanation vide letter dated June 19, 2016, has decided to dispose of the proceedings against MTB Securities Limited & the aforementioned clients of MTB Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission.

Md. Mustafizur Rahman
Assistant Director

Copy for information:

Executive Director (Surveillance), BSEC
Executive Director (R&D), BSEC
Chairman's Office, BSEC