

Av#`k

#h#nZ, Kigkb, Gg mmiKDiiUR wjwg#UW (WGMb m`m" bs-44) tK Zvi Av#e`bμtg, wawiz kZvax#b mmiKDiiUR μq weμq KgKvÊ cwiPvjby Kivi Rb" mmiKDiiUR I G · #PÄ Kigkb (AZ:ci ÔKigkbô e#j DvjLZ) mmiKDiiUR I G · #PÄ Kigkb AvBb, 1993 (1993 m#bi 15 bs AvBb) Gi 10(1) G c`Ë ¶gZve#j mmiKDiiUR I G · #PÄ Kigkb (÷K- Wjvi, ÷K-#evKvi I Ab#gwi`Z cwiZibia) weagvjv, 2000 Gi weia 5(5) mn ciVZ ÷K#evKvi/Wjvi tiwR#ók b mwiUd#KU c`vb K#i#Q;

#h#nZ, Kigkb Gi Av#`k bs SEC/SRI/99-762/271 dated September 15, 2010 Gi gva"tg Gg mmiKDiiUR wjwg#UW (WGMb m`m" bs-44) G cwi`k#bi Rb" GKiu Kigui MivZ nq Ges D<sup>3</sup> cwi`kb Kigui `wLjKZ cwiZ#e`b Abhvqx findings and contraventions wbaic`c:

"M. Securities Ltd. has reported that they have extended credit facilities to its 891 clients totaling Tk. 2,78,07,085.00 without written margin agreement between M. Securities Ltd. and the clients as on 31 August 2010. The company also reported that after inspection they have squeezed credit facility and as on 10 October 2010 it has been reduced to total Tk. 30,67,347.00 to only 511 clients.

Contravention: Through the aforesaid activities, M. Securities Ltd. violated Rule 3(2) of Margin Rules, 1999."

#h#nZ, Kigkb Dc#iwjwLZ cwiZ#e`b Abhvqx mmiKDiiUR AvBb ciwcvj#b e"Zvi `i"b Gg mmiKDiiUR wjwg#UW Gi eive#i GKiu show-cause-cum-hearing notice m# bs-SEC/Enforcement/588/2007/06 ZwiL 05 Rvbqvix 2011 Bs tciY K#i Ges 16 Rvbqvix 2011 Bs Zwi#L kbvxi w`b avh" K#i Ges avh"KZ Zwi#L D<sup>3</sup> tevKvi/Wjvi Gi gnve"vcK Dc#Z n#g 16 Rvbqvix 2011 Bs Zwi#Li c#i giagtg wjwLZ e<sup>3</sup>e" `wLj K#ib| hv#Z Abv#b"i g#a", wbtgv³ weiq, wji D#jL Av#Q:-

" Dc#iv³ weiq webx wbt#e`b GB th, Avgiv Kigk#bi mKj Av#`k wbt`k gvb" Kivi Rb" mKj t¶t# m#Pó \_wK| Avgv#`i Øviv msMivZ fj mgn wZv#B fj μtg ev Aw"QvKZ fvt# n#g#Q, Kigk#bi tKvb cKvi i"j fv#qv#jkb Kivi gvbwmKZvq Zv msMivZ nq bvB| Avgiv memgq Kigk#bi AvB#bi cwiZ kxvkxj|

Avcbvi wPiv#Z D#jwLZ Awf#hv#Mi Rev#e webxZfv#e Rvb#Z Pvb th Avgv#`i nvD#Ri mKj e#Kqv gwRb einfZ wQj bv, tek wKQ msL`K MvnK#`i hvnviv wbgwZ tjb#`b K#i Zvrv#`i gwRbPw<sup>3</sup> i#g#Q, GQvov wKQ wnmv#e wnmve tLvjvi mgq di#g gwRb N#i wUK w`#q gwRb w#ó Kiv nq| G weiq mib`ó tKvb dig#U G chš tKv\_yI n#Z Avgiv msMn Ki#Z cwiw| cieZ#Z G weiq BÝ#cKkb wU#gi wbt`kbv Abhvqx Avgiv `Z e"v MnY Kvi| GQvov Avgv#`i ceZb wmbI Gi Ae"vcvbi Kvi#b Avgv#`i tek wKQ Mvn#Ki gwRb einfZ e#Kqv i#g hvq| hvi Rb" cieZ#Z Zv#K mvm#cû Kiv nq|

Avgv#`i tm mg" MvnK hv#`i tkqvi Av#Q A_P tjb#`b bvB H mg" MvnK tKv#W ewIK bevqb wd Gi UvKv tWieu Kivq GKiu eo As#Ki UvKv e#Kqv t`LvB#Z#Q| GLv#b D#jL th, Avgv#`i MvnK#`i wmsnfvm ¶` weib#qMKvix Ges Avgv#`i cvq 28,000 GKvDvU i#g#Q hv#`i 300 t_K 10,000 UvKv chš weifb Kvi#b e#Kqv n#g hvq| hv gwRb i#g i

AvlZvq Avbv mæ nq bvB GQvov Avgv#`i mePvB#Z eo mgm`v WQj e`vKAwdm mdUlqv#i| hvi Kvi#Y Avgiv MvnKM#bi e#Kqv Zvr¶WbK (GU G #MY) Rvb#Z cviZvg bv hvi d#j tek WQ MvnK #Kv#W e#Kqv n#q hvq|

GLb Avgiv bZb e`vKAwdm e`envi KiWQ| hv#Z K#i Kvgk#bi Pwv`v Abhvqx KaúvqY Ki#Z cwi #m Abhvqx hveZxq e`e`v #bvq n#q#Q|

eZgv#b Avgiv HmKj Mvn#Ki #KwW mgn gwRb #jv#bi AvlZvq Wb#q G#mWQ| Aí mg#q Avgiv tek AMM#Z jvf Kwi hv Avcbv#`i WvV#ZB D#jL Av#Q| eZgv#b Avgiv evKx, Wj l gwRb i#j i AvlZvq Avbv m#úB K#iWQ|

cwi#k#l Avcbv#K AvK` Kwi#Z PvB #h GB e#Kqv #Kvbg#ZB Amr D#i#k` b#n, WbZv#B AmZKZvi Kvi#b msNwUZ n#q#Q|

Bnvi Rb` Avgiv AvšwiKfv#e `t#LZ Ges ¶gvcv_x, f#el`#Z GB ai#bi Awbqg hwnv#Z Avi bv N#U Zvnyi Rb` mZK_vKvi Aw#Kvi KiWQ|”

#h#nZ, Gg WmKDwiUR Wjg#UW Gi WjW LZ e³e` #\_#K m`úo cZxqgvb nq #h, #bvbx i #bwU#ki Awf#hWmgn Gg WmKDwiUR Wjg#UW WxKvi K#i#Q hv WmKDwiUR AvBb c#icv#b e`\_Zv weavq section 22 of the Securities and Exchange Ordinance, 1969 Abhvqx kvW`#hW` Aciva| hv #Kvbfv#eB ¶gW Kiv hvq bv;

#h#nZ, Kvgk#bi we#ePbvq, WmKDwiUR AvBb c#icv#b D#jW LZ e`\_Zvi Rb`, Z_v cWRevRv#i i Dbq#bi cvkvcvk evRv#i i ksLjv l W`QZv i¶vi W`_D³ tevKvi/Wjvi #K R#igvWv Kiv c#qvRb l mgxPxb;

AZGe, #m#nZ, Kvgkb, D#jW LZ hveZxq weLq we#ePbvceK, Securities and Exchange Ordinance, 1969 (Ordinance No. XVII of 1969) Gi section 22 [hv The Securities and Exchange (Amendment) Act, 2000 Øviv ms#kwaZ] G c`È ¶gZve#j mv` WmKDwiUR Wjg#UW (WGMb m`m` bs-118) Gi Dci 1 (GK) j¶UvKv R#igvWv avh` Kij hv AÍ Av#`#ki ZwiL n#Z 15 (c#bi) W`#bi g#a` ØWmKDwiUR l G·#PÄ Kvgkb Gi AbK#j Bm`KZ e`vK Wwdu#c-AWv#i i gva#g Kvgk#b Rgv Ki#Z n#e|

WmKDwiUR l G·#PÄ Kvgk#bi Av#`kμ#g,

#gv: WQvDj nK #Lv`Kvi
#Pqig`vb

weZibt

Gg WmKDwiUR Wjg#UW
i#g bs-434 (4_Zjv)
9/B gWZvSj ev/G
XvKv-1000|

Gg mKDiiUR vjwgUW
i"g bs-434 (4_ Zjv)
9/B gZSj ev/G
XvKv-1000|

elq: Av`k|

Kgkbi 28 tdeqvix, 2011 Bs Zvilti Av`k bs GmBm/GbtdvmtgU/588/2007/122 Gi
mZ"vqZ Abjic Avcbi AeMz I ctqRbxq e"e"v Mnbi Rb" GZ`msM msh³ Kiv ntjv|

mKDiiUR I G · tPÄ Kgkbi ct

gxi tgvviid tnvmb tPaiX
cviPvjK

ezibt

cÖb we@xKgKZ©XKvóK G· tPÄ ywgUW

cÖb we@xKgKZ©PAMö óK G· tPÄ ywgUW

AeMzi Rb" Abjic:

- 1| bevnx cviPvjK, mvi tBj"vY, GmBm
- 2| bevnx cviPvjK, AvBb, GmBm
- 3| cviPvjK, GgAvBGm, GmBm
- 4| cviPvjK, GmAviAvB, GmBm
- 5| tPqigvb gtnv`tqi `Bi, GmBm