



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন
সিকিউরিটিজ কমিশন ভবন
ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭
www.sec.gov.bd



SEC/Enforcement/2364/2016/710
Date: October 04, 2020

By Courier service

M- Securities Ltd.
DSE TREC No # 044
Room # 434 (3rd Floor),
9/E, Motijheel C/A, Dhaka-1000

Attention: Managing Director/CEO

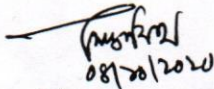
Sub: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2364/2020/288 date March 18, 2020 based on which the hearing was conducted on September 14, 2020.

The Commission, considering the explanation vide letter dated September 14, 2020 submitted at the time of hearing, has decided to dispose of the proceedings against M-Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission


08/10/2020

Md. Mustafizur Rahman
Assistant Director
Email-mustafiz@sec.gov.bd
Cell-01720169842

Copy for information:

1. Executive Director (SRI), BSEC
2. Executive Director (MIS), BSEC
3. PS to Chairman, BSEC
4. Managing Director, Dhaka Stock Exchange Ltd.

“মুজিববর্ষের অঙ্গীকার
উন্নয়ন-অর্থায়নের উৎস হবে পুঁজিবাজার”