



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন  
সিকিউরিটিজ কমিশন ভবন  
ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭  
www.sec.gov.bd



BSEC/Enforcement/2737/2019/২৩৫

Dated: October 05, 2020

By Special Messenger

M- Securities Limited  
DSE TREC Holder # 44  
Room # 434 (3<sup>rd</sup> floor)  
9/E, Motijheel C/A  
Dhaka-1000.

Attention: Managing Director/CEO

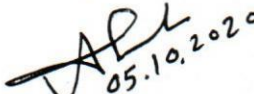
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2737/2019/245 dated May 05, 2019 based on which the hearing was conducted on 22.05.2019.

The Commission, considering your explanation vide letter dated 21.05.2019 and subsequent letter dated 30.06.2019 has decided to dispose of the proceedings against M- Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

  
05.10.2020  
Mohammad Al Masum Mirdha  
Deputy Director (Enforcement)  
Phone : 55007147  
Email: almasum@sec.gov.bd  
Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.

“মুজিববর্ষের অঙ্গীকার  
উন্নয়ন-অর্থায়নের উৎস হবে পুঁজিবাজার”