



BANGLADESH SECURITIES AND EXCHANGE COMMISSION
Securities Commission Bhaban
E-6/C Agargaon, Sher-e-Bangla Nagar
Administrative Area, Dhaka 1207, Bangladesh



BSEC/Enforcement/2659/2018/২৪৩

Date: October 21, 2020

By Courier Service

M-Securities limited
(DSE TREC # 044)
9/E, Motijheel C/A
Dhaka-1000

Subject: Warning to ensure compliance of all Securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2659/2018/24 dated -10.01. 2019 based on which the hearing was conducted on January 28, 2019.

The Commission, considering the explanation vide letter dated January 28, 2019 submitted at the time of hearing, has decided to dispose of the proceedings against M-Securities limited by placing on record the Commission's dissatisfaction on the default/contravention made by you and your associates with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

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Copy for information:

Executive Director (Surveillance), BSEC
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“মুজিববর্ষের অঙ্গীকার উন্নয়ন-অর্থায়নের উৎস হবে পুঁজিবাজার”

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