

April , 2012

Registered

Page 1 of 2

Order

Whereas, the Securities and Exchange Commission issued Authorized Representative Registration Certificate to Mohammad Al Amin under aviv 10(1) of the םימלכדי םיזרע ן ג ן פא קיגכב אבב, 1993, read with םיא 5 (5) of the םימלכדי םיזרע ן ג ן פא קיגכב (÷K- ןWjvi, ÷K-׳evKvi I Abtgv`Z ciZibia) םיאגvjv, 2000, to conduct securities trading related activities under certain terms & conditions stipulated in the said certificate;

Whereas, on the basis of the enquiry report of Securities and Exchange Commission a show cause-cum-hearing notice No. SEC/Enforcement/827 /2010/387 dated June 28, 2011 was issued to Mohammad Al Amin to appear at the hearing on the July 14, 2011. In the show cause-cum-hearing notice among others following were mentioned;-

“Whereas, as per םיא 11 and ןDZxq Zdimtj םיYZ ÷K ןWjvi, ÷K ׳evKvi I Abtgv`Z ciZibia׳ i AvPiY םיא 1 and 6 of םימלכדי םיזרע ן ג ן פא קיגכב (÷K ןWjvi, ןK ׳evKvi I Abtgv`Z ciZibia) םיאגvjv, 2000

“c׳Z׳K ÷K ןWjvi, ÷K ׳evKvi Ges Abtgv`Z ciZibia m׳ev״P mZZv I םek`Zvi minZ Zvrvv KvRKg ciiPvjbv Kii׳eb Ges AvBb, Aa׳׳k, GB םיאגvjv Ges ןDZxq Zdimtj םיאZ AvPiY םיאמנ ןbeÜb mb׳`i mKj kZvejx hv_vh_fv׳e cvjb Kii׳eb|”

“c׳Z׳K ÷K ןWjvi, ÷K ׳evKvi I Abtgv`Z ciZibia׳K Zvrvv e׳emv I GZ`msµvš Kvhw` ciiPvjbv ׳׳׳׳ mbvg, `׳׳Zv, םek`Zv I mZZv eRvq i׳׳L׳Z nB׳e|”

“׳Kvb ÷K ןWjvi, ÷K ׳evKvi ev Abtgv`Z ciZibia ןbR `v_ ןm׳x i Rb׳ ׳Kvb Ac׳K׳k׳j i Avkq jB׳Z c׳i׳eb bv, Kvrv׳Kl VKv׳bv ev ceÂbvi D׳׳׳k׳ ׳Kvb ׳jb׳׳b Kii׳Z c׳i׳eb bv ev ןbR `v_ ןm׳x i D׳׳׳k׳ A_ev eRv׳ii fvimg׳ ןeb׳ Kivi D׳׳׳k׳ ׳Kvb ,Re QovB׳Z ev Kvµg ciiPvjbv Kii׳Z c׳i׳eb bv|”

Whereas, Commission received a letter dated March 12, 2009 from CMSL Securities Limited. They requested the Commission to cancel Authorized Representative Registration Certificate of Mohammad Al Amin for misusing the Company’s fund. On the basis of their allegation an enquiry was conducted by Securities and Exchange Commission.

Whereas, the enquiry officer among others reported the following :-

“ j•Nbt

(K) CMSL Securities Limited Gi gva׳tg Abtgv`Z ciZibia׳i mb` c׳v Ges ןm׳bqi A׳dmvi ןm׳׳e KgiZ Ae`vq D׳ Abtgv`Z ciZibia Rb׳e tgv Avj A׳vgb Zvi ׳v׳v ciiP׳׳jZ (׳x ןg׳mm Z׳vbqv g׳׳jK Gi b׳tg) ןm׳׳e bs 08333 Gi gva׳tg msM׳VZ ןe׳fb A׳bqg (A׳׳_K I Ab׳׳b) I AmsM׳Zi K_v `xKvi K׳i׳Qb| hv׳Z D׳ Abtgv`Z ciZibia Rb׳e tgv Avj A׳vgb `xq `v׳׳_ AmZZv I ןbR `v\_ ןm׳x i Rb׳ Ac׳K׳k׳j c׳q׳M K׳i׳Qb| D׳ Ac׳K׳k׳j Ges AmZZv ןm׳לכדי םיזרע ן ג ן פא קיגכב (÷K ןWjvi, ןK ׳evKvi I Abtgv`Z ciZibia) םיאגvjv, 2000 Gi םיא 11 (mb` c׳v e׳׳׳ i KZe׳) Gi ןDZxq Zdimtj םיאZ AvPiY ןe׳a׳i Ab׳׳Q` 1 Ges 6 Gi m׳b׳׳׳׳׳׳ j•Nb|

(L) CMSL Securities Limited Gi gva׳tg Abtgv`Z ciZibia׳i mb` c׳v Ges ןm׳bqi A׳dmvi ןm׳׳e KgiZ Ae`vq D׳ Abtgv`Z ciZibia Rb׳e tgv Avj A׳vgb Zvi ׳v׳v ciiP׳׳jZ (׳x ןg׳mm Z׳vbqv g׳׳jK Gi b׳tg) ןm׳׳e bs 08333 Dc׳iv׳׳ םיא e׳nfZ f׳׳e ciiP׳׳bv K׳i׳Qb| GQ׳ov I ןKq׳is GK׳D׳U i ן׳׳Z m׳׳b׳x ןe׳b׳q׳MKvixM׳Yi ׳kqvi/CMSL Securities Limited Gi ׳kqvi ןb׳R ciiP׳׳jZ ןe. I. ןm׳׳e U׳Ydvi K׳i׳Qb, hv GK׳U R׳׳j׳q׳Z ev ׳P׳׳e׳ R׳bZ KvR| Abtgv`Z ciZibia ev ןm׳bqi A׳dmvi (׳׳ic) ןm׳׳e KgiZ ׳Kvb e׳׳׳ i ןbKU ׳׳׳K G׳nb KvR ׳Kvb f׳׳eB Mnb׳׳hM׳ b׳n| GQ׳ov I ןKq׳is ןm׳׳e׳i ׳kqvi ןbR ןm׳׳e U׳Ydvi K׳i ןe׳fbmg׳q ׳q-׳e׳q K׳i ׳gv 2,25,000/- U׳Kv A׳Zm׳r ev A׳e׳a f׳׳e D׳E׳vb K׳i׳Qb e׳j cZ׳qgvb n׳׳Q׳.”

SEC/Enforcement/827/2010/

April , 2012

Whereas, he neither appeared at the hearing nor submitted any explanation against the show cause notice.

Whereas, according to enquiry report, he has violated § 11 (mb` cß e`³ i KZe") Gi ØZiq Zdımþj §eaZ AvPiY §ealüi Abþ"Q` 1 Ges 6.

Whereas, the aforesaid activities are tantamount to non-compliance of securities law, deliberate and clear contravention of the above mentioned § 11 and AvPiY § 11. Therefore the said non compliance attracts penal provision of § 12 of the ঃমিKDiiUR I G · þPÄ Kıgkb (÷K-ıWjvi, ÷K-þeiKvi I Abþgı`Z cıZıbia) §eıagvjv, 2000;

Whereas, to protect the discipline and transparency of the capital market along with it's development the Commission deems it appropriate and necessary to impose penalty upon Mohammad Al Amin for the aforesaid violation.

Now, therefore, Securities and Exchange Commission, in exercise of the power conferred by § 12 of the ঃমিKDiiUR I G · þPÄ Kıgkb (÷K-ıWjvi, ÷K-þeiKvi I Abþgı`Z cıZıbia) §eıagvjv, 2000 hereby cancels Authorized Representative Registration Certificate no. GmBım/Ac /ıWGmB /2008/862, issued on March 24, 2008 to Mohammad Al Amin . This shall have immediate effect.

By order of the Securities and Exchange Commission

Professor Dr. M. Khairul Hossain
Chairman

Distribution:

Mohammad Al Amin
House No.48, Road No.14
D.I.T Project
Merul, Badda
Dhaka