evsjv‡`k wmwKDwiwUR A¨vÛ G · ‡PÄ Kwgkb
Rxeb exgv Uv Iqvi (15, 16, 17 I 21 Zjv)
10 w`jKkv ev/G, XvKv-1000, evsjv‡`k

SEC/Enforcement/1070/2013/170
March 07, 2013

Registered with A/D
Phone No. 01730358001

Md. Ariful Haque Mallick
(‡gv: Awidj nK gwjK)
Ex-Head of Retail Sales
BRAC EPL Stock Brokerage Limited
House # 216, Road # 02, Mohammodia Housing Society
Mohammadpur, Dhaka-1207

Sub: Non-compliance of securities related laws: Warning.

This refers to the Commission's hearing Notice No. SEC/Enforcement/1070/2013/129 dated February 06, 2013 based on which the hearing was conducted on February 25, 2013.

The Commission, considering the explanation vide letter dated February 25, 2013 has decided to dispose of the proceedings against Mr. Md. Ariful Haque Mallick (‡gv: Awidj nK gwjK) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the said default.

For Bangladesh Securities and Exchange Commission

Md. Hossain Khan
Deputy Director

Copy for information:
Chief Executive Officer, Dhaka Stock Exchange Ltd.
Chief Executive Officer, Chittagong Stock Exchange Ltd.
Commissioner (Enforcement), SEC
Executive Director (Surveillance), SEC
Executive Director (MIS), SEC
Chairman's Office