

Bangladesh Securities and Exchange Commission
Securities Commission Bhaban
E-6/C, Agargaon, Sher-e-Bangla Nagar Administrative Area
Dhaka-1207, Bangladesh

BSEC/Enforcement/2603/2018/553
Dated: October 20, 2019

By Courier Service

Md. Fakhrul Islam Securities Ltd.
DSE Membership No.90
PBL (Project Builders Limited) Tower (7th, 8th & 13th floor)
39 (Old), 17 (New), Gulshan North C/A
Gulshan-02, Dhaka - 1212.
Phone: 88-02-9892937, Fax: 88-02-8824624, 8313545.

Attention: Managing Director/CEO

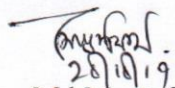
Sub: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2603/2018//80 dated February 14, 2019 based on which the hearing was conducted on February 02, 2019.

The Commission, considering the explanation vide letter no- FIS/BSEC/2019/382 dated February 27, 2019 which was submitted at the time of hearing, has decided to dispose of the proceedings against Md. Fakhrul Islam Securities Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission



Md. Mustafizur Rahman
Assistant Director (Enforcement)
Phone-02-55007131-32
e-mail- mustafiz@sec.gov.bd

Copy for information:

Managing Director, Dhaka Stock Exchange Ltd.

Executive Director (SRI), BSEC

Executive Director (MIS), BSEC

Chairman's Office, BSEC