



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

BSEC/Enforcement/1127/2013/32

Date: January 16, 2017

By Special Messenger

Midway Securities Limited
DSE Trec no- 142
Room # 508, Stock Exchange Building,
9/F, Motijheel C/A, Dhaka - 1000.

Attention: Managing Director/CEO

Subject: Non-compliance of securities related laws: Disposal of the alleged matter.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/1127/2013/458 dated-November 27, 2016 based on which hearing conducted on December 15, 2016.

The Commission, considering your explanations/submissions vide letter dated December 15, 2016 has decided to dispose off the proceedings against Midway Securities Limited.

Please note that this disposal does not absolve you or any of its directors or officials concerned from their lawful responsibilities/obligations to the persons, if any, affected as a result of your above matters under reference.

For Bangladesh Securities and Exchange Commission.

Md. Mustafizur Rahaman
Assistant Director

Copy for information:

Chief Executive Officer, Dhaka Stock Exchange Ltd.

✓ Executive Director (R&D), BSEC

Executive Director (Surveillance), BSEC

Chairman's Office, BSEC