

Bangladesh Securities and Exchange Commission

Jiban Bima Tower, 10 Dilkusha C/A, Dhaka-1000, Bangladesh
Phone (PABX): 0088-02 9568101-2, 0088-02 9561525, Fax: 0088-02 9563721, 717660

SEC/Enforcement/947/2011/324
May 30, 2013

By Special Messenger

Mr.Md. Mojibul Haque Chowdhury
Client of Shyamol Equity Management Limited
DSE Annex Building (2nd floor)
Room No.319
9/E, Motijheel C/A
Dhaka-1000.

Subject: Review: Non-compliance of Section 17 (e) (v) of Securities and Exchange Ordinance, 1969 in connection with unusual trading in shares of Legacy Footwear Limited

Dear Sir,

This refers to your appeal dated February 06, 2013 and the Commission's Penalty Order No. SEC/Enforcement/947/2011/55 dated January 27, 2013.

The Commission has considered your review petition under section 26 of the Securities and Exchange Ordinance, 1969 and revised the penalty amount from Tk.2.00 (Two) lac to Tk.1.00Lac in the penalty order no. SEC/Enforcement/947/2011/55 dated January 27, 2013. You are requested to deposit the penalty within seven days from the issuance of this letter

For Bangladesh Securities and Exchange Commission

Mustari Jahan
Deputy Director (Enforcement Dept.)

Distribution:

Chief Executive Officer, Dhaka Stock Exchange Limited
Chief Executive Officer, Chittagong Stock Exchange Limited
Chief Executive Officer, Shyamol Equity Management Limited (with a request to send the copy of the letter to Mr.Md. Mojibul Haque Chowdhury, a client of Shyamol Equity Management Limited, Client code –CA 0690)

Copy for information:

P.O to Commissioner (Enforcement), SEC
P.O to Executive Director (Surveillance), SEC
P.O to Executive Director (MIS), SEC
Chairman's office, SEC