

BSEC/Enforcement/2181/2014/649
Dated: October 28, 2014

By Courier Service

Muntaha Shares & Capital Ltd
C&F Tower (5th Floor)
1222, S.K Mujib Road, Agrabad
Chittagong.

Attention: Managing Director/CEO

Sub: Non-compliance of securities laws: -Warning.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2181/2014/611 dated September 10, 2014 based on which the hearing was conducted on September 24, 2014.

The Commission, considering the explanation vide letter No. MUN/SHARE/BSEC/04/09/2014 dated September 24, 2014 submitted at the time of hearing, has decided to dispose of the proceedings against Muntaha Shares & Capital Ltd by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission.

Md. Mustafizur Rahman
Assistant Director (Enforcement)

Copy for information:

Managing Director, Dhaka Stock Exchange Ltd.
Executive Director (SRI), BSEC
Executive Director (R&D), BSEC
Chairman's Office, BSEC