



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন
সিকিউরিটিজ কমিশন ভবন
ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭
www.sec.gov.bd



BSEC/Enforcement/2729/2019/738
Date: October 06, 2020

By Courier service

NRBC Bank Securities Limited
DSE TREC # 82
Red Crescent Jashim Trade Cnt (8th Floor)
114, Motijheel C/A, Dhaka

Attention: Managing Director/CEO

Subject: Non-compliance of securities related laws: Dispose of the alleged matter.

This refers to the Commission's show cause cum hearing notice No-BSEC/Enforcement/2729/2019/263 dated May 21, 2019 based on which hearing conducted on June 17, 2019.

After due consideration, the Commission has decided to dispose of the matter of NRBC Bank Securities Limited.

Please note that this disposal does not absolve NRBC Bank Securities Limited or any of its directors or officials concerned from their lawful responsibilities/obligations to the persons, if any, affected as a result of your above matters under reference.

For Bangladesh Securities and Exchange Commission

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Md. Mustafizur Rahman
Assistant Director (Enforcement)
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Copy for information:

Executive Director (MIS), BSEC
Executive Director (SRI), BSEC
Chairman's Office, BSEC
Chief Executive Officer, Dhaka Stock Exchange Ltd.