

Bangladesh Securities and Exchange Commission  
Securities Commission Bhaban  
E-6/C, Agargaon, Sher-e-Bangla Nagar Administrative Area  
Dhaka-1207, Bangladesh.

SEC/Enforcement/2786/2019/491  
Date: September 29, 2019

By Courier service

Nouvelle Securities Ltd (DSE TREC # 112)  
Room No-531, DSE Annex Building  
9/E, Motijheel C/A, Dhaka-1000.

Attention: Managing Director/CEO

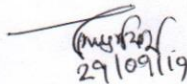
**Sub: Warning to ensure compliance of all securities related law in future.**

This refers to the Commission's show cause cum hearing notice no. SEC/Enforcement/2786/2019/340 dated July 21, 2019 based on which the hearing was conducted on August 05, 2019.

The Commission, considering the explanation vide letter dated August 05, 2019 which was submitted at the time of hearing, has decided to dispose of the proceedings against Nouvelle Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission.



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Copy for information:  
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