

SEC/Enforcement/1174/2013/36
January 09 , 2014

By Courier

Mr. Nurul Anwar
(Client of Be Rich Limited)
Be Rich Limited
C&F Tower (9th floor)
1222,Sk. Mujib Road
Agrabad
Chittagong

Subject: Warning: Non-compliance of Section 17 (e) (iii) of Securities and Exchange Ordinance, 1969 in connection with trading in shares of JMI Syringes & Medical Devices Ltd

This refers to BSEC's show cause cum hearing Notice No. SEC/Enforcement/1174/2013/ 573 dated October 10, 2013 and the broker's letter dated October 28, 2013.

The Commission, considering the broker's explanation, has decided to dispose of the proceedings against you by placing on record the Commission's dissatisfaction on the defaults/contraventions made with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected as a result of the aforesaid default

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For Bangladesh Securities and Exchange Commission

Mustari Jahan
Deputy Director (Enforcement Dept.)

Distribution

Chief Executive Officer, Dhaka Stock Exchange Limited
Chief Executive Officer, Chittagong Stock Exchange Limited
Chief Executive Officer, Be Rich Limited (with a request to send the notice to the client Mr. Nurul Anwar, BO ID no. 1204180038333400)

Copy for information:

P.O to Commissioner (Enforcement), BSEC
P.O to Executive Director (Surveillance), BSEC
P.O to Executive Director (MIS), BSEC
Chairman's office, BSEC