



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন
সিকিউরিটিজ কমিশন ভবন
ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭
www.sec.gov.bd



By Courier Service

BSEC/Enforcement/2918/2020/1066
Date-December 06, 2020

PLFS Investment Limited
Paramount Heights (13th Floor)
65/2/1, Box Culvert Road
Purana Paltan, Dhaka-1000

Attention: Managing Director/CEO

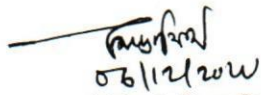
Subject: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2918/2020/623 date September 27, 2020 based on which the hearing was conducted on October 04, 2020.

The Commission, considering the explanation vide letter dated October 04, 2020 submitted at the time of hearing, has decided to dispose of the proceedings against PLFS Investment Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission


06/12/2020

Md. Mustafizur Rahman
Assistant Director (Enforcement)
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M/F

Copy for information:

1. Executive Director (SRI), BSEC
2. Executive Director (MIS), BSEC
3. PS to Chairman, BSEC
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