



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2797/2019- 438

Dated: September 17, 2019.

By Courier Service

Parkway Securities Ltd. (DSE TREC # 194),
DSE Annex Building, 9/E, Motijheel C/A,
Dhaka-1000.

Attention: Managing Director/CEO

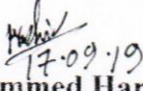
Sub: **WARNING to ensure compliance of all securities related laws in future.**

This refers to the commission's show cause-cum-hearing notice no. BSEC/Enforcement/2797/2019/371 dated July 31, 2019 based on which the hearing was scheduled on September 02, 2019.

The Commission, considering your explanation vide letter dated September 02, 2019 submitted at the time of hearing, has decided to dispose of the proceedings against Parkway Securities Ltd. (DSE TREC # 194) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission


17.09.19
Hafiz Mohammed Harunur Rashid

Deputy Director (Enforcement)

Phone: 55007148

e-mail: hafiz@sec.gov.bd

Copy for information:

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Executive Director (Surveillance), BSEC

Executive Director (Law), BSEC

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