



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন
সিকিউরিটিজ কমিশন ভবন
ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭
www.sec.gov.bd



BSEC/Enforcement/2398/2017/610

Dated: 27 September, 2020

By Special Messenger

Premier Bank Securities Limited (DSE TREC- 236)
Iqbal Center (3rd & 12th Floor),
42, Kamal Ataturk Avenue, Banani,
Dhaka-1213.
Mobile: 01678712810

Sub: Warning to ensure compliance of all securities related law in future.

This refers to the show cause cum hearing notice No. BSEC/Enforcement/2398/2017/251 dated 28 September, 2017 of the Commission based on which the hearing was conducted on November 29, 2017.

The Commission, considering the explanation vide letter dated November 29, 2017 submitted at the time of hearing, has decided to dispose of the proceedings against Premier Bank Securities Limited (DSE TREC- 236) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission-


27.9.20

Md. Shahnaws ACMA
Assistant Director

Mob:01816810586

Copy for information:

Chairman's office, BSEC
P.O to Commissioner (Enforcement), BSEC
✓ P.O to Executive Director (MIS), BSEC
P.O to Executive Director (SRI), BSEC
P.O to Director (Enforcement), BSEC