

Av#`k

#h#nZ, Kigkb, cvBg mmiKDiiUR GÜ wdbwYqvj mwf#mm wj#g#UW #K Dnvi Av#e`bμ#g, wbaWiZ kZvax#b, Bm" g"v#bRvi Gi KgKvÊ cwiPvjbv Kivi Rb" mmiKDiiUR I G·#PÄ Kigkb (AZ:ci 0Kigkb0 e#j DiiLZ) mmiKDiiUR I G·#PÄ Kigkb AvBb, 1993 (1993 m#bi 15 bs AvBb) G c`Ê #lgZve#j gv#P#U e"vsKvi wbeÜb mb`bs- Gg#e-1.027/99-21, ZwiL: #de"qvix 22, 1999 c`vb K#i#Q, hv mmiKDiiUR I G·#PÄ Kigkb (gv#P#U e"vsKvi I #cvU#dwiI g"v#bRvi) w#agvjv, 1996 Gi w#ab Abhvqx ewZj ev #MZ bv nIqv chš ejer _K#e;

#h#nZ, Kigkb KZK c`Ê DiiLZ mb`G Ab"v#b"i g#a" wbaic kZ (11 bs kZ) Av#ivc Kiv nqt
"Bm" g"v#bRvi/#cvU#dwiI g"v#bRvi/gv#PÈ e"vsKvi c#Z B#iR# ciÄKv erm#i Zvrv#`i Rb" c#hvR" w#æv<sup>3</sup> Kv# m#úv`b Kvi#e, h_vt-

Bm" g"v#bRvi	t ciR evR#i Avbq#bi Rb" b"bZg 1(GK)U c#ejK Bm"i `wjjK (documented) c`ve Kigkb`wLj Kiv
#cvU#dwiI g"v#bRvi	t wR`#cvU#dwiI 0i AvZii ³ b"bZg 5(cP)U bZb g#°#ji #cvU#dwiI Mvb Kiv
gv#PÈ e"vsKvi	t 1(GK)U Bm" e`e`vcbv, 2(`B)U Bm"i Ae#jLK nIq, wR`#cvU#dwiI 0i AvZii ³ 5(cP)U bZb g#°#ji #cvU#dwiI Mvb Kiv

Z#e kZ _#K #h, gv#PÈ e"vsKvi, (Bm" g"v#bRvi, Ae#jLb Ges #cvU#dwiI g"v#bR#gÈ) KZK c#Z B#iR# ciÄKv erm#i AŠZt 2(`B)U Kv# Kvi#Z nB#e hvnvi g#a" #cvU#dwiI g"v#bR#gÈ m#vš Kv# Aek`B _wK#Z nB#e|0;

#h#nZ, cvBg mmiKDiiUR GÜ wdbwYqvj mwf#mm wj#g#UW 2005 Bs, 2006 Bs Ges 2007 Bs ermi mg#n Dnvi Rb" c#hvR" kZ #gvZ#eK Kv# m#úv`b bv Kivq wbeÜb mb#`i DiiLZ kZ (11 bs kZ) f½ n#q#Q, hv mmiKDiiUR I G·#PÄ Kigkb (gv#P#U e"vsKvi I #cvU#dwiI g"v#bRvi) w#agvjv, 1996 Gi w#a 33, mmiKDiiUR I G·#PÄ Kigkb AvBb, 1993 Gi aviv 18 mn ciVZ, Abhvqx kw`#hM` Acia;

#h#nZ, AZ:ci Kigkb D³ gv#P#U e"vsKvi Gi eiveti GKU show-cause-cum-hearing notice m# bs-SEC/Enforcement/682/2008/755 ZwiLt Rb 17, 2008 #ciY K#i, hv #ci#Z RjvB 6, 2008 ZwiL Abi0Z #b#Z m#kó gv#P#U e"vsKvi Gi e`e`vcbv cwiPvjK Rbie Gd. Gg. i#k`¾#gib Dci`Z n#q c# bs PSFS/08/101 ZwiL RjvB 3, 2008 Gi gva#g `wLjKZ e³#e", Ab"v#b"i g#a", D#jL K#ib #h, "We, therefore, unequivocally express our commitment to perform our business should we be given another chance to perform our assigned functions within 6 months inshallah.";

#h#nZ, cieZ#Z Kigkb c# m# bs SEC/Reg/MB-Policy/Part-IV/2002/470 ZwiL A#±vei 19, 2008 Gi gva#g D³ gv#PÈ e"vsKvi#K wbeÜb mb#`i DiiLZ kZ cwi#vj#b Kivi Rb" w#m#i 31, 2008 chš mgq c`vb K#i, hv D³ gv#PÈ e"vsKvi cwi#vj#b Ki#Z e`_ n#q#Q;

#h#nZ, Kigkb#bi w#e#Pbvq, mmiKDiiUR AvBb cwi#vj#b DiiLZ e`\_Zvi Rb", Z\_v ciRevRv#i Dbq#bi cvkv#k evRv#i ksLjv I #QZv i#vi v#\_ D<sup>3</sup> gv#P#U e"vsKvi Gi wbeÜb mb`c# ewZj Kiv c#qvRb I mg#Pxb;

AZGe, #h#nZ, Kigkb, DiiLZ hveZxq w#lq w#e#PbvceK mmiKDiiUR m#vš AvBb f½ Kivq mmiKDiiUR I G·#PÄ Kigkb (gv#P#U e"vsKvi I #cvU#dwiI g"v#bRvi) w#agvjv, 1996 Gi w#a 33 G c`Ê #lgZv e#j cvBg mmiKDiiUR GÜ wdbwYqvj mwf#mm wj#g#UW Gi AbK#j #de"qvix 22, 1999 ZwiL Bm"KZ gv#P#U e"vsKvi wbeÜb mb`bs- Gg#e-1.027/99-21 Gi Kv#KwiZv GZ0viv ewZj Kij|

mmiKDiiUR I G·#PÄ Kigkb#bi
Av#`kμ#g-

di“K Avg` wmw iKx
 ‡Pqvigvb

weZibt

c\Bg m\KD\i\UR GÛ \db\Ýq\j m\w f\mm \j\g\UW (gv\p\U e\"vsK\i)

ivnvZ UvI qvi (10Zg Z jv), 14, wecYb ew/G, wjsK t i wW, evsjvgUi, XvKv-1000|