



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

BSEC/Enforcement/2302/2016/401

By Courier Service

Date: September 07, 2016

Client Name: Mr. Md. Irfanuzzaman Chowdhury

Client Code : 020107

Premier Bank Securities Limited

42, Kemal Ataturk Avenue ,

Iqbal Center (4th Floor). Banani

P.C. 1213, Gulshan, Dhaka.

Attention: Managing Director/CEO

Sub: Non-compliance of securities laws: -Warning.

This refers to the Commission's show cause cum hearing notice no. **BSEC/Enforcement/2302/2016/198** dated May 15, 2016 based on which the hearing was conducted on June 19, 2016.

The Commission, considering the explanation vide letter dated June 19, 2016, has decided to dispose of the proceedings against Mr. Md. Irfanuzzaman Chowdhury (Client Code : 020107) Client of Premier Bank Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission.

Md. Mustafizur Rahman
Assistant Director

Copy for information:

Executive Director (Surveillance), BSEC

Executive Director (R&D), BSEC

Chairman's Office, BSEC