bs-GmBwm/Gb‡dvm©‡g›U/1137/2013/ ZwiLt wW‡m¤i 2013 Bs

Av‡`k

‡h‡nZz, Kwgkb, R. N. Trading Limited (wWGmB m`m¨ bs-78) †K Zvi Av‡e`bµ‡g, wbawiZ kZvax‡b wmwKDwiwUR µq weµq KgKv‡Û cwiPvjbv Kivi Rb¨ wmwKDwiwUR I G·‡PÄ Kwgkb (AZ:ci ÒKwgkbÓ e‡j DwjøwLZ) wmwKDwiwUR I G·‡PÄ Kwgkb AvBb, 1993 (1993 m‡bi 15 bs AvBb) Gi 10(1) G c`Ë ¶gZve‡j wmwKDwiwUR I G·‡PÄ Kwgkb (÷K-wWjvi, ÷K-‡evKvi I Ab‡gvw`Z cwZwbwa) wewagvjv, 2000 Gi wewa 5(5) mn cwVZ ÷K‡evKvi/wWjvi †iwR‡ókb mwU©wd‡KU c`vb K‡i‡Q;

‡h‡nZz, Kwgk‡bi ÒInstant Watch Market Surveillance System" G R. N. Trading Limited KZK MZ 23/04/2013 Zwi‡L "FUWANGCER" Gi 2000 †kqvi †jb‡`‡b Short Sale Alert cwijw¶Z nq| cieZx‡Z D³ wel‡q mv‡fBj¨vÝ wefvM KZK `wLjKZ cwZ‡e`b Abhvqx findings and contraventions wbgi|c:

"R. N. Trading Limited (DSE Member No. 78) allowed short selling of 2,000 shares of "FUWANGCER" on April 23, 2013 in the account of its client, Sarwar Mahmud (client code # 29933). Details are shown below:

Name of Client	Client Code #	Date of Sale	Sold Qty.	Available Holding	Short sale Quantity
Sarwar Mahmud	29933	23/04/2013	14,500	12,500	2,000

Contravention: Through the aforesaid activity, R. N. Trading Limited violated regulation 4(1) of the Dhaka Stock Exchange (Short-Sale) Regulations, 2006."

‡h‡nZz, Kwgkb Dc‡iwjøwLZ wmwKDwiwUR AvBb cwicvj‡b e¨_Zvi Rb¨ R. N. Trading Limited Gi eiv‡e‡i GKwU show-cause-cum-hearing notice mÎ bs- SEC/Enforcement/1137/2013/424 ZwiL 14 RjvB 2013 Bs †ciY K‡i 21 RjvB 2013 Bs Zwi‡L kbvbxi w`b avh¨ K‡i Ges avh¨KZ Zwi‡L R. N. Trading Limited Gi cwZwbwa Dcw¯Z n‡q 21 RjvB 2013 Bs Zwi‡Li c‡Îi gva¨‡g wjwLZ e³e¨ `wLj K‡ib| hvi Z Ab¨v‡b¨i g‡a¨, wb‡bv³ welq¸wji D‡jøL Av‡Q:-

"-----we sold the instrument **(Fu-Wang Ceramic)** against trading ID 29933, which mention as below

Name off Client	Code	Sold Date	Sold Qty	Available Holding	Short Sale Quantity
Sarwar Mahmud	29933	23/04/2013	14,500	12,500	2,000

Because of problem in auto Pay-In & Pay-Out Software before few months ago, we adjusted one settlement of the same instrument **(Fu-Wang Ceramic)** by manual system, that is why in Back-Up office Software shown 14,500 Share of Fu-Wang Ceramic in client trading ID but deficiency **{12,500 (Fu-Wang Ceramic)}** in CDBL Software.

Regarding the unexpected reason we apologize to you and assure you, in further we will make more sincere in trading activities, please try to believe our situation."

Aci côvq `óe¨-

côv-02

bs-GmBwm/Gb‡dvm‡g›U/1137/2013/ ZwiLt wW‡m¤^i 2013 Bs

‡h‡nZ, R. N. Trading Limited Gi wjwLZ e³e¨ †_‡K m¯úó cZxqgvb nq †h, ïbvbxi †bwU‡ki Awf‡hvMmgn R. N. Trading Limited ¯xKvi K‡i‡Q hv wmwKDwiwUR AvBb cwicvj‡b e¨_Zv weavq section 22 of the Securities and Exchange Ordinance, 1969 Abhvqx kvw¯‡hvM¨ Aciva Ges hv ¶gvi A‡hvM¨;

‡h‡nZ, Kwgk‡bi we‡ePbvq, wmwKDwiwUR AvBb cwicvj‡b DwjøwLZ e¨_Zvi Rb¨, Z_v cwRevRv‡ii Dbq‡bi cvkvcwk evRv‡ii ksLjv I ¯”QZv i¶vi ¯v‡_ D³ †evKvi/wWjvi †K Rwigvbv Kiv c‡qvRb I mgxPxb;

AZGe, †m‡nZ, Kwgkb, DwjøwLZ hveZxq welq we‡ePbvceK, Securities and Exchange Ordinance, 1969 (Ordinance No. XVII of 1969) Gi section 22 [hv The Securities and Exchange (Amendment) Act, 2000 Øviv ms‡kvwaZ] G c`Ë ¶gZve‡j R. N. Trading Limited Gi Dci 1 (GK) j¶ UvKv Rwigvbv avh¨ Kij hv AÎ Av‡`‡ki ZwiL n‡Z 15 (c‡bi) w`‡bi g‡a¨ Ôewsjv‡`k wmwKDwiwUR I G·‡PÄ Kwgkbô Gi AbK‚‡j Bm¨KZ e¨vsK WvdU/†c-AWv‡ii gva¨‡g Kwgk‡b Rgv Ki‡Z n‡e|

ewsjv‡`k wmwKDwiwUR I G·‡PÄ Kwgk‡bi Av‡`kµ‡g

Aa¨vcK W: Gg Lvqiæj †nv‡mb
‡Pqvig¨vb

weZibt
Avi, Gb, †UwWs wjwg‡UW
iæg bs-416 Ges 418, ÷K G·‡PÄ wewìs
9/Gd gwZwSj ev/G
XvKv-1000|

bs-GmBwm/Gb‡dvm‡g›U/1137/2013/667 ZvwiLt 01 Rvbqvwi 2014 Bs

Avi, Gb, †UwWs wjwg‡UW
iæg bs-416 Ges 418, ÷K G· ‡PÄ wewìs
9/Gd gwZwSj ev/G
XvKv-1000|

welq: Av‡`k|

Kwgk‡bi 31 wW‡m¤i 2013 Bs Zvwi‡Li Av‡`k bs- GmBwm/Gb‡dvm‡g›U/1137/2013/666 Gi mZ¨vwqZ Abywjwc Avcbvi AeMwZ I c‡qvRbxq e¨e¯v Mn‡bi Rb¨ GZ`ms‡M msh³ Kiv n‡jv|

evsjv‡`k wmwKDwiwUR I G· ‡PÄ Kwgk‡bi c‡¶

†gvnv¤§` †nv‡mb Lvb
Dc-cwiPvjK

weZibt

cvb wbevnx KgKZv, XvKv óK G· ‡PÄ wjwg‡UW
cvb wbevnx KgKZv, PÆMvg óK G· ‡PÄ wjwg‡UW

AeMwZi Rb¨ Abywjwc:

1| wbevnx cwiPvjK, AvBb, weGmBwm
2| wbevnx cwiPvjK, mv‡fBj¨vÝ, weGmBwm
3| wbevnx cwiPvjK, GgAvBGm, weGmBwm
4| †Pqvig¨vb g‡nv`‡qi `ßi, weGmBwm