



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2378/2017/2019/ 516

Dated: September 30, 2019

By Special Messenger

Rasti Securities Consultant Limited
(TREC holder No. 217)
Room No- 601,
Madhumita Building (5th Floor),
158-160, Motijheel C/A,
Dhaka 1000.

Attention: Managing Director/CEO

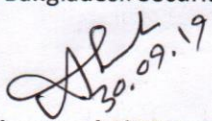
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2378/2017/2019/324 dated July 07, 2019 based on which the hearing was conducted on September 18, 2019.

The Commission, considering your explanation vide letter dated 18.09.2019 has decided to dispose of the proceedings against Rasti Securities Consultant Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


Mohammad Al Masum Mirdha

Deputy Director (Enforcement)

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Email: almasum@sec.gov.bd

Copy for information:

1. Managing Director, Dhaka Stock Exchange Ltd.
2. PS to the Honorable Chairman, BSEC.
3. PO to Executive Director (SRI), BSEC.
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