

SEC/Enforcement/913/2011/170
April 15, 2012

Registered With AD

Mr. Shankar Kumar Roy
S/O Late Khitish Chandra Roy and Late Gita Roy
63 BB Road
Narayangonj

Sub: WARNING: Violation of section 17 (e) (v) of the Securities and Exchange Ordinance, 1969 (XVII of 1969) in connection with trading in shares of Fine Foods Limited

This refers to SEC's show cause-cum-hearing notice No. SEC/Enforcement/913/2011/165 dated March 21, 2011 and your explanation letter No. NIL dated NIL submitted to the Commission.

The Commission has decided to dispose of the proceedings against you by placing on record the Commission's dissatisfaction on the defaults/contraventions made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected as a result of your above said default.

For Securities and Exchange Commission

Mustari Jahan
Deputy Director (Enforcement Dept.)

Distribution:
Chief Executive Officer, Dhaka Stock Exchange Limited
CEO/Managing Director, Alliance Securities and Management Ltd., 9/E, Motijheel C/A, Dhaka-1000

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