



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

BSEC/Enforcement/2176/2014/188
Dated: December 06, 2016

By Special Messenger

S.R.Capital Limited
CSE TREC# 038
Ananda Tower (3rd floor)
Jailroad, Sylhet

Attention: Managing Director/CEO

Subject: Review of imposed penalty against BSEC penalty Orders No. BSEC/Enforcement/2176/2014/237 dated June 19, 2016 in connection with non- Non-compliance of securities law.

This refers to your letter No.SRC/Review/07/2016 dated July 19, 2016 and the Commission's Penalty Order No.BSEC/ Enforcement/2176/2014/237 dated June 19, 2016.

The Commission has considered your review petition under section 26 of the Securities and Exchange Ordinance, 1969 and reduced the penalty amount from Tk.10.00 (ten) lac to Tk.8.00 (eight lac) only. You are requested to deposit the penalty within fifteen days from the issuance of this letter.

For Bangladesh Securities and Exchange Commission

Md. Yusuf Bhuiyan
Deputy Director(Enf.)

Copy for information:

Chief Executive Officer, Chittagong Stock Exchange Limited.

PS to Chairman, BSEC

PO to Executive Director, (SRI), BSEC

PO to Executive Director, (Law), BSEC

PO to Executive Director, (MIS), BSEC