



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

B/C

BSEC/Enforcement/2776/2019/548

By Courier

Date: ~~September~~ 15, 2019

October

S.R. Capital Ltd. (CSE TREC holder no. 038)

Anando Tower(3rd Floor)

North Dupadighir Par

Jail Road, Sylhet-3100

Attn: Managing Director/CEO

Sub: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's show cause cum hearing notice no. BSEC/Enforcement/2776/2019/334-335 dated July 21, 2019 based on which the hearing was conducted on August 28, 2019.

The Commission, considering the accused apology and written explanation vide letter Ref: --- dated August 28, 2019-which were submitted at the time of hearing, has decided to dispose-off the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by the accused with a **Warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve S.R. Capital Ltd., its Directors, Managing Director, Company Secretary and any other involved from their lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,



15-10-19

Md. Rafiqunnabi

Assistant Director (Enforcement)

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Copy for information:

1. Chairman's Office, BSEC
2. Commissioner (Enforcement), BSEC
3. Executive Director (SRI), BSEC
4. Executive Director (R&D), BSEC
5. Office file