

SEC/Enforcement/1077/2013/220
Dated: May 04, 2014

By Special Messenger

SCL Securities Ltd.
Modhumita Building (1st Floor), 160,
Motijheel C/A, Dhaka-1000.

Attention: Managing Director/CEO

Subject: Non-compliance of securities related laws. WARNING

This refers to the Commission's hearing Notice No. SEC/Enforcement/1077/2013/90 dated February 02, 2014 based on which the hearing was conducted on February 17, 2014.

The Commission, considering the explanation vide letter dated February 17, 2014 submitted at the time of hearing, has decided to dispose of the proceedings against SCL Securities Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission

Md. Hossain Khan

Deputy Director

Copy for information:

Chief Executive Officer, Dhaka Stock Exchange Ltd.
Commissioner (Enforcement), BSEC
Executive Director (SRI), BSEC
Executive Director (MIS), BSEC
Chairman's Office