



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন
সিকিউরিটিজ কমিশন ভবন
ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭
www.sec.gov.bd



BSEC/Enforcement/2781/2019-422
Date: August 12, 2020

SIBL Securities Limited (DSE TREC # 94 & CSE TREC # 142)
3rd Floor, 15, Dilkusha C/A
Dhaka- 1000.

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2781/2019/313-314 dated July 07, 2019 based on which the hearing conducted on July 31, 2019.

The Commission, considering the accused oral apology and written explanation vide letter Ref: SIBLSL/HO/BSEC/2019/1007-1008 dated July 31, 2019-which were submitted at the time of hearing, has decided to dispose-off the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a '**Warning**' to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve SIBL Securities Limited, its Directors, Managing Director, Company Secretary and any other who involved from their lawful responsibilities/obligations to the person(s) who affected (if any), as a result of such default.

For Bangladesh Securities and Exchange Commission,


12.08.2020

Md. Rafiqunnabi
Assistant Director
E-mail- rafiq@sec.gov.bd
Cell- 01786 327 667

Copy for information:

1. Chairman's Office, BSEC
2. Executive Director (SRI), BSEC
3. Executive Director (MIS), BSEC
4. Office file

26.8.20

D(MIS) 26.8.20
Mr. Gour, AD

“মুজিববর্ষের অঙ্গীকার
উন্নয়ন-অর্থায়নের উৎস হবে পুঁজিবাজার”