

mv`wmKDwiUR wjwgUW
i"q bs-730, 9/B gZiSj ev/G
XvKv-1000|

elq: Av`k|

Kgk#bi 10 deqvi, 2011 Bs Zvili Av`k bs GmBm/Gb+dvm#gU/787/2009/91 Gi
mZ`vqZ Abjwc Avcbi AeMz I cqvRbxq e`v Mn#bi Rb" GZ`ms#M msh³ Kiv n#jv|

wmKDwiUR I G · #PÄ Kgk#bi c#T|

gxi tgvkviid tnv#mb tPaiX
c#iPvjK

ezibt

cÖb we@xKgKZ@XKvóK G· #PÄ ywgUW

cÖb we@xKgKZ@PAMö óK G· #PÄ ywgUW

AeMzi Rb" Abjwc:

- 1| bevnX c#iPvjK, mvi#fBj`vY, GmBm
- 2| bevnX c#iPvjK, AvBb, GmBm
- 3| c#iPvjK, GgAvBGm, GmBm
- 4| tPqvig`vb g#nv`tqi `Bi, GmBm

Av#`k

#h#nZ, Kigkb, mv` mmiKDiiiUR ijig#UW (WGMb m`m` bs-118) tK Zvi Av#e`bμ#g, wBawiz kZia#b mmiKDiiiUR μq ieqq KgKvÊ ciiPvjbr Kivi Rb` mmiKDiiiUR I G· #PÄ Kigkb (AZ:ci ÊKigkbô e#j DiiLZ) mmiKDiiiUR I G· #PÄ Kigkb AvBb, 1993 (1993 m#bi 15 bs AvBb) Gi 10(1) G c`Ê ¶lgZiet#j mmiKDiiiUR I G· #PÄ Kigkb (÷K-Wjvi, ÷K-#evKvi I Ab#gw`Z ciZibia) ieiagjv, 2000 Gi ieiia 5(5) mn ciVZ ÷K#evKvi/Wjvi tiR#ókb mmiUrd#KU c`vb K#i#Q;

#h#nZ, Kigkb Gi Av#`k bs SEC/SRI/99-828/234 dated September 15, 2010 Gi gva`g mv` mmiKDiiiUR ijig#UW (WGMb m`m` bs-118) G cii`k#bi Rb` GKilU KigilU MvZ nq Ges D<sup>3</sup> cii`kb KigilU i`vLjKZ ciZ#e`b Abhvx findings and contraventions wBæi`c:

“1. Saad Securities Limited (DSE Member # 118) provided credit facilities to the flowing client to purchase shares without any Margin Loan Agreement.

Client code	Name	Balance as on August 31, 2010
M04	Md. Muhashin Mia	-313,598.93
M110	Md. Mahbubur Rahman	-197,422.47
36931	Mr. Tofazzal Hossain	-60,975.15
35403	Rahmat Hossain	-5,221.98
35666	Md. Emdadur Rahman	-80,959.32
27393	Abdullah Al-Raji	-28,289.97
G303	Abdur Rahim	-2,21,967.00
G350	Satyajit Saha	-87,071.89 (26.08.10)
M30	Mr. Golam Mustafa	-3,912,487.59

Contravention: Through the aforesaid activities Saad Securities Limited (DSE Member # 118) violated Rules 3 (2) of the Margin Rules, 1999.

2. Saad Securities Limited provided credit facilities to Md. Emdadur Rahman (client code # 35666), Mr. Tofazzal Hossain (client code # 36931), Abdullah Al-Raj (clients code # 27393), Satyajit Saha (client code # G350), Abdur Rahim (client code # G303) & Rahmat Hossain (client code # 35403) to purchase non-marginable securities i.e., KPCL, EHL, CMC Kamal Textile Mills Limited, Malek Spinning Limited etc. The details are delineated below:

DATE	NAME OF CLIENT	CODE	INSTRUMENT NAME	BUY QTY
08.06.10	MD. EMDADUR RAHMAN	35666	KPCL	100
16.06.10			KPCL	100
17.06.10			KPCL	200
23.06.10			KPCL	100
19.08.10	TOFAZZAL HOSSAIN	36931	KPCL	100
05.09.10			KPCL	200
06.09.10			SAVAR REFRACTORIES	5
20.06.10	ABDULLAH AL RAJ	27393	EHL	100
04.08.10			KPCL	500
24.08.10			KPCL	1000
15.09.10			CMC KAMAL TEXTILES	300
16.06.10	RAHMAT HOSSAIN	35403	KPCL	1000

