

SEC/Enforcement/1174/2013/31
January 09, 2014

By Special Messenger

Mr. Shabbir Hasan Khan
(Client of Lanka Bangla Securities Ltd)
Lanka Bangla Securities Ltd
DSE Annex Building
9/E, Motijheel C/A
Dhaka-1000

Subject: Disposal: Non-compliance of Section 17 (e) (v) of Securities and Exchange Ordinance, 1969 in connection with trading in shares of JMI Syringes & Medical Devices Ltd

This refers to BSEC's show cause cum hearing Notice No. SEC/Enforcement/1174/2013/ 568 dated October 10, 2013 and your letter dated October 30, 2013.

Commission has reviewed your explanation as well as the reported share transactions effected by you and decided to dispose off the proceedings against you.

For Bangladesh Securities and Exchange Commission

Mustari Jahan
Deputy Director (Enforcement Dept.)

Distribution

Chief Executive Officer, Dhaka Stock Exchange Limited
Chief Executive Officer, Chittagong Stock Exchange Limited
Chief Executive Officer, LankaBangla Securities Ltd (with a request to send the notice to the client named Shabbir Hasan Khan, code no. B0643)

Copy for information:

P.O to Commissioner (Enforcement), BSEC
P.O to Executive Director (Surveillance), BSEC
P.O to Executive Director (MIS), BSEC
Chairman's office, BSEC