



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন
Bangladesh Securities and Exchange Commission

Jiban Bima Tower, 10 Dilkusha C/A, Dhaka-1000, Bangladesh
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SEC/Enforcement/977/2011/132
February 07, 2013

Registered with AD

Md. Shahadat Hossain
S/O Md.Siddiquir Rahman and Rabeya Khatun
45/Ka (2nd floor), Rajani Chowdhury Road
Gandaria, Sutrapur
Dhaka-1219

Subject: Warning: Non-compliance of Section 17 (e) (v) of Securities and Exchange Ordinance, 1969 in connection with trading in shares of DUTCHBANGL for the period of 01.06.2011 to 11.07.2011.

This refers to SEC's show cause-cum-hearing notice No. SEC/Enforcement/977/2011/845 dated December 28, 2011 and your explanation letter no.NIL dated January 29, 2012.

The Commission considering your prayer, has decided to dispose off the proceedings against you by placing on record the Commission's dissatisfaction on the defaults/contraventions made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected as a result of your above said default.

For Bangladesh Securities and Exchange Commission

Mustari Jahan
Deputy Director (Enforcement Dept.)

Distribution:

Chief Executive Officer, Dhaka Stock Exchange Limited

Chief Executive Officer, Chittagong Stock Exchange Limited

Copy for information:

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P.O to Executive Director (Surveillance), BSEC

P.O to Executive Director (MIS), BSEC

Chairman's office, BSEC