BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2825/2019/595 **Dated: October 14, 2019**

By Special Messenger

Shakil Rizvi Stock Limited
(DSE TREC holder no. 149)
Modhumita Building (4th Floor),
158-160, Motijheel C/A,
Dhaka- 1000.

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/ Enforcement/2825/2019/413 dated August 26, 2019 based on which the hearing was conducted on September 18, 2019.

The Commission, considering your explanation vide letter dated 18.09.2019 has decided to dispose of the proceedings against Shakil Rizvi Stock Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

14.10.19

Mohammad Al Masum Mirdha
Deputy Director (Enforcement)
Phone : 55007147
Email: almasum@sec.gov.bd

M/F

Copy for information:

1. Managing Director, Dhaka Stock Exchange Ltd.
2. PS to the Honorable Chairman, BSEC.
3. PO to Executive Director (SRI), BSEC.
4. PO to Executive Director (MIS), BSEC