

SEC/Enforcement/969/2011/650

December 03 , 2013

Sinha Securities Limited
DSE's Membership No. 67
Rahman Mansion (3rd floor)
161, Motijheel C/A
Dhaka-1000.

Attention: Managing Director/CEO

Subject: Reject of prayer for penalty waiver: Non-compliance of Directive No. SEC/CMRRCD/2001-43/42 dated June 15, 2010 in connection with unusual trading in shares of "BDAUTOCA".

Dear Sir,

This refers to your letter No.NIL dated June 5, 2013 and the Commission's letter no. SEC/Enforcement/969/2011/322 dated May 30, 2013 and Penalty Order No. SEC/Enforcement/969/2011/178 dated March 12, 2013.

The Commission has rejected your prayer for reducing the penalty amount from Tk.One Lac to Tk Fifty Thousand.

For Bangladesh Securities and Exchange Commission

Mustari Jahan
Deputy Director (Enforcement Dept.)

Distribution:

Chief Executive Officer, Dhaka Stock Exchange Limited
Chief Executive Officer, Chittagong Stock Exchange Limited

Copy for information:

P.O to Commissioner (Enforcement), BSEC
P.O to Executive Director (Surveillance), BSEC
P.O to Executive Director (MIS), BSEC
Chairman's office, BSEC