



# বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

সিকিউরিটিজ কমিশন ভবন, ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭, বাংলাদেশ।

BSEC/Enforcement/2865/2020/২৩৬

Dated: 03 March, 2020

**By Special Messenger**

Sohel Securities Limited  
(CSE TREC No. 76)  
Finlay House (Ground Floor), 11,  
Agrabad C/A Chittagong-4100.  
Bangladesh.

**Attention:** Managing Director/CEO

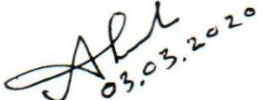
**Subject:** Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice no. BSEC/Enforcement/2865/2020/08 dated January 07, 2020 based on which the hearing was conducted on January 27, 2020.

The Commission, considering your explanation vides letter dated 26.01.2020 has decided to dispose of the proceedings against Sohel Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

  
**Mohammad Al Masum Mirdha**  
Deputy Director (Enforcement)  
Phone : 55007147  
Email: almasum@sec.gov.bd

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Copy for information:

1. Managing Director, Chittagong Stock Exchange Ltd.
2. PS to the Honorable Chairman, BSEC.
3. PO to Executive Director (SRI), BSEC.
4. PO to Executive Director (MIS), BSEC