



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

BSEC/Enforcement/2302/2016/403

Date: September 07, 2016

By Special Messenger

Client Name: Dr. Md. Amzad Hossain Fakir

Client Code : 8131

BOID: 1203350023493491

Times Securities Limited

Room No. 904 (8th Floor)

9/E Motijheel C/A

DSE Annex Building,

Dhaka-1000

Attention: Managing Director/CEO

Sub: Non-compliance of securities laws: -Warning.

This refers to the Commission's show cause cum hearing notice no. **BSEC/Enforcement/2302/2016/197** dated May 15, 2016 based on which the hearing was conducted on June 19, 2016.

The Commission, considering the explanation vide letter dated June 19, 2016, has decided to dispose of the proceedings against Dr. Md. Amzad Hossain Fakir, client of Times Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission.

Md. Mustafizur Rahman
07/09/16

Md. Mustafizur Rahman

Assistant Director

Copy for information:

Executive Director (Surveillance), BSEC

✓ Executive Director (R&D), BSEC

Chairman's Office, BSEC