



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন
সিকিউরিটিজ কমিশন ভবন
ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭
www.sec.gov.bd



By Courier

BSEC/Enforcement/2864/2019-587
Date: September 09, 2020

United Securities Ltd. (DSE TREC # 207)

Gulshan Center Point,
Level # 8, Plot # 23-26,
Road # 90, Gulshan-2,
Dhaka-1212

Attention: Managing Director/CEO

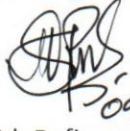
Subject: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2864/2019/021 dated January 19, 2020 based on which the hearing was conducted on January 29, 2020.

The Commission, considering the accused oral apology and written explanation vide letter Ref: USL/TREC-207/BSEC/64/2020 dated January 29, 2020-which was submitted at the time of hearing, has decided to dispose-off the proceedings against United Securities Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **Warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve United Securities Ltd., its Directors, Managing Director, Company Secretary and any other who involved from their lawful responsibilities/obligations to the person(s) who affected (if any), as a result of such default.

For Bangladesh Securities and Exchange Commission,



09.09.2020

Md. Rafiqunnabi
Assistant Director
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Copy for information:

1. Chairman's Office, BSEC
2. Commissioner (Enforcement), BSEC
3. Executive Director (SRMIC), BSEC
4. Executive Director (MIS), BSEC
5. Office file