



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন
Bangladesh Securities and Exchange Commission

Jiban Bima Tower, 10 Dilkusha C/A, Dhaka-1000, Bangladesh
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SEC/Enforcement/965/2011/212

By Special Messenger

March 14, 2013

Uttara Finance and Investment Limited
Jiban Bima Tower (6th floor)
10, Dilkusha C/A
Dhaka-1000

Subject: Warning: Non-compliance of Section 17 (e) (v) of Securities and Exchange Ordinance, 1969 in connection with unusual trading in shares of Paramount Insurance Company Limited

This refers to SEC's show cause-cum-hearing notice No. SEC/Enforcement/965/2011/393 dated August 08, 2012 and your explanation letter no. UFIL/M.Banking/HO/212/Ext-4359 dated September 05, 2012.

The Commission considering your explanation and prayer, has decided to dispose off the proceedings against you by placing on record the Commission's dissatisfaction on the defaults/contraventions made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected as a result of your above said default.

For Bangladesh Securities and Exchange Commission

Mustari Jahan
Deputy Director (Enforcement Dept.)

Distribution:

Chief Executive Officer, Dhaka Stock Exchange Limited
Chief Executive Officer, Chittagong Stock Exchange Limited

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