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th#nZ, Kigkb, Gateway Equity Resources Limited tK Zvi Av#e`bμ#g, wbaWiZ kZva#b wmiKDiiUR μq weμq KgKvÊ ciiPvjv Kivi Rb` wmiKDiiUR I G · #PÄ Kigkb (AZ:ci 0Kigkb0 e#j DijLZ) wmiKDiiUR I G · #PÄ Kigkb AvBb, 1993 (1993 m#bi 15 bs AvBb) Gi 10(1) G c`Ê t̄gZve#j wmiKDiiUR I G · #PÄ Kigkb (÷K-wjvi, ÷K-teiKvi I Ab#g` Z ciZib(a) weagvjv, 2000 Gi weia 5(5) mn ciVZ ÷K#evKvi/wjvi tiwR#ók b muiUd#KU c`vb K#i#Q;

th#nZ, an inspection team was constituted vide order No SEC Order No. SEC/SRI/INS/DSE-MEM/2010/933 dated July 15, 2013 to inspect Gateway Equity Resources Limited. The inspection report stated, among others, the following

1. “Upon the review of the Audited Financial Statement of Gateway Equity Resources Limited, it has been observed that an investment of **TK.4,38,75,000** in Shares(9,75,000 LAFSURCEML @45.00) was reported in the Audited Balance Sheet as on 30 June, 2012. However, as per the portfolio statement of Dealer Accounts as on 30 June, 2012, it is observed that the said amount was not available on 30 June, 2012 in that account. Moreover, the same investment was found in portfolio of Mr. Rezaul Karim, Managing Director, Client Code#00424. It indicates that Mr. Rezaul Karim, Managing Director invested TK. **TK.4,38,75,000** through his account instead of dealer account. After scrutiny and analysis of Balance Sheet as shown in the following table, it is proved that the investment in the account of Mr. Rezaul Karim, Managing Director, was made out of Paid-up-capital & retained Earning of the company, which is considered ultimately loan given to Director amounting to **TK.4,38,75,000** .

An analysis of Balance Sheet as on 30 June, 2012

Particulars	Capital & R/E	Net Capital Balance	Total
Sources of Fund			
Paid up capital	55,000,000		55,000,000
Profit & loss Account	12,106,910		12,106,910
Current liabilities			-
Payable to DSE		806,610	806,610
Payable to Clients		290,000	290,000
Others liabilities		914,801	914,801
	67,106,910	2,011,411	69,118,321
Application of Fund			
Fixed Assets	3,000,149		3,000,149
Membership	3,300,000		3,300,000
Advance for renewal of Office	15,683,336		15,683,336
Current Assets:			-
Security Deposit with CDBL		100,000	100,000
Receivable from DSE		22,337	22,337
Cash & Bank Balances		479,324	479,324

Advance Income Tax	2,658,175		2,658,175
	24,641,660	601,661	25,243,321
Surplus/(Deficit)	42,465,250	(1,409,750)	43,875,000
Investment in share(through MD's Code-424)			43,875,000

03. Relationship between 02(two) customer codes #424 & 425 is father and son. Code 425 is a joint account with father and son. Son becomes the director of the company when he was 10 years old. At that time there was no age restriction to become the director. Son A F M Nasim Reza was in Australia for education purpose . Father operated the son account with finance. If we have violated the rule 8A of the Securities and Exchange rules,1987 we are very sorry and beg apology to you for violating the rule. We shall be very careful from doing such type of mistake in the future.
04. From the very beginning of the company we prepared audit report within the December of financial year June 30 and submit the same to DSE and SEC respectively and during this tenure we have not received any complain against the delay of submission. We have carefully noted your complain and from now we shall submit the audit report within your stipulated period. We are very sorry for our previous mistake.

thnZ, Gateway Equity Resources Limited Gi jwLZ e3e t_K m_uo cZxqyb nq th, Gateway Equity Resources Limited ibvxi tbwUtki Aifhwmgn xKvi KiiQb hv B"QvKZ weavq section 22 of the Securities and Exchange Ordinance, 1969 and section 12 of mKDiiUR I G . pA Kigkb (oK Wjvi, oK tevKvi I Abtg'm' Z ciZibia) weagiv, 2000 Abhvq kw' hvm' Aciva, hv tKvbfvteB Tgq'hvm' b;n;

AZGe, tṁnZ, Kigkb, DṁjLZ hveZxq weiq weṁPṁveK, Securities and Exchange Ordinance, 1969 (Ordinance No. XVII of 1969) Gi section 22 [ḥv The Securities and Exchange (Amendment) Act, 2000 ḡviv mṁkwaZ] and section 12 of ṁṁKDṁiUR I G ·ṁPÄ Kigkb (ók ṁjvi, óK ṁvKvi I Abṁgṁ`Z cṁZibṁ) weagvjv, 2000 G c`È ṁlgZveṁj Gateway Equity Resources Limited Gi Dci 10 (`k) j ṁUvKv Rṁigvṁv aḥ` Ki j ḥv AÎ Avṁ`ki ZṁiL nṁZ 15 (cṁbi) ṁ`ṁbi gṁa` `eṁsjv`k ṁṁKDṁiUR A`vÜ G ·ṁPÄ Kigkbḡ Gi AbKṁj Bṁ`KZ e`vsK WdU/tc-AWṁiṁi qva`ṁg Kṁgkṁb Rqv KiṁZ nṁj

Gateway Equity Resources Limited
DSE Member # 157.
DSE Building 9/F, Motijheel C/A
Dhaka-1000.

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ZwiLt 06 tg 2014

Avt`k

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