

SEC/Enforcement/1174/2013/34
January 09 , 2014

By Courier

Ms. Jannatul Ferdous
(Client of SKYS Securities Ltd)
SKYS Securities Ltd
As-Salam Tower (3rd floor)
57 Agrabad C/A
Chittagong -4100

Subject: Disposal: Non-compliance of Section 17 (e) (v) of Securities and Exchange Ordinance, 1969 in connection with trading in shares of JMI Syringes & Medical Devices Ltd

This refers to BSEC's show cause cum hearing Notice No. SEC/Enforcement/1174/2013/ 571 dated October 10, 2013 and your letter dated October 28, 2013.

Commission has reviewed your explanation as well as the reported share transactions effected by you and decided to dispose off the proceedings against you.

For Bangladesh Securities and Exchange Commission

Mustari Jahan
Deputy Director (Enforcement Dept)

Distribution:

Chief Executive Officer, Dhaka Stock Exchange Limited
Chief Executive Officer, Chittagong Stock Exchange Limited
Chief Executive Officer, SKYS Securities Ltd (with a request to send the notice to the client named Ms. Jannatul Ferdous, code no. 11789)

Copy for information:

P.O to Member (Enforcement), BSEC
P.O to Executive Director (Surveillance), BSEC
P.O to Executive Director (MIS), BSEC
Chairman's office, BSEC