"মুজিববর্ষের অঙ্গীকার
উন্নয়ন-অর্থায়নের উৎস হবে পুঁজিবাজার"

Quarterly Review

April-June 2021

Ensuring Stability and Discipline towards Advancement of the Economy

Bangladesh Securities and Exchange Commission

Quarterly Review

April-June 2021

Bangladesh Securities and Exchange Commission
Securities Commission Bhaban
E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207
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The Bangladesh Securities and Exchange Commission (BSEC) was established on 8th June, 1993 as the regulator of the country's capital market through enactment of the Bangladesh Securities and Exchange Commission Act, 1993. The objectives of the Commission are protecting the interest of investors in securities, developing the securities market and making rules for matters connected therewith or ancillary thereto. The Commission consists of the Chairman and four Commissioners who are appointed for fulltime by the Government. The Chairman acts as the Chief Executive of the Commission. The Commission has overall responsibility to make securities related rules and regulate the market accordingly. The Commission is a statutory body and attached to the Ministry of Finance.

At present, Professor Shibli Rubayat-Ul-Islam is serving as the Chairman of the Commission and Dr. Shaikh Shamsuddin Ahmed, Dr. Mizanur Rahman and Mr. Md. Abdul Halim are serving as the Commissioner of the Commission.

1. Bangladesh Securities and Exchange Commission

Prof. Shibli Rubayat-Ul-Islam
Chairman

Dr. Shaikh Shamsuddin Ahmed
Commissioner

Dr. Mizanur Rahman
Commissioner

Mr. Md. Abdul Halim
Commissioner

2. Executive Directors (ED) and Head of the Departments of the Commission

Sl. No.	Name	Designation	Head of the Departments
1	2	3	4
1	Dr. ATM Tariquzzaman	ED	Staying in New Zealand under lien
2	Mr. Md. Anowarul Islam	ED	Corporate Finance, SRI and CDS
3	Mr. Md. Saifur Rahman	ED	SRMIC, Enforcement, APA, SDG, Innovation and Project Director
4	Mr. Md. Ashraful Islam	ED	MIS and R&D
5	Mr. M. Hasan Mahmud	ED	Registration and Internal Audit
6	Mr. Mahbubul Alam	ED	Admin & Finance, International Affairs and Financial Literacy
7	Mr. Mahbuber Rahman Chowdury	ED	CMRRC and Law
8	Mr. Kamrul Anam Khan	ED	MF and SPV, Office of the Chief Accountant and AMLCFT Wing
9	Mr. Mohammad Rezaul Karim	ED	Capital Issue, Market Surveillance and Intelligence and Spokesperson of the Commission
10	Mr. Mohammed Shafiul Azam	ED	-
11	Mr. Ripan Kumar Debnath	ED	-
12	Mr. Mir Mosharraf Hossain	ED	-

3. Directors of the Commission

Sl. No.	Name	Designation	Departments
1	2	3	4
1	Mr. Mohammad Jahangir Alam	Director	Enforcement
2	Mr. Mahmoodul Hoque	Director	MF and SPV
3	Mr. Prodip Kumar Basak	Director	Registration
4	Mr. Rajib Ahmed	Director	MIS
5	Mr. Abul Kalam	Director	CMRRC, AML & CFT Wing
6	Mr. Mansur Rahman	Director	SRI and CDS
7	Mr. Mohammad Abul Hasan	Director	SRMIC
8	Mr. Sheikh Mahbub Ur Rahman	Director	Market Surveillance and Intelligence
9	Ms. Farhana Faruqui	Director	Administration and IAD
10	Mr. Abu Rayhan Mohammad Mutasim Billah	Director and Commission Secretary	Financial Literacy and Commission Secretariat
11	Mr. Mohammad Fakhrul Islam Mazumder	Director	Capital Issue
12	Mr. A. S. M. Mahmudul Hasan	Director	Law

4. Capital Issue

Initial Public Offering (IPO):

During April-June, 2021 the Commission has given consent to 03 (three) companies to raise capital of Tk. 344.00 crore through Initial Public Offering (IPO).

Capital Raising:

- Public Limited Company

During April-June, 2021 the Commission gave consent to 3 (three) Public Limited Companies to raise capital of Tk. 1062.52 crore under the Securities and Exchange Commission (Issue of Capital) Rules, 2001 and Securities and Exchange Commission (Private Placement of Debt Securities) Rules, 2012 through issuance of ordinary shares and bond. The details are given below:

Type of Capital Raise	No. of Companies/Issuers	Total Capital (Tk. crore)
1	2	3
Common Stock	1	62.52
Perpetual Bond	-	-
Debenture	-	-
Convertible Preferance Share	-	-
Subordinate Bond	2	1,000.00
Redeemable Preferance Share	-	-
Zero Coupon Bond	-	-
Bond		
Total	**3**	**1,062.52**

- Private Limited Company and Other Institution

During April-June, 2021 the Commission gave consent to 1 (one) Private Limited Company and other Institution to raise capital of Tk. 100.00 crore under Securities and Exchange Commission (Private Placement of Debt Securities) Rules, 2012 through issuance of bond. The details are given below:

Type of Capital Raise	No. of Companies/Issuers	Total Capital (Tk. crore)
1	2	3
Common Stock	-	-
Perpetual Bond	-	-
Debenture	-	-
Convertible Preferance Share	-	-
Subordinate Bond	-	-
Redeemable Preferance Share	-	-
Zero Coupon Bond	1	100.00
Bond		
Total	**1**	**100.00**

During April-June, 2021 the Commission accorded consent to issuance of prospectus under Bangladesh Securities and Exchange Commission (Public Issue) Rules, 2015 of the following 03 (three) companies to raise capital of Tk. 344.00 crore through Initial Public Offering (IPO).

Sl. No.	Name of the Company	Date of Consent	Paid up Capital (Before IPO) Taka	Issue Price Taka	Number of shares issued	IPO amount in Taka (Including premium if any)	Paid up Capital (Post IPO) Taka
1	2	3	4	5	6	7	8
1	Sonali Life Insurance Company Ltd.	22/04/21	28,50,00,000	10	1,90,00,000	19,00,00,000	47,50,00,000
2	Baraka Patenga Power Limited	04/05/21	99,22,50,000	32 (E.I) 29 (G.I)	7,37,70,488	2,25,00,00,000	1,72,99,54,880
3	South Bangla Agricultural Bank Ltd	01/06/21	6,74,64,55,170	10	10,00,00,000	1,00,00,00,000	7,84,64,55,170
					Total	**3,44,00,00,000**	

The following company was approved by the Commission for publication of prospectus during April-June, 2021 under the Bangladesh Securities and Exchange Commission (Qualified Investor Offer by Small Capital Companies) Rules, 2018 to raise capital 7.50 crore from eligible investors.

Sl. No.	Name of the Company	Date of Consent	Paid up Capital (Before IPO) Taka	Issue Price Taka	Number of shares issued	IPO amount in Taka (Including premium if any)	Paid up Capital (Post IPO) Taka
1	2	3	4	5	6	7	8
1	Nialco Alloys Limited.	29/04/21	28,50,00,000	10	7,50,00,000	7,50,00,000	36,00,00,000
	Total					**7,50,00,000**	

During April-June, 2021 the Commission gave consent to the following companies to raise of capital through issuance of Bond and Debenture:

Sl. No.	Name of the Company	Particulars	Amount (Taka)
1	2	3	4
1	Sajida Foundation	Unsecured, Non-Convertible, Fully Redeemable, Green Zero Coupon Bond of Tk. 1,000,000,000	1,000,000,000
2	EXIM Bank Limited	Unsecured, Non-Convertible, Floating Rate, Mudaraba, Sub-ordinated Bond of Tk. 5,000,000,000	5,000,000,000
3	IFIC Bank Limited	Unsecured, Non-Convertible, Floating Rate, Sub-ordinated Bond of Tk. 5,000,000,000	5,000,000,000
	Total Taka		**11,000,000,000**

During April-June, 2021 the Commission gave consent to the following listed company to raise capital through private offerings:

Sl. No.	Name of the Company	Particulars	Amount (Taka)
1	2	3	4
1	BSRM Steel Re-Rolling Mills Limited	Raising capital through Issuance of Ordinary shares of Tk. 625,163,900/-	625,163,900
	Total Taka		**625,163,900**

5. Enforcement

The Enforcement Department takes legal measures, including imposition of fines, considering the intensity of the violation of Securities Laws against the accused in the capital market. Inspections, investigations and enquiries are carried out under the Securities Laws by the Commission. On the basis of the violations mentioned in the inspection, enquiry and investigation report, show-cause cum hearing notice is issued to the accused of Securities Laws. After conducting hearing and obtaining explanation from the accused, the Commission takes legal action against the accused in accordance with the Securities Laws.

The Enforcement actions taken by the Commission against the Issuer Company, Stock Broker, Stock Dealer, Merchant Banker, Authorized Representative and others concerned due to failure to comply with the Securities Laws during the period April- June, 2021 are furnished below:

Sl. No.	Particulars	Penalty	Warning	Dispose off	Total
1	2	3	4	5	6
1	Issuer Company/Director	2	3	2	7
2	Stock Broker/Stock Dealer/ Authorized Representative	2	12	4	18
3	Merchant Bank	0	0	1	1
4	Asset Management Company	0	0	0	0
5	Credit Rating Companies	0	0	0	0
6	Chartered Accountants	0	0	1	1
7	Investor	2	2	4	8
8	Stock Exchange	0	0	0	0
9	Others	0	1	1	2
	Grand Total	**6**	**18**	**13**	**37**

6. Capital Market Regulatory Reforms and Compliance

The following amendments/orders/directives were issued in April-June, 2021

Sl. No.	Subject	Classification	Reference No.
1	2	3	4
1	Exemption to Midland Bank Limited from the provision of rule 6(1) of the Bangladesh Securities and Exchange Commission (Public Issue) Rules, 2015	Notification	BSEC/CMRRCD/2009-193/14/ Admin/120; dated 15 April 2021
2	Order on revision of effective date of the Order No. BSEC/CMRRCD/2021-388/01 dated 14 January 2021	Order	BSEC/CMRRCD/2009-193/15 dated 27 April 2021
3	Directive on revision of effective date of the Directive No. BSEC/CMRRCD/2021-388/02 dated 14 January 2021.	Directive	BSEC/CMRRCD/2009-193/16 dated 27 April 2021
4	Directive regarding extension of time for submission of financial statements/ application/ other documents/information due to Government declared lock down under Covid-19 pandemic situation.	Directive	BSEC/CMRRCD/2009-193/17 dated 03 May 2021
5	Directive regarding Foreign Company to be a sponsor of mutual Fund.	Directive	BSEC/CMRRCD/2009-193/18 dated 03 May 2021
6	Directive regarding public offer and direct listing of perpetual bond.	Directive	BSEC/CMRRCD/2009-193/19 dated 23 May 2021
7	Making of Bangladesh Securities and Exchange Commission (Capital Market Stabilization Fund) Rules, 2021.	Notification	BSEC/CMRRCD/2021-391/20/ Admin/121; dated 01 June 2021
8	Directive regarding distribution of interest income earned from the Consolidated Customers' Account (CCA) among the Customers.	Directive	BSEC/CMRRCD/2009-193/ 21 dated 21 June 2021
9	Exemption to the issuer or originator of BEXIMCO Green Sukuk from certain provisions of Bangladesh Securities and Exchange Commission (Debt Securities) Rules, 2021.	Directive	BSEC/CMRRCD/2021-393/22/ Admin/122; dated 27 June 2021
10	Notification regarding issuance of bonus shares by the listed company.	Notification	BSEC/CMRRCD/2009-193/23/ Admin/123; dated 30 June 2021

Sl. No.	Subject	Classification	Reference No.
1	2	3	4
11	Amendments to certain Rules under the Bangladesh Securities and Exchange Commission Act, 1993 regarding charges of fees.	Order	BSEC/CMRRCD/2019-392/24/ Admin/124; dated 30 June 2021
12	Amendments to certain Rules under the Securities and Exchange Ordinance, 1969 regarding charges of fees.	Notification	BSEC/CMRRCD/2019-392/25/ Admin/125; dated 30 June 2021
13	Amendments to certain Regulations under the Depository Act, 1999 regarding changes of fees.	Order	BSEC/CMRRCD/2019-392/26/ Admin/126; dated 30 June 2021

7. Registration

The following activities were done by the registration department inApril-June 2021

Sl. No.	Type of Certificate	Name of the concerned Rules	Number of Certificate Issued/ Re-issue	Number of Certificate Renewed	Permission for Branch Opening of SD/SB & MB	Relocation/ shifting of Branch office for MB and SD/SB
1	2	3	4	5	6	7
1	Stock Dealer (DSE)	সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন(স্টক ডিলার, স্টক ব্রোকার ও অনুমোদিত প্রতিনিধি) বিধিমালা, ২০০০	01	82	05	
	Stock Broker (DSE)	Do	01	87		
2	Stock Dealer (CSE)	Do	--	53		
	Stock Broker (CSE)	Do	--	61		
3	Authorized Representative (DSE)	Do	10	128		
4	Authorized Representative (CSE)	Do	28	26		
5	Merchant Bank (MB)	সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (মার্চেন্ট ব্যাংকার ও পোর্টফোলিও ম্যানেজার) বিধিমালা, ১৯৯৬	01	N/A		
6	Asset Management Company	সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (মিউচ্যুয়াল ফান্ড) বিধিমালা, ২০০১	02	N/A		
7	Security Custodian	সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (সিকিউরিটি কাস্টডিয়ান সেবা) বিধিমালা, ২০০৩	--	02		
8	Depository Participants	ডিপজিটরি (ব্যবহারিক) প্রবিধানমালা, ২০০৩	03	59		

Sl. No.	Type of Certificate	Name of the concerned Rules	Number of Certificate Issued/ Re-issue	Number of Certificate Renewed	Permission for Branch Opening of SD/SB & MB	Relocation/ shifting of Branch office for MB and SD/SB
1	2	3	4	5	6	7
9	Trustee of Debt Securities	Securities and Exchange Commission (Private Placement of Debt Securities) Rules, 2012	05	N/A		
10	Trustee of Debt Securities	Bangladesh Securities and Exchange Commission (Debt Securities) Rules, 2021	01	N/A		
11	Trustee	Bangladesh Securities and Exchange Commission (Alternative Investment) Rules, 2015	02	N/A		
12	Trustee	সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (মিউচ্যুয়াল ফান্ড) বিধিমালা, ২০০১	--	N/A		
13	Fund Manager	Bangladesh Securities and Exchange Commission (Alternative Investment) Rules, 2015	01	N/A		
14	Custodian for Mutual Fund	সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (মিউচ্যুয়ালফান্ড), বিধিমালা, ২০০১	--	N/A		
15	Credit Rating Company	Credit Rating Companies Rules 1996	--	N/A		
16	Permission of Digital Booth	Directive Date 13 December 2020	07	N/A		

8. Corporate Finance

The following activities were done by the Corporate Finance Department during April-June, 2021:

Sl. No.	Indicators	Expected	Actual
1	2	3	4
1	**Annual Financial Statements:**		
	Submission:		
1.1	Time extended	--	--
1.2	Time not extended	--	--
1.3	Referred to Enforcement Department to take action against Issuer for non-submission	--	--
1.4	Regulatory submission of corporate annual reports	103	76
1.5	Number of Qualified opinion/Adverse opinion/Disclaimer from external independent auditor	--	13
1.6	Number of unqualified opinion of independent external auditors	76	63
	Analysis:		
1.7	Explanation sought	--	10
1.8	Referred Enforcement Department to take action against Issuer for non-compliance with the Securities Laws	--	02
2	**First Quarter (Q1) Financial Statements :**		
	Submission:		
2.1	Time extended	--	--
2.2	Time not extended	--	--
2.3	Referred to Enforcement Department to take action against Issuer for non-submission	--	--
2.4	Regulatory submission of interim (Q1) Financial Statements	115	89
3	**Second Quarter (Q2) Financial Statements :**		
	Submission:		
3.1	Time extended	--	--
3.2	Time not extended	--	--
3.3	Referred to Enforcement Department to take action against Issuer for non-submission	--	--
3.4	Regulatory submission of interim (Q2) Financial Statements	02	02
4	**Third Quarter (Q3) Financial Statements :**		
	Submission:		
4.1	Time extended	--	--
4.2	Time not extended	--	--

Sl. No.	Indicators	Expected	Actual
1	2	3	4
4.3	Referred to Enforcement Department to take action against Issuer for non-submission	--	--
4.4	Regulatory submission of interim (Q3) Financial Statements	254	225
5	**Corporate Governance:**		
5.1	Consent accorded for appointment of Independent Director	--	12
5.2	Consent not accorded for appointment of Independent Director	--	01
5.3	Explanation Sought	--	06
5.4	Regulatory Submission of Corporate Governance Audit Report	103	61
5.5	Nomination duly approved by the Commission	--	07
5.6	Nomination duly rejected by the Commission	--	01
6	**Utilization of IPO/RPO/RI Fund:**		
6.1	Explanation/Reports accepted	29	29
7	**Enquiry/Investigation:**		
7.1	Appointment of regulatory Enquires and Investigation Committee*	--	01
7.2	Number of Enquires and Investigation	--	02
8	**Special Audit:**		
8.1	Appointment of Special Auditor **	--	02
8.2	Number of Special Audit	--	04
8.3	Referred to Enforcement Department to take action against Issuer for non-compliance with the Securities Laws	--	01

N.B. * Enquery and Investigation for Alif Industries Ltd.
** Special Audit for Prime Islami Life Insurance Ltd. and FAS Finance and Investment Ltd.

9. Market Surveillance and Intelligence

• Market Surveillance:

The primary responsibility of market surveillance has been entrusted to the stock exchanges. However, BSEC keeps a proactive oversight on market movements and trends and in exceptional circumstances it analyses the same.

• Instant Watch:

As part of daily online supervision of the market, the surveillance officials of the Commission watch surveillance system “Instant Watch Market” and analyze the trading activities of the stock exchanges in order to find out irregularities, suspicious trading and market misconducts, if any. At the end of the day, a daily trade report is prepared by pointing out market conditions and is submitted to the Chairman, the Commissioners and the concerned Executive Director of the Commission.

• Intelligence:

The surveillance officials of the Commission use their intelligence and observe suspicion with 360 degree view to find financial crime in depth through watching stakeholder's website, relevant news & report and "Business Intelligence" of “Instant Watch Market” system.

• Enquiry & Investigation:

In order to ensure fair trading and build confidence among investors in the securities market, it is necessary to ensure proper compliance of securities related laws, rules and regulations through investigation into suspicious and manipulative cases.

During April-June, 2021 BSEC conducted enquiry & investigation on unusual movement of price and volume of the following suspicious and manipulative cases;

Sl. No.	Enquiry & Investigation	Status
1	2	3
1	S. S Steel Limited Shares	The report is submitted and is under further analysis.
2	Dominage Steel Ltd	The report is referred to Enforcement Department for further necessary action.

During April-June, 2021 BSEC conducted enquiry against person who directly or indirectly involved in spreading rumor regarding prediction of price and undisclosed information through social and other media from January, 2021 and an inspection was also conducted on inspecting registered office and factory premises of BD Paints Ltd.

During April-June, 2021 Dhaka Stock Exchange Limited (DSE) and Chittagong Stock Exchange Limited (CSE) submitted the following investigation reports to the Commission:

Sl. No.	Investigation Reports	Status	Remarks
1	2	3	4
1	Aman Cotton Fibrous Limited	The report is referred to Enforcement Department for further necessary action.	
2	Tung Hai Knitting & Dyeing Limited	The report is referred to Enforcement Department for further necessary action.	
3	GBB Power Ltd.	The report is under process.	
4	Mercantile Insurance Co. Ltd.	The report is under process.	
5	BD Thai Aluminum Ltd.	The report is under process.	
6	Bangladesh Finance and Investment Company Ltd.	The report is under process.	
7	Sonar Bangla Insurance Ltd.	The report is under process.	

- **Inspection of Surveillance Department of Stock Exchanges:**

The Surveillance Department of Stock Exchanges has been strengthened in terms of manpower and systems as directed by the BSEC. During April-June, 2021 the BSEC inspected Surveillance Department of Dhaka Stock Exchanges and considering the COVID 19 pandemic and country's lockdown situation shortcomings and suggestions of the exchanges were provided to them for improvement of the functioning of Surveillance Department through zoom platform.

- **Coordination Meeting among the Stakeholders & Regulatory Bodies:**

In order to ensure fair trading and build confidence among investors in the securities market, upon considering COVID 19 pandemic and country's lockdown situation, BSEC arranged several coordination meeting regarding Surveillance and Intelligence among the stakeholder i.e. DSE, CSE, CDBL & CCBL and money market regulator Bangladesh Bank during April-June, 2021 through zoom platform. Apart from this, department also arranged a meeting with related parties of Provati Insurance Company Limited regarding circular movement of securities trading.

10. Mutual Fund and Special Purpose Vehicle

During April-June, 2021 Mutual Fund and SPV Department performed the following activities:

(1) Activities related to the approval of Mutual Fund:

Sl. No.	Name of the Fund	Fund Highlights
1	2	3
1	LB Gratuity Wealth Builder Fund	Nature: Open-end Mutual Fund.
		Life and Size of the Fund: Open-end.
		Sponsor & Asset Manager: Lanka-Bangla Finance Limited Employees Gratuity Fund Trust & Lanka-Bangla Asset Management Limited.
		Trustee: Bangladesh General Insurance Company Limited.
		Custodian: BRAC Bank Limited.
		Initial Size of the Fund: 10.00 Crore. Sponsors Contribution: Tk. 2.00 Crore. General Investors: Tk. 8.00 Crore.
		Face Value: Tk. 10 (Taka Ten) per unit.
		Prospectus Approval Date: 08.04.2021.
2	Joytun 1st Unit Fund	Nature: Open-end Mutual Fund.
		Life and Size of the Fund: Open-end.
		Sponsor & Asset Manager: Joytun Asset Management Ltd.
		Trustee: ICB Capital Management Limited.
		Custodian: ICB Capital Management Limited.
		Initial Size of the Fund: 10.00 Crore. Sponsors Contribution: Tk. 3.00 Crore. General Investors: Tk. 7.00 Crore.
		Face Value: Tk. 10 (Taka Ten) per unit.
		Prospectus Approval Date: 22.04.2021.

Sl. No.	Name of the Fund	Fund Highlights
1	2	3
3	RBIMCO BGFI Fund	Nature: Open-end Mutual Fund.
		Life and Size of the Fund: Open-end.
		Sponsor & Asset Manager: Royal Bengal Investment Management Ltd.
		Trustee: Bangladesh General Insurance Company Limited.
		Custodian: BRAC Bank Limited.
		Initial Size of the Fund: 10.00 Crore. Sponsors Contribution: Tk. 1.00 Crore. General Investors: Tk. 9.00 Crore.
		Face Value: Tk. 10 (Taka Ten) per unit.
		Prospectus Approval Date: 02.05.2021.
4	CWT Opportunities Fund	Nature: Open-end Mutual Fund.
		Life and Size of the Fund: Open-end.
		Sponsor & Asset Manager: CWT Asset Management Company Ltd.
		Trustee: Sandhani Life Insurance Company Limited.
		Custodian: BRAC Bank Limited.
		Initial Size of the Fund: 10.00 Crore. Sponsors Contribution: Tk. 1.00 Crore. General Investors: Tk. 9.00 Crore.
		Face Value: Tk. 10 (Taka Ten) per unit.
		Prospectus Approval Date: 02.05.2021.

Sl. No.	Name of the Fund	Fund Highlights
1	2	3
5	IDLC Income Fund	Nature: Open-end Mutual Fund.
		Life and Size of the Fund: Open-end.
		Sponsor & Asset Manager: IDLC Asset Management Company Ltd.
		Trustee: Sandhani Life Insurance Company Limited.
		Custodian: BRAC Bank Limited.
		Initial Size of the Fund: 10.00 Crore. Sponsors Contribution: Tk. 1.00 Crore. General Investors: Tk. 9.00 Crore.
		Face Value: Tk. 10 (Taka Ten) per unit.
		Prospectus Approval Date: 14.06.2021.
6	Shanta Fixed Income Fund	Nature: Open-end Mutual Fund.
		Life and Size of the Fund: Open-end.
		Sponsor & Asset Manager: Shanta Asset Management Ltd.
		Trustee: Bangladesh General Insurance Company Limited.
		Custodian: BRAC Bank Limited.
		Initial Size of the Fund: 10.00 Crore. Sponsors Contribution: Tk. 1.00 Crore. General Investors: Tk. 9.00 Crore.
		Face Value: Tk. 10 (Taka Ten) per unit.
		Prospectus Approval Date: 30.06.2021.

(2) A brief scenario of existing Mutual Funds:

- Open-end Mutual Funds:

Sl. No.	Name of the Fund	Fund Size (Taka in crore)	NAV at Cost price (As on 30/06/2021)	NAV at Market Price (As on 30/06/2021)
1	2	3	4	5
1	ICB AMCL Pension Holders Unit Fund	48.74	76.73	34.09
2	ICB AMCL Unit Fund	913.41	913.41	795.33
3	Prime Financial First Unit fund	15.56	15.56	16.44
4	Alliance Shandhani Life Unit Fund	25.02	25.18	24.54
5	Bangladesh Fund	2022.13	2017.69	1665.13
6	MTB Unit Fund	76.96	77.01	78.06
7	Rupali life Insurance First Mutual Fund	32.14	37.81	33.47
8	ICB AMCL Converted First Unit Fund.	45.71	45.71	35.02
9	CAPM Unit Fund	14.29	14.29	15.05
10	ICB AMCL Islamic Unit Fund	77.24	77.24	67.36
11	UFS Popular Life Unit Fund.	72.66	80.48	83.49
12	Peninsula AMCL BDBL Unit Fund One	19.00	24.53	24.24
13	VIPB Accelerated Income Unit Fund	71.70	71.70	75.90
14	First ICB Unit Fund	101.82	101.82	86.97
15	Second ICB Unit Fund	18.22	18.22	16.40
16	Third ICB Unit Fund	37.95	37.95	35.95
17	Fourth ICB Unit Fund	24.25	24.25	22.29
18	Fifth ICB Unit Fund	40.37	40.37	36.71
19	Sixth ICB Unit Fund	31.94	31.94	29.48
20	UFS Padma Life Islamic Unit Fund	46.80	50.31	51.39
21	LankaBangla 1st Balanced Unit Fund	47.57	47.57	48.04
22	ATC Shariah Unit Fund	16.81	16.81	16.20
23	ICL Balanced Fund	28.60	34.37	35.49
24	Seventh ICB Unit Fund	45.72	45.72	43.39

Sl. No.	Name of the Fund	Fund Size (Taka in crore)	NAV at Cost price (As on 30/06/2021)	NAV at Market Price (As on 30/06/2021)
1	2	3	4	5
25	UFS Pragati Life Unit Fund	8.47	9.99	10.29
26	Prime Finance Second Mutual Fund	21.26	29.53	33.99
27	Eighth ICB Unit Fund	41.28	41.28	38.30
28	Credence First Growth Fund	22.71	22.71	22.35
29	UFS-IBBL Shariah Unit Fund	101.12	108.77	105.80
30	IDLC Growth Fund	26.14	34.43	30.45
31	NAM IBBL Islamic Unit Fund	20.32	20.32	19.37
32	Peninsula Sadharan Bima Corporation Unit Fund	37.55	41.71	40.49
33	Zenith Annual Income Fund	6.10	9.71	10.13
34	HFAML Unit Fund	32.77	36.46	34.17
35	LankaBangla Al-Arafah Shariah Unit Fund	32.85	32.85	31.88
36	Credence First Shariah Unit	11.79	11.79	11.35
37	Shanta First Income Unit Fund	83.33	83.33	95.91
38	VIPB Growth Fund	21.36	21.36	21.71
39	IDLC Balanced Fund	58.75	74.53	65.48
40	UFS-Bank Asia Unit Fund	19.92	22.24	21.44
41	BCB ICL Growth Fund.	28.67	33.05	33.86
42	Capitec Padma P. F Shariah Unit Fund	35.91	35.91	33.58
43	EDGE Bangladesh Mutual Fund	36.95	36.55	39.19
44	HFAML-ACME Employees' Unit Fund	15.09	18.47	18.48
45	Vanguard AML Growth Fund	10.57	10.57	11.53
46	Shanta Amanah Shariah Fund	30.10	30.10	31.74
47	AAML Unit Fund	10.92	10.92	12.04
48	ICB AMCL Second NRB Unit Fund	135.15	135.15	137.08

Sl. No.	Name of the Fund	Fund Size (Taka in crore)	NAV at Cost price (As on 30/06/2021)	NAV at Market Price (As on 30/06/2021)
1	2	3	4	5
49	Peninsula Balanced Fund	8.88	10.83	11.67
50	Constellation Unit Fund	4.96	4.96	4.78
51	CWT Emarging Bangladesh First Unit Fund	8.02	10.99	12.42
52	Esquire ICL Apparel Fund	17.38	21.26	23.47
53	Capitec Popular Life Unit Fund	29.82	29.82	30.14
54	IDLC Asset Management Shariah Fund	17.25	21.29	19.40
55	EDGE AMC Growth Fund	30.23	30.23	32.26
56	Ekush First Unit Fund	20.56	20.56	21.27
57	EBL AML 1st Unit Fund	18.30	18.30	18.58
58	1st ACACIA SRIM SME Growth Unit Fund	22.02	22.02	23.76
59	CWT - Sadharan Bima Growth Fund	14.17	17.07	18.27
60	RACE Special Opportunities Fund	52.41	52.41	56.52
61	LB Gratuity Opportunities Fund	10.86	10.86	11.58

- Close-end Mutual Funds:

Sl. No.	Name of the Fund	Fund Size (Taka in crore)	NAV at Cost Price (As on 30/06/2021)	NAV at Market Price (As on 30/06/2021)
1	2	3	4	5
1	IFIL Islamic Mutual Fund-1	100.00	110.89	91.80
2	Grameen Mutual Fund 1: Scheme Two	182.4	209.82	374.26
3	Prime Finance First Mutual Fund	20.00	36.11	26.29
4	EBL First Mutual Fund	144.8	175.58	169.81
5	ICB AMCL Second Mutual Fund	50.00	68.74	50.12
6	ICB Employees Provident Mutual Fund: Scheme-1	75.00	90.24	66.62
7	DBH First Mutual Fund	120.00	134.50	143.36
8	Trust Bank 1st Mutual Fund	303.6	350.36	365.24
9	Prime Bank 1st ICB AMCL Mutual Fund	100.00	121.53	97.35
10	IFIC Bank 1st Mutual Fund	182.2	206.49	211.17
11	Phoenix Finance 1st Mutual Fund	60.00	52.51	55.74
12	ICB AMCL 3rd NRB Mutual Fund	100.00	118.64	88.21
13	First Janata Bank Mutual Fund	289.9	341.60	344.82
14	Green Delta Mutual Fund	150.00	168.08	175.57
15	Popular Life First Mutual Fund	299.10	343.53	358.76
16	PHP First Mutual Fund	281.9	318.79	337.35
17	AIBL 1st Islamic Mutual Fund	100.00	101.00	107.92
18	MBL 1st Mutual Fund	100.00	101.21	112.27
19	EBL NRB Mutual Fund	243.3	250.22	276.09
20	Southeast Bank 1st Mutual Fund	99.8	127.29	134.69
21	Reliance One' The First Scheme of Reliance Insurance Mutual Fund	60.5	70.30	87.54
22	LR GLOBAL Bangladesh Mutual Fund One	311.1	349.97	385.64
23	AB Bank 1st Mutual Fund	239.1	279.42	288.53
24	First Bangladesh Fixed Income Fund	776.1	865.19	911.91

Sl. No.	Name of the Fund	Fund Size (Taka in crore)	NAV at Cost Price (As on 30/06/2021)	NAV at Market Price (As on 30/06/2021)
1	2	3	4	5
25	NLI First Mutual Fund	50.3	69.71	77.18
26	NCCBL Mutual Fund-1	108.6	114.45	128.63
27	EXIM Bank 1st Mutual Fund	143.3	162.79	173.99
28	ICB AMCL Sonali Bank Limited 1st Mutual Fund	100.00	118.19	101.66
29	Asian Tiger Sandhani Life Growth Fund	61.8	74.22	76.62
30	SEML Lecture Equity Management Fund	50.00	62.75	58.68
31	Vanguard AML BD Finance Mutual Fund One	104.3	128.30	133.34
32	Vanguard AML Rupali Bank Balanced Fund	158.7	178.59	181.18
33	CAPM BDBL Mutual Fund 01	50.1	59.06	59.06
34	SEML IBBL Shariah Fund	100.00	118.30	112.70
35	ICB AMCL First Agrani Bank Mutual Fund	98.2	110.66	106.07
36	CAPM IBBL Islamic Mutual Fund	66.9	77.38	80.34
37	SEML FBSL Growth Fund	72.9	88.05	89.36

11. Supervision and Regulation of Markets Issuer Companies

- The following Issuer Companies conducted Annual General Meeting during April-June, 2021.

Sl. No.	Code No.	Name of Company	Year-End	Date of AGM	% of Dividend		Record Date	Declaration Date
					Cash	Stock		
1	2	3	4	5	6	7	8	9
1	11137	IPDC Finance Limited	202012	04/04/2021	12.00	-	11/03/2021	18/02/2021
2	27003	Robi Axiata Limited	202012	12/04/2021	nill	-	08/03/2021	16/02/2021
3	13211	Singer Bangladesh Ltd.	202012	15/04/2021	30.00	-	16/02/2021	26/01/2021
4	27001	Grameenphone Ltd.	202012	19/04/2021	275%	-	17/02/2021	28/01/2021
5	21643	Lafarge Holcim Bangladesh Limited.	202012	22/04/2021	10.00	-	24/03/2021	03/03/2021
6	25714	Reliance Insurance Ltd.	202012	25/04/2021	25.00	-	04/04/2021	11/03/2021
7	11121	Dutch-Bangla Bank Ltd.	202012	26/04/2021	15.00	15%B	28/03/2021	07/03/2021
8	25722	Agrani Insurance Co. Ltd.	202012	28/04/2021	5.00	5%B	06/04/2021	15/03/2021
9	11128	Mercantile Bank Ltd.	202012	28/04/2021	10.00	5%B	05/04/2021	14/03/2021
10	11139	Shahjalal Islami Bank Ltd.	202012	28/04/2021	7.00	5%B	04/04/2021	11/03/2021
11	25703	United Insurance Company Ltd.	202012	28/04/2021	11.00	-	04/04/2021	11/03/2021
12	99641	Aman Feed Limited	202006	29/04/2021	10.00	2.50%B	13/12/2020	23/11/2020
13	11127	Bank Asia Ltd.	202012	29/04/2021	10.00	-	08/04/2021	21/03/2021

Sl. No.	Code No.	Name	Year-End	Date of AGM	% of Dividend		Record Date	Declaration Date
					Cash	Stock		
1	2	3	4	5	6	7	8	9
14	11135	LankaBangla Finance Ltd.	202012	29/04/2021	12.00	-	05/04/2021	11/03/2021
15	18454	Unilever Consumer Care Limited	202012	29/04/2021	440.00	-	23/03/2021	01/03/2021
16	17477	Aman Cotton Fibrous Limited	202006	02/05/2021	10.00	-	18/04/2021	25/03/2021
17	25733	Paramount Insurance Co. Ltd.	202012	03/05/2021	-	20%B	01/04/2021	09/03/2021
18	11140	Premier Bank Ltd.	202012	05/05/2021	12.50	7.50%B	12/04/2021	22/03/2021
19	11113	United Finance Ltd.	202012	05/05/2021	10.00	-	11/04/2021	21/03/2021
20	20621	Delta Brac Housing Fin. Corp. Ltd.	202012	06/05/2021	15.00	15%B	13/04/2021	23/03/2021
21	11124	First Finance Limited.	201912	06/05/2021	-	2%B	13/04/2021	23/03/2021
22	25745	Padma Islami Life Insurance Limited	202012	06/05/2021	nill	-	19/04/2021	28/03/2021
23	20623	National Housing Finance and Investment Ltd.	202012	18/05/2021	15.00	-	18/04/2021	25/03/2021
24	11102	The City Bank Ltd.	202012	19/05/2021	17.50	5%B	13/04/2021	23/03/2021
25	11116	Prime Bank Ltd.	202012	20/05/2021	15.00	-	25/04/2021	01/04/2021
26	18471	Libra Infusions Ltd.	201906	24/05/2021	5.00	-	03/05/2021	13/04/2021
27	11138	BRAC Bank Ltd.	202012	27/05/2021	10.00	5%B	04/05/2021	13/04/2021

Sl. No.	Code No.	Name	Year-End	Date of AGM	% of Dividend		Record Date	Declaration Date
					Cash	Stock		
1	2	3	4	5	6	7	8	9
28	11103	IFIC Bank Ltd.	202012	27/05/2021	-	5%B	25/04/2021	01/04/2021
29	15301	Linde Bangladesh Limited	202012	27/05/2021	400.00	-	29/04/2021	11/04/2021
30	11109	Uttara Bank Ltd.	202012	27/05/2021	12.50	12.50%B	02/05/2021	11/04/2021
31	11112	Eastern Bank Ltd.	202012	30/05/2021	17.50	17.50%B	05/05/2021	13/04/2021
32	25743	Provati Insurance Company Ltd.	202012	30/05/2021	-	17%B	19/04/2021	25/03/2021
33	11134	Jamuna Bank Ltd.	202012	31/05/2021	17.50	-	20/04/2021	25/03/2021
34	11106	Pubali Bank Ltd.	202012	03/06/2021	12.50	-	09/05/2021	13/04/2021
35	11101	AB Bank Limited	202012	10/06/2021	-	5%B	19/05/2021	28/04/2021
36	25749	Crystal Insurance Company Limited	202012	10/06/2021	10.00	-	17/05/2021	27/04/2021
37	25708	Eastland Insurance Company Limited	202012	10/06/2021	7.00	3%B	28/04/2021	11/04/2021
38	25735	Continental Insurance Ltd.	202012	15/06/2021	6.00	4%B	20/05/2021	13/04/2021
39	25727	Nitol Insurance Co. Ltd.	202012	20/06/2021	10.00	-	24/05/2021	19/04/2021
40	25747	Bangladesh National Insurance Company Limited	202012	22/06/2021	15.00	-	19/05/2021	26/04/2021
41	18460	Reckitt Benckiser (Bd.) Ltd.	202012	22/06/2021	1,400.00	-	27/05/2021	02/05/2021

Sl. No.	Code No.	Name	Year-End	Date of AGM	% of Dividend		Record Date	Declaration Date
					Cash	Stock		
1	2	3	4	5	6	7	8	9
42	11133	Islamic Finance & Investment Ltd.	202012	24/06/2021	10.00	-	05/05/2021	11/04/2021
43	11123	Mutual Trust Bank Ltd.	202012	24/06/2021	-	10%B	31/05/2021	05/05/2021
44	11126	One Bank Limited	202012	24/06/2021	6.00	5.50%B	11/05/2021	29/03/2021
45	11150	NRB Commercial Bank Limited	202012	26/06/2021	7.50	5%B	31/05/2021	02/05/2021
46	11104	Islami Bank Bd. Ltd.	202012	27/06/2021	10.00	-	20/05/2021	28/04/2021
47	21614	Heidelberg Cement Bangladesh Ltd.	202012	28/06/2021	20.00	-	25/05/2021	29/04/2021
48	11118	Dhaka Bank Ltd.	202012	29/06/2021	6.00	6%B	31/05/2021	09/05/2021
49	11129	Export Import (Exim) Bank of Bangladesh Ltd.	202012	29/06/2021	7.50	2.50%B	06/06/2021	11/05/2021
50	25704	Peoples Insurance Company Ltd.	202012	29/06/2021	11.00	-	30/05/2021	02/05/2021
51	25734	City General Insurance Co. Ltd.	202012	30/06/2021	10.00	-	24/05/2021	02/05/2021
52	25721	Mercantile Insurance Co. Ltd.	202012	30/06/2021	10.00	-	16/06/2021	24/05/2021
53	11117	Southeast Bank Ltd.	202012	30/06/2021	10.00	-	03/06/2021	11/05/2021

12. Supervision and Regulation of Intermediaries

In order to protect the interest of investors in securities, to increase the confidence among investors towards the capital market and to develop the securities market, SRI Department supervises and regulates the activities of Stock Dealers/Stock Brokers, Depository Participants, Merchant Bankers, Credit Rating Companies, Securities Custodian, Asset Management Companies and other Market Intermediaries. This Department conducts routine inspection on the Market Intermediaries on monthly basis and also conducts special inspection as and when required. The Department also deals with the complaints lodged by the investors. During April-June, 2021 the following activities, among others, were performed by this Department:

A. Settlement of Complaints of Investors:

SRI Department is responsible for settling the complaints of the investors of securities market. Since September 30, 2019, the investors have been submitting their complaints online through Customer Complaint Address Module (CCAM). Using the Module, the investors can easily track the current status of their complaints online. As a result, efficiency, transparency and accountability in complaint settlement have been increased significantly. A summary of the complaints lodged by investors through CCAM are furnished below:

Type of Institutions against which complaint received	Number of complaints received	Resolved	Under process
1	2	3	4
Stock Broker/Stock Dealer	50	37	13
Merchant Bank	1	-	1
Asset Manager	4	3	1
Issuer	30	24	6
Total	**85**	**64**	**21**

In addition to the complaints lodged through CCAM, investors also lodged complaints to the Commission manually. A summary of the said complaints are furnished below:

Type of Institutions against which complaint received	Previous unresolved complaints	Complaints received during the period	Total Complaints	Sent for Enforce-ment action	Resolved	Uploaded in CCAM	Under process
1	2	3	4	5	6	7	8
Stock Broker/ Stock Dealer	4	23	27	8	8	0	11
Merchant Bank	1	0	1	0	1	1	0
Total	**5**	**23**	**28**	**8**	**9**	**1**	**11**

B. Exchange of Information with Government Organizations:

An online Platform called External Data Request Processing (EDRP) was introduced on April 15, 2019 to facilitate exchange of information with different government agencies including Anti-Corruption Commission (ACC). As per request of different government organizations, information has been provided to them using the platform. Summary is shown in the table below:

Name of the Institutions who requested information	Number of Letters received	Number of letters against which information provided	Under process
1	2	3	4
Anti-Corruption Commission (ACC)	91	91	-
Criminal Investigation Department (CID)	6	6	-
National Board of Revenue (NBR)	8	8	-
Others	3	2	1
Total	**108**	**107**	**1**

C. Inspections on Market Intermediaries:

During April-June, 2021 SRI Department has initiated 06 inspections on Capital Market Intermediaries which are shown below:

Type of Market Intermediaries	Number of Inspections	Number of Reports Submitted	Under process
1	2	3	4
Stock Broker/Stock Dealer	04	02	02
Merchant Banker	02	-	02
Total	**06**	**02**	**04**

D. Enquiry/investigation on Market Intermediaries:

During April-June, 2021 SRI Department has initiated 01 Enquiry/Investigation on Market Intermediaries of the capital market. Details are shown below:

Type of Market Intermediaries	Number of Enquiry /Investigation	Number of Report Submitted	Under process
1	2	3	4
Stock Broker/Stock Dealer	01	-	01
Total	**01**	**-**	**01**

E. Action taken on the inspection reports submitted by the inspection teams:

The inspection teams of SRI Department conducted inspection on Market Intermediaries and submitted reports to the Commission. Besides that, as per instructions of the Commission, Chittagong Stock Exchange Limited (CSE) and Dhaka Stock Exchange Limited (DSE) conducted routine inspection on the TREC Holder Companies and submitted reports to the Commission. During April-June, 2021 the following actions were taken on the inspection reports received by SRI Department.

Inspection conducted by	Previous Reports under examination	Reports received during the period	Total Reports	Sent for Enforcement action	Disposed of with warning letter issued by SRI Department	Disposed of without any action	Under process
BSEC	2	1	3	2	-	-	1
DSE	14	18	32	14	4	13	1
CSE	11	10	21	1	2	18	-
Total	**27**	**29**	**56**	**17**	**6**	**31**	**2**

F. Examination of Audited Financial Statements of TREC holder companies:

SRI Department, in collaboration with the Stock Exchanges, examines the Audited Financial Statements (AFS) of TREC holder companies. During April-June, 2021 the following activities were performed regarding examination of audited financial statements of TREC holders:

Particulars	Number of TREC Holder Companies	
	CSE	DSE
1	2	3
Examination of the Audited Financial Statements of TREC Holder companies by the Stock Exchanges	26	87
Observations forwarded to the Commission by the Stock Exchanges	-	51
Conduction of meeting with the TREC Holder Companies by the Stock Exchanges	1	35

G. Appointment and Termination of Managing Director/CEO of Merchant Bankers:

During April-June, 2021 the following activities were performed by the Department regarding Appointment and Termination of Managing Director/CEO of Merchant Bankers:

Matter of Application	Number of previous Application	Number of Application received during the period	Total number of Application	Approval granted	Approval not granted	Under process
1	2	3	4	5	6	7
Appointment of Managing Director/CEO	6	6	12	6	3	3
Time extension for appointment of Managing Director /CEO in vacant post	1	1	2	2	-	-
Termination from the post of Managing Director/CEO	1	-	1	1	-	-
Total	**8**	**7**	**15**	**9**	**3**	**3**

13. Law

Total 584 cases filed by or filed against Bangladesh Securities And Exchange Commission remained pending in different courts. Name of the courts are given below along with number of pending cases:

Sl. No.	Name of the Court		Number of Cases
1	2		3
1	Supreme Court of Bangladesh	Appellate Division	13
		High Court Division	265
2	Special Tribunal, BSEC, Dhaka		16
3	District Judge Court, Joint District Judge Court, Assistant Judge Court, Dhaka		06
4	Chief Metropolitan Magistrate Court, Dhaka		03
5	General Certificate Court, Dhaka		281
	Total Number of Cases :		**584**

14. Central Depository System

The following Mutual Funds joined Central Depository System during April-June, 2021:

Sl. No	Name of the Mutual Fund	Applied for (Fund Size in BDT)	Subscribed amount in BDT	Enlisted in CDS
1	2	3	4	5
1	UCB AML First Mutual Fund	200,000,000	200,000,000	05-04-2021
2	CWT Opportunities Fund	100,000,000	100,000,000	29-06-2021

The following companies joined Central Depository System during April-June, 2021:

Sl. No.	Name of the Companies	Applied for IPO (Fund Size in BDT)	Subscribed amount in BDT	Enlisted in CDS
1	2	3	4	5
1	Nialco Alloys Limited	75,000,000	75,000,000	11-06-2021
2	Sonali Life Insurance Limited	190,000,000	190,000,000	29-06-2021

15. Management Information System

The main objectives of MIS Department is to carry out development of ICT infrastructure, maintenance and its upgradation, introduce e-services to facilitate ease of doing business and build up a digitalized capital market monitoring system.

The activities of MIS Department are given bellow:

- Providing technical assistance in the use of government e-nothi system for official activities;
- Installation and maintenance of official computer hardware and software;
- Providing technical support for various meetings / seminars / presentations including online meetings;
- Ensuring uninterrupted internet service of 100 Mbps speed (including 100 Mbps redundant connection);
- Taking back up and preserve the digital information of the Commission;
- Preparing Technical Specification for IT related purchases;
- Taking steps to ensure the cyber security of the Commission's information;
- Arranging training to the employees of the Commission on Information Security Awareness;
- Providing necessary assistance in completing the tender process of the Commission through e-GP portal;
- Carrying out system development / modification / upgradation / maintenance as per requirements of different departments of the Commission;
- Ensuring information security of the Commission's Dynamic website using SSL certificate, keeping the website always up through 3 domains- **www.sec.gov.bd, www.secbd.org** and **www.এসইসিবিডি.বাংলা** and updating the content;
- Providing assistance to Digital Transformation Consultant for the purpose of modern digital transformation of the capital market.

- The activities accomplished/continued during April-June, 2021:

Sl. No.	Activities Performed	Current Status
	1	2
1	Launching of online-based Regulatory Information System (RIS) for listed companies and market intermediaries to collect information, carrying out activities and preserve data;	All listed companies will submit their monthly/ quarterly/annual reports and financial statement based on specified template/form through RIS. Based on specified template/form, the interested institutions will apply for registration as a Market Intermediaries in the capital market using RIS.
2	Launching Independent Directors' Online Database Platform for capital market related companies.	A system has been developed for submitting the updated information of Independent Directors of different companies concerning capital market through Independent Directors' Online Database Platform. The launching of system is under processing.
3	Preparation of Fintech Feasibility Study Report on Bangladesh Capital Markets;	The feasibility report is being prepared on Fintech in the capital market of Bangladesh.
4	Preparation of feasibility report on Block Chain technology in the capital market of Bangladesh;	A research and report is being prepared for the possibility of using Block Chain technology in the capital market of Bangladesh.
5	Launching of Internet-based Cloud Backup System to protect official data of the Commission's employees;	The internet based Cloud Backup System has been prepared for the protection of official data of the employees of the Commission.
6	Launching of ERP System;	Using ERP system, the employees of the Commission are performing various daily activities such as Leave Application, Attendance Management, Stationary Requisition, Payroll management and etc.
7	Launching of Online IPO Application Submission Platform;	The applicant of IPO will apply for IPO using Online IPO Application Platform. The system already has been developed and it is under process for launching.
8	Upgradation and modernization of Polycom Video Conferencing System;	It is under process.
9	Launching of Online Application for Enlistment of Audit Firms;	Primarily, a template of application form is being prepared and the development process is ongoing based on template.

The uploaded information during April – June, 2021 in the commission website:

- IPO Prospectus - 02
- Mutual Fund Prospectus - 06
- Enforcement Actions - 32
- Other Order/Notification/Directive etc. - 15
- Annual Report - 01
- Proposed Draft Rule - 03
- Press Release - 11
- Tender Information - 01
- Other received list.

16. Research and Development

- Research and Development performed the following activities during April-June, 2021:

1. Sending the report containing data of Bangladesh Securities and Exchange Commission to the Ministry for inclusion in the budget speech of the next fiscal year 2021-2022;

2. Sending information for inclusion in the first part of the Annual Report 2020-2021of the Financial Institutional Division, Ministry of Finance;

3. Sending information/data for publication of booklet titled Annual Report 2020-2021 to the Financial Institutional Division, Ministry of Finance;

4. Sending progress report to the ministry on the implementation of the recommendations mentioned in the Annual Report on the functions of the Ministries and Departments for the fiscal year 2019-2020;

5. Sending monthly report to the Ministry on significant/important functions of the Commission;

6. Sending information regarding monthly transactions of capital market as per requirement of Bangladesh Bank;

7. Providing other information's as per requirement of the Ministry and

8. During the period Research and Development has edited the following report:

Reports Name	**Number**
1	2
Quarterly Review (April-June, 2021)	1
Bangla News Letter/Porikroma (April-June, 2021)	1

17. Financial Literacy

Financial Literacy Department of the Commission conducts different types of Training, Seminar, Workshop and Conference to create awareness among the investors about investment decision making. The Department also trains the employees of different capital market institutions.

Departmental activities during the period of April-June, 2021 are given below:

Sl. No.	Particulars of Training	Number of Participants
1	2	3
1	Training for Authorized Representatives	44
2	Training for Investors	284
3	Training for Capital Market Intermediaries Employees	290
	Total	**618 Persons**

18. International Relations

International Affairs Department replied several correspondences of foreign bodies concerning queries on different issues and maintained continuous liaison throughout the quarter.

19. Stock Exchanges Operational Statistics

DSE Operational Statistics

April-June, 2021

Trading Months	DSE Broad Index (DSEX) (as on last Trading Day) In Points	Market Capitalization (as on last Trading Day) Million Taka	No. of Trading Days Nos.	Turnover of Securities in Million Quantity		Traded Amount in Million Taka	
				Monthly	Daily Average	Monthly	Daily Average
1	2	3	4	5	6	7	8
April	5,479.62	4,707,127.90	20	3,707	185.33	143,772.65	7,188.63
May	5,990.99	5,038,687.67	19	10,422	548.55	310,104.37	16,321.28
June	6,150.48	5,142,821.30	22	13,542	615.55	435,089.78	19,776.81
Total	--	--	**61**	**27,671.09**	**453.62**	**888,966.80**	**14,573.23**

* Index and Market Capitalization of the last trading day of the months have been considered as relevant figures of respective months

CSE Operational Statistics

April-June, 2021

Trading Month	All Share Price Index (as on last Trading Day)	Market Capitalization in Million Taka (as on last Trading Day)	No. of Trading Days	Turnover of Securities in Million Quantity		Traded Amount in Million Taka	
				Monthly	Daily Average	Monthly	Daily Average
1	2	3	4	5	6	7	8
April	15,844.7955	3,973,517.24	20	218.64	10.93	8,685.97	434.30
May	17,359.5746	4,288,280.81	19	611.91	32.21	15,464.03	813.90
June	17,795.0431	4,384,257.54	22	675.51	30.70	21,296.65	968.03
Total	--	--	**61**	**1,506.06**	**24.69**	**45,446.64**	**745.03**

* Index and Market Capitalization of the last trading day of the months have been considered as relevant figures of respective months.

20. Press Releases

The Commission has issued 11 Press Releases on its activities during this period, which are uploaded in the Commission’s website (**www.sec.gov.bd** and **www.secbd.org, www.এসইসিবিডি.বাংলা**).

BSEC Website Content

- About BSEC
- Notable Events
- Press Release
- Securities Laws
- Investor's Information
- IPO Prospectors
- Annual Report
- Quarterly Report
- Enforcement Actions
- List of Market Intermediaries
- Employment Information
- Tender Information
- Comments Request on Rules Amendment
- Important Links

রেফারেন্স রুম

সিকিউরিটিজ কমিশন ভবন, ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭, বাংলাদেশ
ফোন: (৮৮)-০২-৫৫০০৭১৭৩-২, ফ্যাক্স: (৮৮)-০২-৫৫০০৭১০৬

যা আছে:

- বিএসইসি'র প্রকাশনা
- তালিকাভুক্ত কোম্পানিসমূহের প্রসপেক্টাস
- তালিকাভুক্ত কোম্পানিসমূহের বার্ষিক প্রতিবেদন
- তালিকাভুক্ত কোম্পানিসমূহের প্রকাশিত অর্ধ-বার্ষিক হিসাব বিবরণী
- সিকিউরিটি আইন, রুলস্ ও রেগুলেশনস্/গেজেট নোটিফিকেশনস্
- পুঁজিবাজারের উপর স্থানীয়/বিদেশি প্রকাশনা
- বিএসইসি প্রকাশনার গ্রাহক হওয়ার সুযোগ

বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

সিকিউরিটিজ কমিশন ভবন

ই-৬/সি, আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭, বাংলাদেশ

Website: www.secbd.org, www.sec.gov.bd, www.এসইসিবিডি.বাংলা

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