

ANNUAL REPORT

2023-2024



Bangladesh Securities and Exchange Commission

Securities Commission Bhaban

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PART- I

1. Mentionable Activities of the Commission

1.1 Establishment and Formation of Bangladesh Securities and Exchange Commission

● Establishment of the Commission

An efficient, fair and transparent capital market plays an important role in the country's industrialization and economic development. Bangladesh Securities and Exchange Commission was established on 8 June 1993 as the regulator of the capital market under the Bangladesh Securities and Exchange Commission Act, 1993 for the purpose of safeguarding the interests of investors in securities, development of securities market and enactment of related provisions thereof.

● Formation of the Commission

1. The Commission shall consist of the Chariman and four Commissioners;
2. The Chairman and the Commissioners shall be appointed by the Government; provided that a person from private sector with required qualification and experience in the relevant field shall be appointed as the Commissioner;
3. The Chairman and Commissioners shall be full-time Chairman and Commissioners of the Commission;
4. Expertise in matters relating to the company and securities markets or special knowledge in laws, economics, accounting and any other discipline as may be deemed necessary by the Government for the Commission, shall be considered as required qualification for appointment of the Chairman and Commissoiners;
5. The Chairman shall be the Chief Executive of the Commission;
6. The Chairman and the Commissioners shall hold their offices for a period of four years from the date of appointment and shall be eligible for re-appointment for another term only; Provided that a person who has attained the age of 65 years, shall not be eligible for re-appointment, or hold the position of the Chairman or Commissioners, as the case may be; and
7. The Chairman and any of the Commissoiners may, at any time before end of their service term, resign from his office by giving at least three months prior notice to the Government, Provided that the Chairman or Commissioner, as the case may be, shall continue to carry out his functions until acceptance of his resignation by the Government.

1.2 BSEC's Vision

- Establishment of an automated, sustainable and developed capital market.

1.3 BSEC's Mission

- Protecting the interest of investors in securities;
- Developing the capital and securities markets; and
- Making rules on securities related matter or ancillary thereto.

1.4 Functions of BSEC

As per section-8 of BSEC Act, 1993 the functions of the Commission are as follows:

1. Subject to the provisions of this Act and rules made there under, responsibilities and functions of the Commission shall be ensuring proper issuance of securities, protecting the interest of investors in securities and developing and regulating the capital market.
2. Without prejudice to the foregoing provisions, the functions, in particular, may include the following:
 - a. Regulating the business of stock exchange or any securities market;
 - b. Stipulating and regulating the functions of stock brokers, sub-brokers, share transfer agents, bankers to the issue, merchant bankers, registrars to the issue, managers to the issue, underwriters, portfolio managers, investment advisers, trustee of trust deeds, asset management companies, custodians, credit rating companies and such other intermediating organizations as may be associated with the securities market;
 - c. Registering, regulating and directing the functions of any type of collective investment schemes including mutual funds;
 - d. Developing, monitoring and regulating the self-regulatory organizations;
 - e. Prohibiting fraudulent and unfair business related to securities or securities markets;
 - f. Promoting investment related education and training of all segments of the securities markets;
 - g. Prohibiting of insider trading in securities;
 - h. Regulating substantial acquisition of shares or stocks and take-over or control of companies;
 - i. Calling for information from or through the issuer of securities, stock exchanges and self regulatory organizations of the securities market and conduct inspection, enquiry and audit thereof;
 - j. Notwithstanding anything contained in any other law for the time being in force, in conducting enquiry, the Commission, keeping the Bangladesh Bank informed, may seek information regarding bank account from any bank, insurance company and financial institution or other authority, as the case may be, so far as it relates to the transaction of security;
 - k. Signing agreement relating to securities transaction, exchange of information and cooperation with local and foreign authority or organization subject to prior approval of the government;
 - l. Compiling, analyzing and publishing information related to the financial performance of issuer of securities;
 - m. Levying fees or other charges to accomplish the purposes of this section;
 - n. Conducting research and publishing information and data to fulfill the above purposes;
 - o. Regulating to the activities of clearing corporation established for settlement of transaction of securities including derivatives; and
 - p. Performing such other functions and duties as may be prescribed by the rules to achieve the objectives of this Act.

1.5 Chairman's Statement

The Bangladesh Securities and Exchange Commission (BSEC) was established on 8 June 1993 as a capital market regulatory body by promulgating the Bangladesh Securities and Exchange Commission Act, 1993 for the purpose to protect the interests of investors in securities, development of the securities market and making related matters or regulations thereunder.

As Bangladesh's economy has grown in size over the past few decades, the country's capital market has not seen a similar increase in size, although every sector of the economy has large long-term investment needs. The capital market has an important role in building a solid foundation of the country's economy. BSEC is committed to bring the desired growth in the capital market of Bangladesh in keeping with the economy and GDP.

In the recent crisis, the development of the capital market is essential for establishing a strong economy. This necessity cannot be denied in any way. Therefore, the strong emergence of the capital market as one of the main sources of capital supply in various fields including the industrial and development sectors is the demand of the time. BSEC has already started working to introduce the capital market as the main source of long-term financing and to establish the capital market as a strong medium as a source of investment.

I have been honored to be given this responsibility at a critical time in the country. We have to go through some problems and possibilities.

Bangladesh Securities and Exchange Commission (Commodity Exchange) Rules, 2023 published in the Gazette. Meanwhile, Chittagong Stock Exchange Ltd. to whom the Certificate of Registration of Commodity Exchange is issued.

In the financial year 2023-2024 'LB Multi Asset Income ETF' is listed Exchange Traded Fund (ETF) in Bangladesh Capital Market; which is expected to be an effective instrument in capital market development.

On 03 July 2023, a Memorandum of Understanding (MoU) regarding 'Technical Cooperation for Strengthening the Ecosystem of SDG Bonds in Bangladesh' was signed between the Commission and the United Nations Development Programme.

In the financial year 2023-2024, new investment of Tk 566.00 crore was made through initial public offering in 04 issuer companies, Tk. 113.17 crore was newly invested through 02 right issue. During this time, the Commission has approved the increase of paid up capital of Tk. 12,122.44 crore, Tk. 15.00 crore and Tk. 12,058.68 crore through 23 private limited companies, 3 qualified investors and 38 public limited companies respectively.

4 Stock Brokers, 4 Stock Dealers, 08 Asset Management Companies, 5 Depository Participants, 02 Trustees, 02 Alternative Investment Trustees, for the 31 debt securities issues 04 Fund Managers, 01 Securities Custodian, 01 Market maker, 01 Commodity, 276 digital booth approvals and 254 authorized representatives issued registration certificates.

During the financial year 2023-2024, 594 investigations/inquiries/inspections were conducted to uncover irregularities in financial reporting and financial operations of the company. 691 enforcement actions have been taken against various individuals and institutions violating securities laws. Among them, 76 persons were fined, 602 were given warnings and 13 were given equitals. Out of 226 complaints filed against various individuals and institutions, 222 complaints are settled and 04 are under process. 512 cases filed by BSEC and against BSEC are pending in various

courts. In the financial year 2023-2024, 15 cases have been filed against BSEC and 22 against BSEC and 54 cases have been settled in various courts. 16 new laws, rules, provisions, amendments, orders, instructions and notifications have been issued to regulate and discipline the capital market of Bangladesh. BSEC's nationwide investment education program continues to educate the people of Bangladesh on proper investment education. 9642 persons were trained in FY 2023-2024. Besides the Commission, DSE, CSE, CDBL, CCBL BICM and BASM have conducted various meetings and seminars for investment education.

In the fiscal year 2023-2024, there was a significant decrease in daily trading and volatility in the capital market. The main index of the DSE, the DSE Broad Index (DSEX), decreased by 1,015.69 or 16.01% compared to the previous fiscal year to stand at 5,328.40 on the last day of the 2023-2024 fiscal year. The highest and lowest DSEX price index in the 2023-2024 fiscal year were 6,447.07 and 5,070.02 respectively. The DSE market capitalization decreased by Tk 109,922.15 crore or 14.24% compared to the previous fiscal year to stand at Tk. 662,155.88 crore. In the 2023-2024 fiscal year, the market capitalization increased to a maximum of Tk. 788,449.29 crore and a minimum of Tk. 630,349.26 crore.

The transaction volume on the Dhaka Stock Exchange (DSE) in the fiscal year 2023-2024 was Tk. 149,992.62 crore. This transaction was Tk. 41,094.84 crore or 21.51% less than the previous fiscal year.

The main index of the CSE, the CSE General Index (CASPI), decreased by 3,660.71 compared to the previous fiscal year and stood at 15,066.81 on the last day of the fiscal year 2023-2024. In this fiscal year, the General Index was at its highest at 18,806.36 and its lowest at 14,547.24. In June 2024, the CSE Shariah Index was 990.21. This index was at 1,176.32 in 2023.

The transaction volume of the CSE in the fiscal year 2023-2024 was Tk. 7,478.14 crore. This transaction is about 23 percent more than the previous year. In the same fiscal year, the market capitalization of CSE was Tk. 691,576.00 crore; which is about 8.83% less than the previous year.

On the last day of the 2023-2024 fiscal year, the price-earnings ratio or market PE ratio of securities listed on CSE was 11.56, compared to 14.16 in the previous fiscal year 2022-2023.

In the 241 working days of the 2023-2024 fiscal year, the average trading volume on Dhaka Stock Exchange (DSE) and Chittagong Stock Exchange (CSE) was Tk 622.38 crore and Tk 31.02 crore, respectively. In the previous fiscal year 2022-2023, the average trading volume on DSE and CSE was Tk. 792.89 crore and Tk. 50.29 crore, respectively.

On the last day of the 2023-2024 fiscal year, the price-earnings ratio or market PE of securities listed on DSE was 10.22. On the other hand, on the last day of the 2022-2023 fiscal year, it was 14.34.

In the 2023-2024 fiscal year, the amount of transactions by foreign investors stood at Tk 2,723.06 crore. Compared to the previous fiscal year, foreign investor transactions were observed to be Tk 235.05 crore or 7.95% less.

At the end of the 2023-2024 fiscal year, the number of mobile traders stood at 32,900. Out of the 42,325 orders received per day through mobile in this fiscal year, 39,358 were executed. In the 2023-2024 fiscal year, the value of 20,26,926 shares traded by 8 companies in the OTC market was Tk 75.05 crore. On the other hand, the value of 1,22,48,926 shares traded by 11 companies in the OTC market in the 2022-2023 fiscal year was Tk 37.55 crore.

To create an effective position of the capital market in providing long-term capital in the economy and to restore the confidence of investors, coordination with various financial regulatory agencies is required, market structure and management should be developed in line with international standards, institutional good governance through automation, development of the capital market monitoring system and development & proper use of human resources. The Commission is making unremitting efforts with the determination to bring about the necessary reforms to build a developed capital market. I believe that with the overall cooperation of everyone, we will be able to achieve this goal. I am grateful to everyone for getting this great opportunity to serve the country during the country's crisis.



Khondoker Rashed Maqsood

Chairman

Bangladesh Securities and Exchange Commission

1.6 BSEC's key Performance Indicator

1. Approval to Raise Capital for Different Companies

Particulars	Number	Raising Amount (Crore Taka)	Number	Raising Amount (Crore Taka)
Fiscal Year	2022-2023		2023-2024	
IPO	06	641.26	04	566.00
Right issue	-	-	02	113.17
Qualified Investor Offer	02	15.00	03	15.00
Total	08	656.26	09	694.17
Public Limited Company (Capital Raising)				
Ordinary Share	02	309.70	08	8375.13
Preference Share	01	150.00	02	2855.40
Perpetual Bond	01	200.00	02	80.00
Subordinate Bond	12	6450.00	-	-
Merger and Acquisition	-	-	04	44.60
Bonus Share	-	-	22	703.55
Other Coupon Bearing Bond	03	1317.50	-	-
Total	19	8427.20	38	12058.68
Private Limited Company (Capital Raising)				
Ordinary Share	-	-	-	-
Zero Coupon Bond	-	-	11	6675.72
Shukuk	01	300.00	-	-
Bond	-	-	02	720.00
Coupon Bearing Bond	-	-	12	4726.72
Total	01	300.00	25	12122.44
Grand Total		9383.46	-	24875.29

2. Listed Securities as on 30 June 2024

Fiscal Year	2022-2023 (Number)	2023-2024 (Number)
Number of Listed Securities (as on 30 June)	653	660
Company	356	359
Close-end Mutual Fund	36	38
Debenture	08	08
Treasury Bond	242	240
Corporate Bond	11	16
Open-end Mutual Fund	87	90

3. Total Assets of Mutual Funds

Fiscal Year	2022-2023 (Number)	2023-2024 (Number)
Close-end Mutual Fund (Crore Taka)	5797.00	5,285.00
Open-end Mutual Fund (Crore Taka)	5,826.00	5,444.00
Grand Total	11,623.00	10,729.00

4. Market Intermediaries as on 30 June 2024

Fiscal Year	2022-2023 (Number)	2023-2024 (Number)
Stock Broker	444	477
Stock Dealer	419	424
Depository Participants	474	469
Asset Management Company	60	67
Marchant Banker	68	66
Security Custodian	15	16
Trustee (Mutual Fund)	10	10
Trustee (Asset Backed Security)	05	05
Credit Rating Companies	08	08
Fund Manager (AIF)	26	26
Trustee (AIF)	17	14
Custodian (Mutual Fund)	09	09
Trustee (Debt Securities)	213	244
Digital Booth	223	276
Market Maker	03	03
Authorized Representatives	7,276	7,444

5. Enquiry, Investigation & Inspection

Fiscal Year	2022-2023	2023-2024
Enquiry and Investigation (BSEC, DSE & CSE)	59	78
Inspection (BSEC, DSE & CSE)	590	516

6. Complaints

Fiscal Year	2022-2023			2023-2024		
Complaints Received	Received	Settled	Under Process	Received	Settled	Under Process
	122	105	17	226	222	04

7. Enforcement

Fiscal Year	2022-2023			2023-2024		
Enforcement Actions Taken	Settled			Settled		
	Penalty	Warning	Total	Penalty	Warning	Total
	44	440	533	76	602	691

8. The Cases of the Commission

Fiscal Year	2022-2023			2023-2024		
Information regarding the Cases of the Commission	Filed by the Commission: 20	Filed against the Commission: 19	39	Filed by the Commission: 15	Filed against the Commission: 22	37

9. Others

Fiscal Year	2022-2023	2023-2024
Formulation or Amendments of Orders/Directives/ Notifications/ Regulations & Regulatory Reforms	25	16
Issuance of New registration certificate to Market Intermediaries	786	375
Training through Financial Literacy Activities	9,334 persons	9,642 persons
Market Capitalization (Figure in crore Taka)	772,078.04	662,137.26
DSEX Price Index	6334.09	5328.40
Total Turnover (Figure in crore Taka)	191,087.47	149,992.62
Average daily Turnover (Figure in crore Taka)	792.89	622.38
Total Overseas Transactions (Figure in crore Taka)	2,954.11	2,723.06
BO Account Number	1,860,774	1,775,146
Market P/E Ratio	14.34	10.22
Market Cap to GDP Ratio	17.39	13.12

Source : DSE & CSE

1.7 Formulation and Amendments of Orders / Directives / Notifications / Regulations and Regulatory Reforms of BSEC during FY 2023-2024

The following amendments/orders/directives/notifications/regulations and regulatory reforms of BSEC were issued during FY 2023-2024:

Sl.	Subject	Classification	Section	Date
1	The Best Holdings Limited has been exempted from the provision of clause (p) of sub-rule (2) of rule 3 of the Bangladesh Securities and Exchange Commission (Public Issue) Rules, 2015 in order to raise paid-up capital through initial public offer and such exemption Notification has been sent for publication in the Bangladesh Gazette	Notification	BSEC/CMRRCD/2009-193(Part#06)/64/RRD/146	05 July 2023.
2	Bangladesh Securities and Exchange Commission (Commodity Exchange) Rules, 2023 has been published in the Bangladesh Gazette	Notification	BSEC/CMRRCD/2009-193/65/PRD/147	02 October 2023
3	Amendment of 'Corporate Governance Code, 2018', Notification No. BSEC/CMRRCD/2006-158/207/Admin/80, dated 03 June 2018 has been sent for publication in the Bangladesh Gazette	Notification	BSEC/CMRRCD/2009-193/66/PRD/148	16 October 2023
4	A order regarding Independent Director has been published in the official website of the Commission	Order	BSEC/CMRRCD/2023-429/67	18 October 2023
5	Notification for granting condition No. 2 of the Fifth temporary exemption from Schedule of 'সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (মিউচুয়াল ফান্ড) বিধিমালা ২০০১' has been sent for publication in the Gazette	Notification	BSEC/CMRRCD/2009-193/68/PRD/149	27 November 2023
6	Directive regarding to shifting and placement of 'Z-category company' and 'Clearing Day' for settlement of securities and fund of such Z-category company	Directive	BSEC/CMRRCD/2009-193/69	30 November 2023
7	Order regarding the extension of time to publish material information and price sensitive information disclosure policy on the company's own website	Order	BSEC/CMRRCD/2021-396/70	11 December 2023
8	Directive on responsibilities of asset manager or fund manager	Directive	BSEC/CMRRCD/2009-193/71	17 December 2023

Sl.	Subject	Classification	Section	Date
9	Order regarding fine for delay in transferring money or securities to the Capital Market Stabilization Fund (CMSF).	Order	BSEC/CMRRCD/2021-391/73	22 January 2024
10	Order regarding redefinition of 'Z category companies', Clearing Day for Securities and Fund of Z-category companies, and placement and adjustment of different category companies, including Z-category.	Order	BSEC/CMRRCD/2009-193/74(R)	15 February 2024
11	Notification regarding the listed companies shall furnish a copy of its annual report in soft form or printed form has been sent for publication in the Bangladesh Gazette.	Notification	BSEC/CMRRCD/2009-193/75/PRD/150	20 February 2024
12	Directive regarding shifting of a listed company or issuer of listed securities to Z category.	Directive	BSEC/CMRRCD/2009-193/77	20 May 2024
13	Directive regarding trading of equity securities at Alternative Trading Board (ATB).	Directive	BSEC/CMRRCD/2022-409/78	20 May 2024
14	Directive regarding audit of all registered entities through the panel of auditors of BSEC.	Directive	BSEC/CMRRCD/2009-4193/79	12 June 2024
15	Inclusion of at least one the female independent director in the Board of Directors of the company by amending Corporate Governance Code-2018 regarding.	Notification	BSEC/CMRRCD/2009-193/76/PRD/151	04 April 2024 (Published in the Bangladesh Gazette on 29 April 2024)
16	Bangladesh Securities and Exchange Commission (Real Estate Investment Trust Fund) Rules, 2024 has been sent for publication in the Bangladesh	Notification	BSEC/CMRRCD/2023-422/80/PRD/152	13 June 2024

1.8 Significant Achievements of BSEC

Achievements of BSEC

Particulars	2022-2023	2023-2024	Growth of 2023-2024 as Compared to 2022-2023 (%)
Market Capitalization (Crore Taka)	772,078.04	6,62,137.26	-14.24
Securities Transactions (Crore Taka)	191,087.47	149,992.62	-21.51
Total Overseas Transactions (Crore Taka)	2,954.11	2,723.06	-7.82
Capital Supply (Crore Taka)	8,727.20	2,843.96	-67.41
BO Account Number	1,860,774	1,775,146	-4.60
Number of Listed Securities	653	660	1.07
Number of Market Intermediaries	1,771	1,838	3.78
Market P/E	14.34	10.22	-28.73
Market Cap to GDP	17.39	13.12	-24.55
Average Daily Turnover (Crore Taka)	792.89	622.38	-21.50
DSEX Price Index (Highest)	6,600.80 Points	6,447.07 Points	-2.33
DSEX Price Index (Lowest)	5,980.51 Points	5,070.02 Points	-15.22

Source : DSE & CSE PLC

1.9 Important Activities Performed by BSEC during FY 2023-2024

01. In the financial year 2023-2024, new investments of Tk 566.00 crore were made in 04 issuer companies through Initial Public Offer (IPO), Tk 113.17 crore through 02 rights issues, During this period, the Commission approved the increase in paid-up capital of Tk 12112.44 crore, Tk 15.00 crore and Tk 12058.68 crore through 23 private limited companies, 3 qualified investors and 38 public limited companies respectively.
02. In order to increase the supply of securities and play an effective role in share trading, the Commission has approved 4 Stock Brokers, 4 Stock Dealer, 8 Asset Management Company, 31 Trustees for Debt Securities Issues, 5 Depository Participants, 02 Trustees, 04 Fund Managers, 1 Securities Custodian, 02 Alternative Investment Trustees, 01 Market Maker, 01 Commodity, 276 Digital Booths and issued 254 Authorized Representative registration certificates in the capital market.
03. Enforcement proceedings have been taken against 691 individuals/institutions against various individuals and institutions violating the Securities Act. Of these, 76 were fined, 602 were warned and 13 were given equitable treatment.
04. In the financial year 2023-2024, various individuals and institutions filed a total of 226 complaints, out of which 222 complaints have been resolved and 04 are under process.
05. A total of 512 cases filed by and against are Devding in various Courts. In the financial year 2023-2024, 15 cases have been filed by BSEC and 22 cases against BSEC and 54 cases have been resolved in various courts.
06. A total of 16 new laws, rules, regulations, amendments, orders, instructions, and notifications have been issued to regulate the market and bring discipline.
07. 594 investigations/inquiries/inspections have been conducted to uncover irregularities in financial reports and financial activities of the company and special auditors have been appointed in 4 companies.
08. A total of 9642 people have been trained on capital market related issues under the financial literacy program.
09. In the financial year 2023-2024, the first Exchange Traded Fund (ETF) in the history of the capital market of Bangladesh named 'LB Multi Asset Income ETF' was approved, with Lanka Bangla Asset Management Limited acting as the asset manager.
10. Supervision of compliance with the rules and regulations regarding board meetings, annual general meetings, special general meetings, e-voting of 316 listed companies.
11. Approval of the 9 companies under the announcement regarding purchase/sale/transfer of significant securities by entrepreneurs/directors of listed companies.

12. Board of 2 companies have been reconstituted for the smooth management of listed companies.
13. Ordered to investigate 8 companies to ensure overall good governance of listed companies.
14. A total of 55 meetings have been held with various issuer companies and stakeholders on other issues, including 1(one) meeting regarding the no-dividend declaration of listed companies.
15. Necessary steps are being taken as per Bangladesh Securities and Exchange Commission (Exchange Traded Derivatives) Rules, 2019 for the launch of Derivatives instruments, namely Futures, Options, etc. Chittagong Stock Exchange Ltd. has already launched Commodity Exchange.
16. The inaugural ceremony of the World Investor Week was held on the occasion of the celebration of 'World Investor Week-2023' organized by Bangladesh Securities and Exchange Commission. As a member country of the International Organization of Securities Commissions (IOSCO), Bangladesh Securities and Exchange Commission (BSEC) has been celebrating 'World Investor Week' every year since 2017.

Divisional Activities

2.1 Capital Market Regulatory Reforms and Compliance

The functions of the CMRRC department are as follows:

1. Preparing draft law on capital market and sending it to the Financial Institutions Division;
2. Formulating the capital market rules;
3. Issuing orders, directive and notifications in the interest of capital market and investors;
4. Preparing draft answer to the question regarding capital market raised in the Great National Assembly;
5. Preparing the working papers of the meeting on capital market held in the Parliamentary Standing Committee and taking appropriate action in light of the decision of the meeting; and
6. Providing opinion on draft laws sent from various Ministries/Departments of the People's Republic of Bangladesh.

This Department has issued 16 (Sixteen) rules/orders/directions/notifications/regulatory reforms/amendments related to the capital market during the financial year 2023-2024 (it may be seen at 1.7). Details in the website of the commission.

2.2 Capital Issue and Capital Restructuring

Capital market plays a significant role in the economy as a source of long-term financing. Corporate Finance Division of the Commission accords consent to issue equity and debt securities through public offer and private placement which facilitates long term financing to the Companies and institutions. The division also performs activities related to giving approval to the listed companies to issue share through right share and private offer. In addition to that, the department performs activities related to giving approval to the small capital companies through Qualified Investor Offer (QIO). Bangladesh Securities and Exchange Commission (BSEC) accords consent to raise capital under the following Rules:

- Securities and Exchange Commission (Issue of Capital) Rules, 2001
- সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (সম্পদভিত্তিক সিকিউরিটিজ ইস্যু) বিধিমালা, ২০০৪
- Securities and Exchange Commission (Rights Issue) Rules, 2006
- Bangladesh Securities and Exchange Commission (Public Issue) Rules, 2015
- Bangladesh Securities and Exchange Commission (Debt Securities) Rules, 2021
- Bangladesh Securities and Exchange Commission (Qualified Investor offer by Small Capital Companies) Rules, 2022

Details of the company concerning initial public offer (IPO) during the FY 2023-2024:

Sl.	Name of Companies	Date of Consent	Paid up (Before IPO) Amount in BDT	Issue Price/ Cut-off price (Amount in BDT)	Number of Shares Issued	IPO Amount (Including Premium if any) in BDT	Paid Up Capital (Post IPO) Amount in BDT
1	Best Holdings Limited	31.10.2023	9,255,769,470	35	133,653,418	3,500,000,000	10,592,303,650
2	Sikder Insurance Company Ltd.	22.11.2023	240,000,000	10	16,000,000	160,000,000	400,000,000
3	NRB Bank Limited	24.12.2023	5,905,872,000	10	100,000,000	1,000,000,000	6,905,872,000
4	Techno Drugs Limited	25.03.2024	941,574,000	34	36,199,095	1,000,000,000	1,319,981,880
Total						5,660,000,000	

2.2.1 Initial Public Offering (IPO)

During FY 2023-2024, the Commission accorded consent to issue prospectus of 04 (four) companies to raise capital of Tk. 566.00 crore through IPO.

Comparative statement of IPO and subscription during the last few years is furnished below:

A Comparative Picture of General Investor Participation in IPOs

Fiscal Year	No. of Companies	Size of IPO (Including Premium) (Crore Taka)	Subscribed Amount (Including Premium) (Crore Taka)	Additional Demand for Subscription (in times)
2018-2019	09	424.00	14,236.25	33.58
2019-2020	04	333.08	1,930.69	5.80
2020-2021	16	1684.79	13,444.62	7.98
2021-2022	08	674.36	5,358.27	7.95
2022-2023	06	641.26	1,904.35	2.97
2023-2024	04	566.00	4,171.80	7.37

2.2.2 Qualified Investor Offer (QIO)

During FY 2023-2024, the Commission accorded consent to 03 (three) companies to issue prospectus for raising capital of Tk 15.00 crore under Bangladesh Securities and Exchange Commission (Qualified Investor offer by Small Capital Companies) Rules, 2022.

2.2.3 Rights Issue

During FY 2023-2024, the Commission accorded consent to 02 (two) companies to issue right share offer document for raising capital of Tk 113.17 crore under the Securities and Exchange Commission (Rights Issue) Rules, 2006.

2.2.4 Issue of Capital

During FY 2023-2024, the Commission accorded consent to 36 (thirty-six) companies for raising capital of Tk 11,978.68 crore under the Securities and Exchange Commission (Issue of Capital) Rules, 2001. The following table exhibits position of such capital raising:

Approval for Capital Raising to Public Limited Company through Bond

Nature of Raising Capital	Number of Companies	Total Capital (Crore Taka)
Bonus Share*	22	703.55
Preference Share	02	2,855.40
Merger & Acquisition	04	44.60
Capital Raising	08	8,375.13
Total	36	11,978.68

2.2.5 Capital Raising through Debt Securities

During FY 2023-2024, the Commission has accorded consent to 25 (twenty-five) companies for raising capital through issuance of Debt Securities of Tk.12,102.44 crore under Bangladesh Securities and Exchange Commission (Debt Securities) Rules, 2021.

The following table exhibits position of such capital raising:

Medium of	No. of Companies	Amount in BDT (Crore)		
		Public Office (BDT. Cr.)	Private Office (BDT. Cr.)	Total Office (BDT. Cr.)
Zero-Coupon Bond	11	-	6,675.72	6,675.72
Perpetual Bond	02	80.00	720.00	800.00
Coupon Bearing Bond	12	-	4,726.72	4,726.72
Total	25	80.00	12,122.44	12,202.44

2.3 Corporate Governance

The Corporate Governance Department monitors compliance of corporate governance in listed companies under the Securities Acts. In this regard, the department grants consent to the appointment and re-appointment of independent directors in listed companies and approves the appointment of the Chief Financial Officer (CFO) and the Company Secretary (CS) of listed companies to other companies under the same group. Besides, it monitors the composition of the Board of Directors, the role of the top management, the formation and activities of the Audit Committee and the Nomination and Remuneration Committee (NRC), and the reports of the said committees. At the same time, it monitors the corporate governance compliance status, professional certifications regarding compliance of corporate governance, directors' reports to shareholders of listed companies, and the inclusion of such information in the annual reports of listed companies in accordance with relevant securities laws.

A summary of measures and activities undertaken by the Corporate Governance Department during the fiscal year 2023-2024 is presented below:

A. Regulatory Activities related to Compliance of the Corporate Governance Code, 2018, and Other Governance-related Securities Laws

Sl.	Description	Activities Taken	Number
1	Granting consent to the appointment of Independent Director in listed companies as per the Corporate Governance Code, 2018	Consent accorded to the appointment	79
		Consent not accorded to the appointment	28
2	Granting consent to the re-appointment of Independent Director in listed companies as per the Corporate Governance Code, 2018	Consent accorded to the appointment	45
		Consent not accorded to the appointment	20
3	Monitoring the compliance of conditions set under the Corporate Governance Code, 2018 by the listed companies	Directed the companies to explain their position regarding non-compliance with the Corporate Governance Code, 2018	08
		Non-compliance by the company with the provisions of the Corporate Governance Code is referred to the Enforcement Department for necessary action	11

B. Activities related to Amendment, Addition, and Coordination of Governance-related Rules

Sl.	Description	Activities Taken	Number
1	Amendment of and addition to the Corporate Governance Code, 2018 and other corporate governance related securities laws	Proposals sent to the CMRRC Department for the amendment of the Corporate Governance Code, 2018	02
2	Co-ordinating Corporate Governance Code, 2018 with the same of other regulatory authorities of the listed companies	Letters sent to Bangladesh Bank in connection to conflicting issues of the Corporate Governance Code, 2018 with the laws related to banks and NBFIs.	02

2.4 Corporate Reporting

During the financial year 2023-2024, the Corporate Reporting Department performed the following functions:

- Quarterly reporting on regulatory submissions (of annual and interim financial statements) by issuers of capital;
- Review of Unaudited Financial Statements of issuers of capital;
- Review of Audited Financial Statements of issuers of capital;
- Conduct of special audit into the affairs of the issuers of capital and formulation of enforcement cases;
- Review of audited utilization reports of capital (raised via IPO, QIO, RPO, Rights Issue, Bond);
- Monitoring on inter company's loan;
- Comments on Merger & Amalgamation/Acquisition;
- Comments on prospectus of IPO/ RPO/QIOs;
- Comments on legal issues from Legal Service Department;
- Proposal for Inspection/Enquiry/Investigation; and
- Any other job assigned by the Commission.

A summary of these activities of Corporate Reporting Department for July 2023 to June 2024 is presented in the following table:

Submissions Status of Financial Statements

Financial Year	2022–2023		2023–2024	
Indicators	Expected	Actual	Expected	Actual
Submission of Corporate Annual Audited Financial Statements	356	291	368	293
Submission of First Quarter (Q1) Interim Financial Statements	356	269	368	305
Submission of Half Yearly (Q2) Interim Financial Statements	351	247	368	298
Submission of Third Quarter (Q3) Interim Financial Statements	352	280	368	334
Submission of utilization of IPO/RPO/RI proceeds	244	194	190	124

Other Activities/Actions

Indicators	No. of Action
Annual Audited Financial Statements	
Further disclosure, information or explanation required	112
Time extended for submission of financial statements	52
Application for time extension rejected	37
Referred to enforcement department to take action against issuer of capital for late & non-submission	71
Referred to enforcement department to take action against issuer of capital for non-compliance with the securities laws	28
Number of qualified opinion/Adverse opinion/Disclaimer from external independent auditor	63
First Quarter (Q1) Financial Statements	
Time extended for submission of financial statements	34
Application for time extension rejected	16
Referred to enforcement department to take action against issuer of capital for late & non-submission	139
Second Quarter (Q2) Financial Statements	
Time extended for submission of financial statements	07
Application for time extension rejected	14
Referred to enforcement department to take action against issuer of capital for late & non-submission	74
Third Quarter (Q3) Financial Statements	
Time extended for submission of financial statements	11
Application for time extension rejected	01
Referred to enforcement department to take action against issuer of capital for late & non-submission	125
Enquiry/Investigation and Special Audit	
Appointment of committee for conducting Enquiry, Inspection and Investigation	26
Appointment of Special Auditors*	03
Referred to enforcement department to take action against the listed companies for non-compliance with the securities laws	13

* Appointment of Special Auditor

Sl.	Company	Special Auditor Appointed
1	Progressive Life Insurance Co. Ltd.	A Wahab & Co., Chartered Accountants
2	Delta Life Insurance Company Ltd.	MABS & J Partners, Chartered Accountants
3	Keya Cosmetics Limited	Aziz Halim Khair Choudhury, Chartered Accountants

2.5 Registration and Licensing

Registration Department looks after registration and renewal of all stock brokers, stock dealers, authorized representatives of stock exchanges, merchant bank, credit rating agencies, asset management companies, depository participant, fund manager, trustees, custodian, market maker and permission of digital booth and regarding branch opening of stock brokers and merchant banks.

The activities of Registration Department during the financial year 2023-2024 were as follows:

Sl.	Type of Certificate	Number of Intermediaries			Number of Intermediaries Renewed/ Suspended/Cancelled/Under Process				Remarks
		Existing Number of Intermediaries	New Certificate Issued	Total Number of Intermediaries	Certificate Renewed/ Annual Fees Received	Certificates Suspended/ Cancelled	Certificate Renewal/ Annual Fees under Process	Total Number of Intermediaries	
1	Both Stock Broker & Stock Dealer (DSE)	290	02	292	282	08	-	290	Renewals of 02 new issued certificates are not due in this year
	Stock Dealer (DSE)	01	00	01	-	01	-	01	-
	Stock Broker (DSE)	09	00	09	08	01	-	09	-
2	Stock Broker & Stock Dealer (CSE)	134	02	136	131	03	-	134	Renewals of 02 new issued certificates are not due in this year
	Stock Dealer (CSE)	03	-	03	03	-	-	03	-
	Stock Broker (CSE)	23	-	23	20	03	-	23	-
3	Branch Office (DSE)	682	19	701	N/A	N/A	N/A	701	-
4	Branch Office (CSE)	179	01	180	N/A	N/A	N/A	180	-
5	Digital Booth (DSE)	190	49	239	N/A	N/A	N/A	239	-
6	Digital Booth (CSE)	33	04	37	N/A	N/A	N/A	37	-
7	Authorized Representative (DSE)	5799	234	6033	1211	-	-	-	A.R. Certificate is renewed every 5 years
8	Authorized Representative (CSE)	1393	20	1413	117	-	-	-	A.R. Certificate is renewed every 5 years
9	Merchant Banker	66	-	66	-	-	66	68	2 Certificate have been cancelled
10	Asset Management Company	61	08	69	21	2	46	69	

Sl.	Type of Certificate	Number of Intermediaries			Number of Intermediaries Renewed/ Suspended/Cancelled/Under Process				Remarks
		Existing Number of Intermediaries	New Certificate Issued	Total Number of Intermediaries	Certificate Renewed/ Annual Fees Received	Certificates Suspended/ Cancelled	Certificate Renewal/ Annual Fees under Process	Total Number of Intermediaries	
11	Security Custodian	15	01	16	05	-	11	16	
12	Depository Participants	470	05	475	351	01	118	470	Renewals of 05 issued certificates are not due in this year
13	Trustee of alternative Investment Fund	17	02	19	01	05	13	19	-
14	Trustee of Mutual Fund	10	-	10	03	-	07	10	-
15	Fund Manager	26	04	30	13	-	17	30	-
16	Custodian for Mutual Fund	09	-	09	05	-	04	09	-
17	Credit Rating Company	08	00	08	-	00	08	08	-
18	Market Maker	03	-	03	01	-	02	03	-
19	Trustee of Asset Back Securities	05	00	05	-	-	05	05	-
20	Trustee of Debt Securities	213	31	244	-	-	244	244	-
21	Commodity Exchange	-	01	01	-	-	-	01	New certificate has been issue

2.6 Investment Management

The Investment Management Division consists of two departments namely Mutual Funds, Collective Investment Schemes and Securitization department and Alternative Investment department. Briefly activities of these departments are mentioned below:

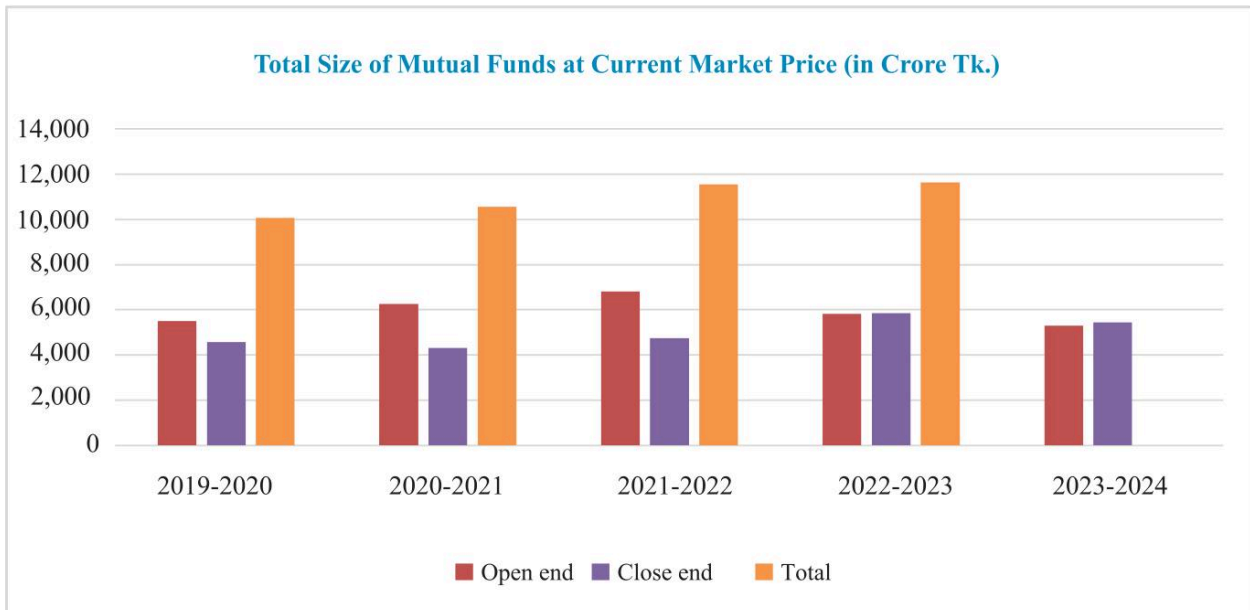
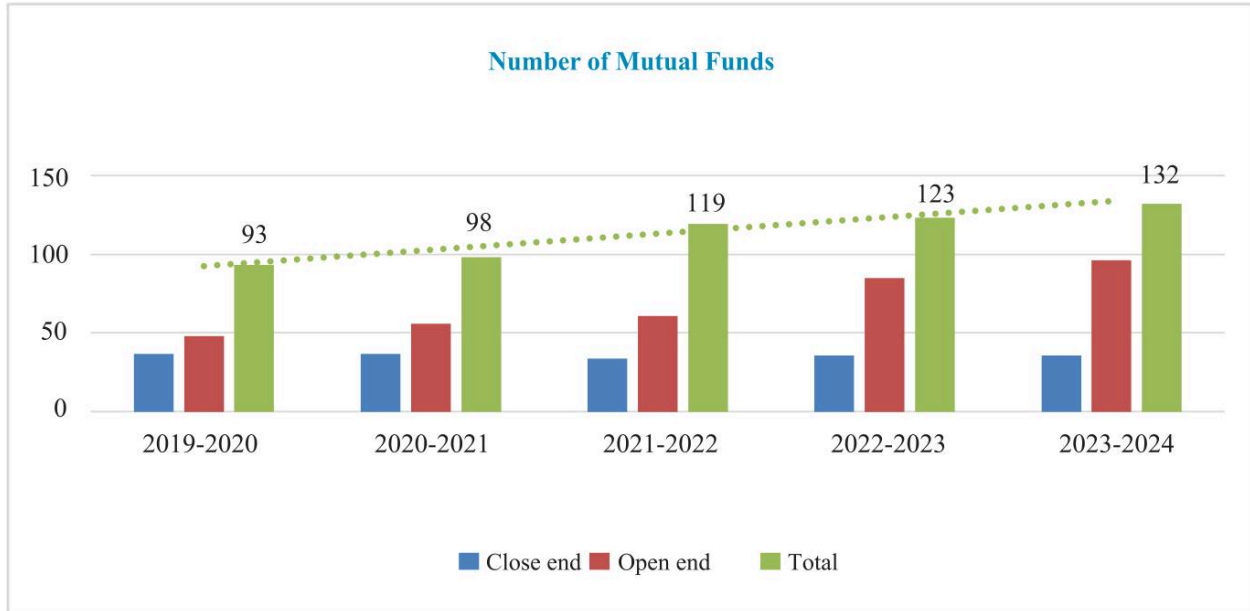
Activities of Mutual Funds, Collective Investment Schemes and Securitization Department:

1. Approval of close end, mutual funds and ETFs.
2. Regular review of the Fund's financial statements.
3. Conducting regular inspection and investigation activities of the fund to ensure the protection of the interests of the investors and the financial transparency of the fund.
4. Organizing view exchanging meeting and training sessions with various stakeholders. Since the approval of the first mutual fund in 2009 till June 2024, Bangladesh Securities and Exchange Commission has approved a total of 132 mutual funds (96 open end mutual funds and 36 close end mutual funds). According to the information obtained from the unaudited financial statements as on 30 March 2024 the estimated market value of 128 mutual funds is Tk 10,730 crore, of which open end mutual funds are Tk 5,444 crore and close end mutual funds are Tk 5,285 crore.

Financial Year	Number of Funds			Fund Size at Current Market Value (Tk. Crore)		
	Close-End	Open-End	Total	Close-End	Open-End	Total
2019-2020	37	48	93	5488	4566	10054
2020-2021	37	56	98	6250	4306	10556
2021-2022	34	61	119	6810	4721	11531
2022-2023	37	87	123	5797	5826	11623
2023-2024	38	90	128	5285	5444	10730

[Source: As per information received from Asset Management Company as per unaudited financial statement as on March 30, 2024.]

[Source: As per information received from Asset Management Company as per unaudited financial statement as on 30 March 2024.]



Approval of Mutual Funds:

MF & CISS department gave final approval by issuing consent letters for 4 new mutual funds of Taka 300 crore and issued registration certificates for 3 mutual funds of Taka 60 crore.

The list of Mutual Fund which get final approval during 2023-2024:

Sl.	Fund Name	Asset Management Company	Find Type	Find Size (Crore)
1	Capitec Grameen Bank Growth Fund	Capitec Asset Management Limited	Close-End	200
2	ACACIA SRIM Balanced Unit Fund	ACACIA SRIM Limited	Open-End	50
3	3i AMCL 1st Mutual Fund	3i Asset Management Company Limited	Open-End	25
4	ICL INCTL SHARIAH FUND	Impress Capital Limited	Open-End	25
Total				300

The list of Mutual Fund which get registration certificate during 2023-2024:

Sl.	Fund Name	Asset Management Company	Find Type	Find Size (Crore)
1	IDLC Nagorik SDG Fund	IDLC Asset Management Company Limited	Close-End	25
2	Fam First Fixed Income Fund	Frontier Asset Management Limited	Open-End	10
3	CWT High Income Fund	CWT Asset Management Company Limited	Open-End	25
Total				60

First ETF Approval:

In the last financial year, the first Exchange Traded Fund (ETF) in the history of Bangladesh's capital market named 'LB Multi Asset Income ETF' was approved by this department. Lanka Bangla Asset Management Limited is acting as the asset manager of the ETF.

Issuance of Registration Certificate of First SDG Oriented Mutual Fund:

As a part of the implementation of Sustainable Development Goals (SDG) announced by the United Nations, the registration certificate of 'IDLC Nagorik SDG Fund' was issued IDLC Asset Management Limited is acting as the asset manager.

For the development of the mutual fund industry and protection of the interests of the investors, this department conducted inspections and investigations of the mutual funds managed by several asset managers during the last financial year.

Alternative Investment Department:

In the current 21st century, there is no alternative to industrialization for the sustainable economic development of a country. To successfully implement new initiatives and innovations, alternative investments are needed to invest in these institutions outside the conventional banking system, which are mainly provided through venture capital, private equity funds and impact funds. Functions of the Alternative Investment Department are as follows:

1. Approval of Private Equity Funds, Venture Capital Funds and Impact Funds; and
2. Regular supervision and review of financial statements of the Fund to ensure protection of investors' interests and financial transparency.

Brief Overview of Existing Alternative Investment Funds:

Sl.	Name of the Fund	Name of the Fund Manager	Nature of the Fund	Fund Corpus (Tk.Crore)
1	Alternative Investment Fund of Bangladesh	Strategic Equity Management Ltd.	Private Equity	500
2	BDVL Venture Fund 1	BD Venture Limited	Venture Capital	50
3	LankaBangla 1st PE Fund	Lanka Bangla Asset Management Company	Private Equity	25
4	Maslin VC Fund 1	Maslin Capital Limited	Venture Capital	10
5	UFSEPL Venture Capital Fund	UFS Equity Partners Limited	Venture Capital Fund	125
6	UFSEPL Private Equity Fund	UFS Equity Partners Limited	Private Equity Fund	125
7	IDLC Venture Capital Fund 1	IDLC Asset Management Limited	Venture Capital Fund	45
8	R Ventures Private Equity Fund	SBK Tech Ventures Limited	Private Equity Fund	15
9	X Angel Vision Venture Capital Fund	X Angel Asset Management Limited	Venture Capital Fund	100
10	X Angel First Private Equity Fund	X Angel Asset Management Limited	Private Equity Fund	200
11	UCB Private Equity Fund 1	UCB Asset Management Limited	Private Equity Fund	150
12	UCB Venture Fund 1	UCB Asset Management Limited	Venture Capital Fund	150
Total				1495

Coordination Meetings among Stakeholders

The Investment Management Division has organized various meetings/seminars in the last financial year 2023–2024 with the aim of increasing awareness among the stakeholders regarding proper compliance of rules and increasing efficiency in investment management.

Sl.	Agenda of Meeting/Seminar	Date	Participants
1	Special meetings with the respective trustees, custodians and auditors for proper preparation of financial statements of mutual funds	27 August 2023	Managing Director/Chief Executive Officer of all Asset Managers or his representative
2	Investment Management Summit 2023	16 September 2023	Managing Directors/Chief Executive Officers and Chief Compliance Officers of all Asset Managers, Fund Managers, Trustees, Custodians, Auditors
3	Investments in Startup and Other Investments by Registered Alternative Investment Funds	26 October 2023	Managing Directors/Chief Executive Officers or their Representatives of all Fund Managers of Alternative Investment Funds
4	Regulatory Oversight and Governance of Mutual Funds in Bangladesh	13 December 2023	Managing Director/Chief Executive Officer of all Asset Managers, Fund Managers, Trustees, Custodians
5	Workshop on ‘Draft Mutual Fund Rules, 2024’	13 February 2024	Managing Director/Chief Executive Officer of all Asset Managers, Trustees, Custodians
6	Seminar on Compliance Issues for the Asset Management Companies	29 June 2024	Managing Director/Chief Executive Officer of all Asset Managers or his Representative

2.7 Market Surveillance and Intelligence

Surveillance Activities

Surveillance Department continuously monitors the securities market to detect any irregularities, manipulative activities or unusual trading patterns that may affect the normal course and nature of the capital market. These include securities price movements, trading volume and order flow. The surveillance department actively monitors the market movements and also analyzes various irregular transactions. It also monitors possible violations of securities laws, market manipulation, insider trading and other fraudulent activities that may undermine the transparency of the capital market and takes timely steps to protect the confidence and interests of investors by ensuring transparency, accountability of the capital market. Major activities of the Surveillance Department are as follows:

2.7.1. Capital Market Monitoring and Supervision

As part of daily market surveillance, securities price movement, trading volume and order flow etc. are monitored and necessary analysis performed through 'Instant Watch Market Surveillance System' to detect market irregularities, suspicious transactions and misconduct.

2.7.2. Market Intelligence

Surveillance Department are ensuring prudent use of information through monitoring of 'Business Intelligence' module of 'Instant Watch Market' system, stakeholders' websites, relevant news and reports for 360-degree monitoring and in-depth investigation of market irregularities and suspicious transactions.

2.7.3. Enquiry & Investigation

When suspicious and manipulative transactions are initially detected, the Surveillance Department collects evidence, analyzes data and identifies the involved persons or institutions, through investigation or enquiry through the surveillance department or the stock exchange subject to the approval of the commission. Then the report is sent to the concerned department to take disciplinary action against the concerned persons or institutions. The following investigation or enquiry reports received from DSE and CSE that have been reviewed by Surveillance Department and referred to Enforcement Department for necessary action during 2023-2024:

Sl.	Name of the Concerned Company/Matter	Received From	Date of Sending From Surveillance to Enforcement Department
1	Tamijuddin Textile Mills PLC	DSE	03 July, 2023
2	Sonali Paper & Board Mills Ltd.	DSE	04 July, 2023
3	Gemini Sea Food PLC	DSE	04 July, 2023
4	Fareast Finance & Investment Limited	DSE	06 July, 2023
5	Shurwid Industries Limited	DSE	11 July, 2023
6	Oimex Electrode Limited	DSE	16 July, 2023

Sl.	Name of the Concerned Company/Matter	Received From	Date of Sending From Surveillance to Enforcement Department
7	Report about share trading by same person acted as buyer and seller.	DSE	25 July 2023
8	Orion Infusion Ltd.	DSE	26 July 2023
9	Sunlife Insurance Limited	DSE	30 July 2023
10	Report about share trading by same person acted as buyer and seller.	DSE	28 August 2023
11	Emerald Oil Industries Ltd.	DSE	28 August 2023
12	Khan Brothers PP Woven Bag Industries Limited	DSE	04 September 2023
13	Report on wrong Trade of Lanka Bangla Securities in Janata Insurance Ltd.	DSE	20 September 2023
14	Al-Haj Textile Mills Ltd.	DSE	22 October 2023
15	Yeakin Polymer Limited	DSE	23 October 2023
16	Himadri Limited	DSE	05 November 2023
17	Crystal Insurance company Limited	DSE	08 January 2024
18	Hami Industries PLC (former Imam Button Industries Limited)	DSE	16 January 2024
19	Shaympur Sugar Mills Limited	DSE	01 February 2024
20	Paramount Insurance company Limited	DSE	14 February 2024
21	Investigation report on share trading in Block Market	DSE	18 February 2024
22	Sonali Life Insurance company Limited	DSE	28 March 2024
23	Pragati Life Insurance Limited	DSE	14 May 2024

2.7.4. Meeting Among the Stakeholders & Regulatory Body

In order to ensure monitoring, promote fair trading, foster development, and bolster investor confidence within the capital market, Surveillance Department conducted series of meetings with key stakeholders, including stock exchanges and market intermediaries, throughout the fiscal year 2023-2024:

Sl.	Particulars of the Meeting	Participants	Date of Meeting
1	False appearance of the category of issuer companies	Surveillance Department and representatives of DSE	09 July 2023
2	Unusual price rise of some listed securities	Surveillance Department and representatives of DSE	24 August 2024
3	Unusual price rise of Himadri Limited	Surveillance Department and representatives of DSE	25 August 2023
4	Strategy to develop the capital market	Surveillance Department and representatives of ISTCL	29 August 2023
5	Strategy to develop the capital market	Surveillance Department and representatives of some TREC holders	29 August 2023
6	The progress of investigation report of Legacy Footwear Limited	Surveillance Department and representatives of DSE	05 December 2023
7	The price manipulation of the low paidup capital and its remedy as well as free-float companies listing in the main board of Stock Exchanges	Officials of Surveillance Department and SRIC Department of the Commission	27 February 2024
8	Present market scenario and other related issues for the development of the capital market (if any)	Officials of Surveillance Department, DSE, DBA, Top Broker's representative	22 April 2024
9	Present capital market scenario and ICB's role in development of the capital market (if any)	Officials of Surveillance Department and Managing Director along with Portfolio Management Committee of ICB	03 June 2024
10	Present market scenario and other related surveillance issues for the development of the capital market (if any)	Officials of BSEC Surveillance and DSE Surveillance	05 June 2024
11	Present capital market scenario and ICB's role in the capital market	Officials of BSEC Surveillance, Managing Director of ICB, CEO of ICB Asset Management Company Ltd. along with relevant officials	06 June 2024

2.8 Derivatives

In the FY 2023-2024 Financial Derivatives Department has served due following important functions:

The Financial Derivatives Department of the Commission is working to develop a Financial Derivatives Market as part of the implementation of the Smart Bangladesh vision of the Government of the People's Republic of Bangladesh. In this continuation, Dhaka Stock Exchange PLC (DSE) organized a knowledge-sharing workshop with BSEC, market experts, and related stakeholders on 2nd and 3rd May 2024. Currently, DSE is working on the preparation of the 1st draft of the Financial Derivatives Regulation with the aim of launching the Financial Derivatives Platform by January 2027. According to the submitted plan of DSE, it is expected that the task of finalizing this regulation will be completed by 14th December 2024.

2.9 Commodity Product

The Commodity Product Department of the Commission undertook following various activities during FY 2023-2024 with the goal to launch the country's first ever commodity exchange which can meet the need for diversification in the capital market:

1. To establish the necessary legal framework for the registration and regulation of the commodity exchange, the Commission's Commodity Product Department prepared a draft of the Bangladesh Securities and Exchange Commission (Commodity Exchange) Rules, 2023. This draft was presented to various stakeholders at a large workshop held on 25 July 2023. Over 80 representatives from financial institutions Division, Ministry of Finance, Bangladesh Bank, Bangladesh Investment Development Authority, DSE, CSE, various brokerage houses, merchant banks, and other stakeholder institutions participated in the workshop to review and exchange opinions on the draft rules.
2. To finalize the legal framework for the commodity exchange, the Commission's Commodity Product Department sent the final draft of the Bangladesh Securities and Exchange Commission (Commodity Exchange) Rules, 2023 to CMRRC Department of the Commission for vetting and gazette publication. The rules were subsequently published in the gazette on 16 October 2023. With the finalization of these rules, interested companies can apply to the Commission to establish a commodity exchange. Also, under these rules, brokerage houses can obtain licenses to participate in trading and approved exchanges can commence commodity trading operations.
3. Under the Bangladesh Securities and Exchange Commission (Commodity Exchange) Rules, 2023, Chittagong Stock Exchange was formally granted registration on 7 March 2024, for establishing the first ever commodity exchange in the country's history. The Commodity Product Department provided comprehensive support throughout this process.
4. To review the draft of the Chittagong Stock Exchange (Commodity Derivatives) Regulations, 2024 which was prepared by CSE, a 16-member team from various departments of the Commission participated in a three-day program organized by CSE in Chittagong. The team extensively reviewed the draft regulations.
5. To initiate the operations of the Commodity Exchange, Chittagong Stock Exchange, which was licensed to establish the country's first ever commodity exchange, formally applied to the Commission in June 2024 for approval of the final draft of the Chittagong Stock Exchange (Commodity Derivatives) Regulations, 2024. The Commodity Product Department reviewed this draft and quickly forwarded it to the CMRRC Department of the Commission for final approval and legal vetting.
6. Lastly, to successfully establish and operationalize the country's first commodity exchange, the Commodity Product Department regularly held meetings, maintained formal communications, and provided guidance to CSE and other stakeholders throughout the 2023-2024 fiscal year. Once operational, this commodity exchange is expected to ensure fair prices for the farmers of various crops, facilitate efficient price discovery, reduce risks through hedging, increase market liquidity, and offer significant diversification opportunities to investors in the capital market.

2.10 Supervision and Regulation of Markets and Issuer Companies

SRMIC Department of the BSEC supervises activities of stock exchanges, over the counter (OTC) markets and issuer companies in accordance with the securities laws. Besides, the department also resolves complaints received against issuer companies under the securities laws. The functions of SRMIC are enumerated below:

Sl.	Subjects	Main Steps/Supervision/Testing/Verification
1	Monitoring/verification of filed statement of shareholding of directors/entrepreneurs on monthly basis	a. Supervision of submission of monthly share returns by listed companies to the Commission within the first 10 days of the following month; b. Verification of the said statement's obligations to comply with the Securities Act. Namely: - 2%-30% shareholding by the sponsor shareholder of the listed company; - Transfer of shares and giving gifts to directors, - Seek explanations from companies if failure to comply with the law is observed; and - Obtain Commission's approval for referral to Enforcement Department in case of violation of law (30 days).
2	Monitoring whether price sensitive information is timely disclosed and submitted to the Commission	a. Checking whether any information by the Board of Directors of the listed company or any other information which may affect the share price has been filed with the Commission within 30 minutes; b. To verify the accuracy of PSIs filed and compliance with securities laws; c. Call for explanation from the companies if any failure to comply with the law is observed; and d. Registration if explanation is satisfactory otherwise forwarding to Enforcement Department for action (30 days).
3	Monitoring of dividend payment compliance reporting	a. Dividend declared by the Board of Directors of the listed company to be paid within 30 days of approval and dividend payment compliance report within 7 days scrutiny of submissions to the Commission; b. To verify the accuracy of dividend payment compliance reports filed and compliance with securities laws; c. Call for explanation from the companies if any failure to comply with the law is observed; and d. Enforce payment of dividend otherwise referred to Enforcement Department for action (30 days).
4	Monitoring whether information regarding Annual General Meeting / Special General Meeting is filed with the Commission	a. 3 days/7 days/14 days/21 days giving notice of meeting (if applicable); b. Submission of unedited audio visual record of meeting minutes and meeting proceedings by the listed company (3 days); c. To scrutinize the minutes and proceedings of the AGM, d. Seek explanation from the companies if any failure to comply with the law is observed; and e. If the explanation is satisfactory, it is recorded otherwise it is forwarded to the Enforcement Department for action (30 days).

Sl.	Subjects	Main Steps/Supervision/Testing/Verification
5	Provision and disclosure of information relating to acquisition of significant shares and its supervision	a. Information relating to acquisition of substantial shares by members of the Board of Directors or placement holders of listed companies shall be filed with the Commission in the prescribed schedule; b. To verify the accuracy of the information submitted regarding acquisition of shares and compliance with securities laws; c. Call for explanation if failure to comply with the law is observed; and e. Explanations are recorded if satisfactory otherwise forwarded to Enforcement Department for action (30 days).
6	Monitoring whether the declaration of purchase / sale of shares by the entrepreneur / director / placement shareholder is in compliance with the report	a. To monitor whether issuance of purchase/sale declaration is done in time and due process is adopted; b. To verify the accuracy and genuineness of any purchase/sale announcement; c. To monitor whether false buy and sell announcements are affecting the market; d. Scrutiny of income tax payment information/paperwork in stock exchange (30 days); and e. Scrutiny whether shares have been bought/sold at prohibited times by the commission.
7	Settlement of grievances	a. Reviewing complaints received against listed companies and taking further action; b. In special cases the commission seeks clarification from the company regarding the complaint and investigates the matter; c. Explanation is recorded if satisfactory otherwise forwarded to Enforcement Department for action (30 days); d. In other cases the Commission forwards the complaints to DSE/CSE for disposal. Exchanges resolve complaints and notify the complainant and the Commission (30 days); and e. If the explanation is satisfactory, it is recorded, otherwise it is sent to the Enforcement Department for action (10 days).

SRMIC Department performs the following functions during the period July 2023–June 2024:

- Compliance and monitoring of Board Meetings, Annual General Meeting, EGM, e-voting and its relevant compliances of 316 issuer companies (Table Annexure : One);

- Under the relevant announcement of substantial securities purchase / sale / transfer by the sponsors /directors of the listed companies – 9 companies have been approved as follows:

1. Mita Textiles Ltd.
2. Khaza Mozaic & Stone Ind. Ltd.
3. Oimex Electrodes Ltd.
4. Padma Printers Ltd.
5. Ring Shine Textiles Ltd.

6. Sena Kallayan Insurance Co. Ltd.
7. South Bangla Agricultural Bank Ltd.
8. Padma Printers & Colors Ltd.
9. Pioneer Insurance Co. Ltd.

- Board of Meghna Pet Industries Ltd. and Meghna Condensed Milk Industries Ltd. have been reconstituted for smooth management of a listed company:
- Order has been issued to investigate to ensure overall good governance of 8 listed companies as follows:

1. Samata Leather Complex Ltd.
2. Sonali Ash Ltd.
3. Golden Harvest Ltd.
4. Mithun Knitting Dying Ltd.
5. United Airways BD Ltd.
6. Simtex Industries Ltd.
7. Bengal Biscuits Ltd.
8. Ashraf Textile Mills Ltd.

2.11 Supervision of Market and Market Intermediaries

In order to protect the interest of investors in securities, to increase the confidence of investors towards the capital market and to develop the securities market, SMMI Department supervises and regulates the activities of Stock Dealers/Stock Brokers, Depository Participants, Merchant Bankers, Credit Rating Companies, Securities Custodian, Asset Management Companies and other market intermediaries. This Department conducts routine inspection on the market intermediaries and also conducts special inspection as and when required. The department reviews the periodical reports and statements submitted by the market intermediaries. The department also deals with the complaints lodged by the investors. During the financial year 2023-'24 the following activities, among others, were performed by this Department:

A. Settlement of Complaints of Investors

A picture of the complaints lodged by investors through CCAM in the financial years 2023-2024 is furnished in the table below:

Fiscal Year	Number of Complaints Received	Number of Complaints Resolved	Number of Complaints Under Process
2022-2023	122	105	17
2023-2024	226	222	04

* Complaints filed in writing are uploaded to CCAM

B. Exchange of Information with Government Organizations

An online Platform called External Data Request Processing (EDRP) was introduced on 15 April 2019 to facilitate exchange of information with different government organizations including Anti-Corruption Commission (ACC). As per request of different government organizations, information are provided to them using the platform. Summary of the activities is shown in the table below:

Name of Organizations	Number of Letter Received	Number of Letters against which Information Provided	Number of Complaints Under Process
	2023-2024		
Anti-Corruption Commission	728	684	44
Criminal Investigation Department	96	91	5
National Board of Revenue	13	10	3
Others	3	3	-
Total	840	788	52

C. Inspections on Market Intermediaries

The SMMI Department of the Commission conducts 27 inspections on its own. During the financial year 2023-2024, a statement on inspections conducted is shown in the table below:

Fiscal Year	Number of Inspections Conducted by BSEC					Total
	Stock Broker/ Stock Dealer	Merchant Bankers	Asset Management Companies	Security Custodian	Credit Rating Company	
2022-2023	20	9	3	2	1	35
2023-2024	20	6	1	-	-	27

In addition to the above inspections by the Commission, Dhaka Stock Exchange Limited (DSE) and Chittagong Stock Exchange Limited (CSE) carried out routine inspections on the TREC holders and submitted reports to the Commission. Summary of the inspections is shown in the table below:

Fiscal Year	Number of Inspections Conducted by DSE	Number of Inspections Conducted by CSE	Total
2022-2023	484	76	560
2023-2024	409	32	441

D. Examination of Audited Financial Statements of TREC Holder Companies

SMMI Department, in collaboration with the Stock Exchanges, examines the Audited Financial Statements (AFS) of TREC holder companies. A summary on the examination of audited financial statements in 2023-2024 is shown in the tables below:

Particulars	Number of TREC Holder Companies	
	2022-2023	2023-2024
Examination of the Audited Financial Statements of TREC Holder companies by DSE	227	320
Examination of the Audited Financial Statements of TREC Holder companies by CSE	125	98
Total	352	418

E. Appointment and Termination of Managing Director/CEO of Merchant Bankers

During the financial year 2023-2024, the following activities have been performed by the Department regarding appointment and termination of Managing Director/CEO of Merchant Bankers:

Appointment of Managing Director/CEO	Fiscal Year	Number of Previous Unsettled Application	Number of Application Received during the Period	Total Number of Application	Approval Granted	Approval not Granted	Under Process
	2022-2023	13	32	45	29	03	13
	2023-2024	13	11	24	22	01	01

F. Information of Negative Equity

Amount of Negative Equity	2022-2023	2023-2024	Change
	5,164.86 (Crore Tk.)	5,859.51 (Crore Tk.)	694.65 (Crore Tk.)

G. Consolidated Customer Account (CCA) Deficit Clearing Information of Stock Broker Company

Amount of Consolidated Customer Account (CCA) Deficit	2022-2023	2023-2024	Change
	5,24.66 (Crore Tk.)	193.74 (Crore Tk.)	330.92 (Crore Tk.)

2.12 Central Depository System

Information of joining of different Mutual Funds, Companies and Bonds in the Central Depository System during FY 2023-2024:

Types of Issuer	2022-2023		2023-2024			
	Applied for IPO (Fund Size in BDT)	Subscribed Amount in BDT	Applied for IPO (Fund Size in BDT)	Increase Y-o-Y (%)	Subscribed Amount in BDT	Increase Y-o-Y (%)
Mutual Fund	13,159,609,040	13,159,609,040	3,100,000,000	-76	13,159,609,040	-85
Company	3,092,890,608	3,092,890,608	3,510,558,290	14	3,596,534,180	16
Bond	75,001,000,000	67,924,000,000	54,599,000,000	-27	33,936,950,000	-50
Total	91,253,499,648	84,176,499,648	61,209,558,290	-33	39,533,672,180	-53

2.13 AML & CFT Section, SMMID

In the Fiscal Year 2023-2024, the following functions have been performed by the AML & CFT Section:

- A Information (information related to money laundering cases, inspection reports etc.) has been sent as per the requirement of Bangladesh Financial Intelligence Unit.
- B Meetings of the National Coordination Committee, Working Committee and Central Task Force on Prevention of Money Laundering and Terrorism Financing have been attended.
- C AML/CFT system check inspections have been conducted in 25 (twenty-five) capital market related institutions.
- D Under the initiative of Bangladesh Securities and Exchange Commission, training regarding 'AML/CFT Compliance' has been provided to approximately 168 officials of the Commission, Stock Exchanges, Stock Brokers and Stock Dealers, Merchant Banks and Portfolio Managers, Asset Management companies and Securities Custodians on 23 August 2023, 21 November 2023 and 6 March 2024.
- E Training related to AML and CFT has been arranged for the Chief Anti-Money Laundering Compliance Officers of TREC holder companies of CSE on 26 May 2024, under the initiative of Bangladesh Securities and Exchange Commission and with the overall support of Chittagong Stock Exchange Limited.

2.14 Inspection, Inquiry and Investigation

The Market Intelligence and Investigation Division (MIID) consists of 02 (two) departments: Inspection, Enquiry and Investigation Department (IEID) and Market Intelligence Department (MID).

The functions of the Inspection, Enquiry and Investigation Department are as follows:

1. Regular inspection, enquiry and investigation of the market, market intermediaries, issuer companies and other legal subjects (any person, organization, etc. related to the capital market); and
2. Performing other legal functions related to the department.

A. Information regarding the enquiry activities of the Inspection, Inquiry and Investigation Department from 01 July 2023 to 30 June 2024:

Sl.	Particulars	Number
1	Issued enquiry order	50
2	Enquiry report submitted by enquiry committee	26
3	Ongoing enquiry	24

B. In the Fiscal Year 2023-2024 Inspection, Enquiry, Investigation are as follows:

No. of Order Issues	No. of Report Submitted	Under Process Inspection
38	28	10

2.15 Market Intelligence

Gathering intelligence on market manipulation, rumors, and fraudulent activities in capital market, analyzing the collected information to prepare intelligence report and sending the report to the appropriate department for taking necessary actions is the main function of the Market Intelligence Department. The main functions performed by the Market Intelligence Department during July 2023 – June 2024 are as follows:

Number of Intelligence Reports based on News Received from Newspapers, Magazines and Other Media	Action Taken as a Result of the Report
09	02 inquiry orders have been issued and enforcement proceedings are ongoing based on one of the inquiry reports.

Number of Intelligence Reports based on News Received from Newspapers, Magazines and Other Media	Action Taken as a Result of the Report
05	03 inquiry orders have been issued and one of them has been sent to various departments for taking action on the recommendations of the inquiry report and the process of sending an inquiry report to DB for taking legal action is in progress.

Other Activities: The Market Intelligence Department of the Commission also performed the following activities:

- Sending letters for nomination of Focal Point Officers for establishing mutual cooperative relationship with NTMC, BFIU, CID, Special Branch, NSI, DB, RAB and Directorate of Customs Intelligence and Investigation and Central Intelligence Cell of National Board of Revenue for capacity building of Market Intelligence Department of the Commission.
- Prepared list of groups used to spread various rumors related to capital market through social media and sent to NTMC for deactivation of those groups.
- Training arranged to all officials of the Commission to provide basic understanding regarding Intelligence.

2.16 Enforcement

The Enforcement Department takes legal measures, including imposition of fines, considering the seriousness of the violation to Securities Laws against the accused in the capital market due to the failure to comply with the securities laws. Inspections and investigations are carried out under the Securities Laws. On the basis of the report received in the inspection/investigation report regarding non-compliance of the Securities Laws, it is submitted to the Commission after completion of explanation and hearing of the accused person or institution concerned in the issuer and capital market as per the provisions of the relevant Securities Laws. The Commission took legal action in accordance with the Securities Laws.

The Enforcement actions taken by the Commission against the Issuer Companies, Stock Brokers, Stock Dealers, Merchant Bankers, Authorized Representatives and others concerned due to failure to comply with Securities Laws for the period 2023-2024 have been furnished as follows:

Enforcement Action

Sl.	Particulars	Penalty (Number)	Warning (Number)	Dispose (Number)	Total (Number)
1	Issuer Company/Director	27	32	5	64
2	Stock Broker/Stock Dealer/ Authorized Representative	6	182	2	190
3	Merchant Banker	1	3	0	4
4	Asset Management Company	0	3	0	3
5	Chartered Accountants	0	5	0	5
6	Investor	19	127	6	152
7	Others	23	250	0	273
Grand Total		76	602	13	691

2.17 Legal Services

- The Legal Services Department provides comprehensive assistance to the wise lawyers employed by the Commission in handling cases filed by the Commission and filed against the Commission, provides legal opinion on various issues referred by other departments of the Commission and files and manages certificate cases for recovery of fines imposed by the Commission.
- A total of 512 cases filed by BSEC and filed against BSEC are remained pending in various courts. Total 64 cases disposed of, 15 cases filed by BSEC and 22 cases filed against BSEC during FY 2023-2024.

Cases filed by or against BSEC are pending in different courts during the financial year 2023-2024:

Cases Filed by or against SEC	Number of Cases	Brief Description
Cases Filed by BSEC	15	14 Certificate cases were filed in order to recover the penalty imposed by the Commission under PDR Act, 1913 for violation of securities laws and 01 Petition Cases were filed to the metropolitan Session Court for violation of securities laws.
Cases Filed against BSEC	22	19 Writ Petitions, 03 Company Matters were filed in the High Court Division against the Commission challenging securities related laws, penalty order imposed by the Commission and for other reasons.

Disposed of cases in different courts during the financial year 2023-2024:

Number of Disposed of Cases	Brief Description
54	22 Writ Petitions have been disposed of which were filed against the Commission. Besides, 32 Company Matter were disposed of in favor of the Commission.

The court-based position of the cases is shown follows:

Sl.	Name of the Court		Number of Cases
1	Supreme Court of Bangladesh	Appellate Division	04
		High Court Division	205
2	Special Tribunal BSEC, Dhaka		16
3	Chief Metropolitan Magistrate Court, Dhaka		04
4	General Certificate Court, Dhaka		283
Total			512

2.18 Management Information System

- The main objective of MIS Department is to carry out development of ICT infrastructure, maintenance, and its upgradation, introduce e-services to facilitate ease of doing business and build up a digitalized capital market monitoring system.
- The activities of MIS Department are given below:
 - ❑ Providing technical assistance in the use of government e-Nothi system for official activities;
 - ❑ Installation and maintenance of official computer hardware and software;
 - ❑ Providing technical support for various meetings/seminars/presentations including online meetings;
 - ❑ Ensuring uninterrupted internet service of 200 Mbps speed (including 100 Mbps redundant connection);
 - ❑ Taking back-up and preserve the digital information of the Commission;
 - ❑ Preparing Technical Specification for IT related purchases;
 - ❑ Taking steps to ensure the cyber security of the Commission's information;
 - ❑ Arranging training to the employees of the Commission on Information Security Awareness;
 - ❑ Providing necessary assistance in completing the tender process of the Commission through e-GP portal;
 - ❑ Carrying out system development/modification/upgradation/maintenance as per requirements of different departments of the Commission; and
 - ❑ Keeping the Commission's website (2 domains- www.sec.gov.bd & www.এসইসিবিডি.বাংলা) up and running, updating information, and ensure cyber security etc.
- The activities accomplished/continued during fiscal year 2023-2024:
 - ❖ The newly implemented updated Organogram of the Commission has been presented through Dynamic Visualization.
 - ❖ According to the newly implemented updated organogram of the Commission, a Smart Contact List has been prepared for easy communication for the officers on mobile phones and WhatsApp and it has been opened for the use of the officers of the Commission with the approval of the appropriate authority.
 - ❖ As part of the development of Bond Defaulter Monitoring System, generation of three types of reports from the system has been completed to monitor the bond issuers who will fail to pay principal or coupon and thereby assist the Commission in taking decisions on related issues.
 - ❖ The IT Support Ticket Management System (TMS) developed to expedite and record the IT Support Requisition process in the Commission has been made available for official use. Commission officials are already using it to submit their IT support requests online.
 - ❖ To complete the IPO application submission process to the Commission through an online platform, the relevant procurement tender circular has been published after the preparation of the Technical Specifications and budgetary estimate required for the development of the 'Online IPO Application Platform' (Modified Version).

- ❖ Polycom Video Conferencing service has been enabled in the Commission through Real IP approved by BTRC for video conferencing on non-commercial basis.
- ❖ Deployment of newly procured CISCO license on Commission's perimeter firewall has been completed.
- ❖ Situational Alert issued by BGD e-GOV CIRT has been informed to Dhaka Stock Exchange (DSE), Chittagong Stock Exchange (CSE), Central Depository Bangladesh Limited (CDBL) and Central Counterparty Bangladesh Limited (CCBL). Based on the notification, they have also sent their feedbacks to the commission stating the initiatives taken by them.
- ❖ The process of adding a menu card titled 'ICT Security Advisories & Alerts' on the Commission website is underway to inform the capital market stakeholders of the various advices and warnings issued by the relevant authorities of the government and the related actions announced by the Commission from time to time.
- ❖ The commission has already given approval for adding the links of Customer Complaint Address Module (CCAM) and Online BO Account Opening under MyGov.
- ❖ The CIRT team of the Commission has been reconstituted with a total of 12 members including one expert on digital security and one cyber security expert from Law Enforcement Agencies.
- ❖ In the month of October, recognized as Cyber Security Month worldwide, with the aim of increasing the awareness of the officers of the Commission on cyber security issues, the ICT Division of the Commission organized a 'Cyber Security Awareness Program' on 26.10.2023 at the Multipurpose Hall. Assistant Directors/Equivalent, Personal Officers/Equivalent, Assistant Accounts Officers, Cashier and Receptionist of the Commission participated in the event.
- ❖ On the initiative of the Commission and under the management of the Office of the Controller of Certifying Authorities-CCA, a training program on 'Digital Signature' to ensure cyber security has been organized on 4th and 5th March 2024 at the Multipurpose Hall of the Commission. The total number of trainees was 104 with 52 trainees per day. A total of 21 representatives from 6 important stakeholders related to the capital market (DSE, CSE, CDBL, CCBL, BASM, BICM) also participated in the training program.
- ❖ A 5-day workshop on Microsoft Office Powerpoint (PPT) has been organized for the 10th grade employees of the Commission with the aim of making various presentations made in office work more attractive and fluent.
- ❖ The original license of End Point Security Solutions has been activated on the desktops and laptops used by the officers of the Commission.
- ❖ Several meetings were held with Bangladesh Data Center Company Limited (BDCCL) for the purpose of feasibility study and system architecture discussion regarding Online Cloud Backup of the Commission.

- ❖ A Technical Specification has been prepared for re-designing the official website of the Commission to make it more attractive and up-to-date and to modernize it by ensuring cyber security.
- ❖ For the purpose of protecting the institutional information, the Commission has taken the initiative to conduct IT audit for the purpose of testing whether the functioning of the information technology (IT) infrastructure of the institution, the management and control process and the related policies have passed the test of recognized standards. An official letter has been sent to the National Cyber Security Agency (NCSA) to take necessary action in this regard.

➤ The uploaded information during fiscal year 2023-2024 in the commission's website:

❑ IPO Prospectus	: 06
❑ Mutual Fund Prospectus	: 07
❑ Right Issue Document	: 01
❑ Enforcement Actions	: 423
❑ Other Order/Notification/Directive etc.	: 21
❑ Quarterly Report	: 09
❑ Annual Report	: 04
❑ Press Release	: 63
❑ Tender Information	: 11
❑ NOC	: 28
❑ Notable events	: 03
❑ Comments Request on Draft Rules/Amendment	: 05, and
❑ Other Received List.	

2.19 Research and Development

Activities performed by the Research and Development Department of the Commission during the fiscal year 2023-2024 are as follows:

- **List of Reports Prepared and Sent as per Ministry's Requirement**

1. Implementation and progress report of the instructions given by the Hon'ble Prime Minister in the secretary meeting held on 27 November 2022;
2. Providing feedback on the midterm evaluation draft report of the Eighth Five Year Plan;
3. Submission of Annual Reports on the activities of the Ministries and Departments for the financial year 2022-2023;
4. Providing the information of Important activities undertaken by the Commission from 01 August 2023 to 31 December 2023;
5. Providing information for inclusion in Bengali edition of 'Bangladesh Economic Survey-2024';
6. Providing information on the development activities of the present government from 2009 to 2023;
7. Providing development projects/programmes related data/information;
8. Preparing and sending Monthly Reports on significant/important activities of BSEC to the Ministry;
9. Sending data-information for inclusion in the booklet entitled 'Bangladesh Marches On' published by the Finance Department;
10. Sending one-page report (Bengali and English) summarizing the basic and recent activities of the Commission;
11. Providing relevant information of BSEC for inclusion in 'Annual Report of Department of Financial Institutions Division 2022-2023';
12. Sending information on the progress of Action Plans regarding the implementation of the National Financial Inclusion Strategy made by the Government of the People's Republic of Bangladesh;
13. Providing information for inclusion in the speech of His Excellency the President at the first session of 2024 to the Twelfth National Parliament;
14. Providing information for the minutes of the Secretary's meeting;
15. Providing information to Financial Institutions Department regarding the development of last 15 years;
16. Providing specific, concise and statistical reports on the basic and important activities of the Ministry/Department/Organization for inclusion in the budget speech of the financial year 2024-2025 of the Department of Financial Institutions;
17. 600 copies of the printed annual report of BSEC for the financial year 2022-2023 has been submitted to the National Parliament Secretariat for presentation in the Grand National Parliament.

● **List of Reports Prepared and Sent as per Requirement of Bangladesh Bank**

1. Providing capital market related data and reports for inclusion in Bangladesh Bank's Annual Report 2022-2023
2. Providing capital market related data for publication in Financial Stability Report-2023 to be published by Bangladesh Bank;
3. Providing information regarding Annual Report on Exchange Arrangement and Exchange Restrictions (AREAER); and
4. Providing quarterly and annual reports published by Bangladesh Securities and Exchange Commission.

● **List of Reports Published by Research and Development Department of the Commission during FY 2023-2024:**

Sl.	Title of the Report	Date of Publication at Commission's Website
1	পরিক্রমা (এপ্রিল-জুন) ২০২৩	20.09.2023
2	Quarterly Review (April-June) 2023	27.09.2023
3	পরিক্রমা (জুলাই-সেপ্টেম্বর) ২০২৩	20.12.2023
4	Quarterly Review ((July-September) 2023	02.01.2024
5	পরিক্রমা (অক্টোবর-ডিসেম্বর) ২০২৩	01.04.2024
6	Quarterly Review (October-December) 2023	05.05.2024
7	বিএসইসি'র বার্ষিক প্রতিবেদন (বাংলা) ২০২২-২০২৩	08.05.2024
8	পরিক্রমা (জানুয়ারি-মার্চ) ২০২৪	09.06.2024
9	Quarterly Review (January-March) 2024	09.07.2024

2.20 Financial Literacy

As a part of countrywide investor education program, Financial Literacy Department of the Commission organizes different training, seminar, workshop and conference that help the investors to take appropriate investment decision. Besides, this department performs the activities related to investor's education program which is organized by market intermediaries, training to the employees working at the organizations in the capital market. Financial Literacy Department also organizes programs to observe the IOSCO World Investor Week.

Financial Literacy Department performed the following activities during the FY 2023-2024:

Sl.	Particulars of Training	Number of Participants (Person)
1	Training for the employees of Market Intermediaries	2,879
2	Training through observing World Investor's Week	287
3	Training for authorized representatives of DSE and CSE TREC Holders	2339
4	Investor's education program	4,137
Total		9,642

2.21 International Affairs

During the year July 2023-June 2024, the following functions were performed at the International Affairs Department:

1. During the period, arrangements are made for the participation of employees of the Commission in 18 (eighteen) virtual seminars/training sessions;
2. Participated in 03 (three) Queries and 08 (eight) Surveys during the mentioned period;
3. Ex-Chairman of BSEC, has been re-elected as the Vice Chair for the second time at the IOSCO -Asia-Pacific Regional Committee (APRC) for the term 2024-2026. Necessary communication with the IOSCO and the APRC members regarding their overall cooperation and support for Professor Shibli's candidacy for the said position and issuance of appreciation letters to them for the successful appointment;
4. In the past fiscal year, the Chairman of the Commission participated in various IOSCO meetings, including the IOSCO-Growth and Emerging Markets Committee (GEMC) Annual Meeting held in Egypt in November 2023, the IOSCO Board Meetings held virtually in September 2023, December 2023 and March 2024, the IOSCO-APRC meeting held in Hong Kong in February 2024 and the 49th IOSCO Annual Meeting held in Athens, Greece in May 2024. Regarding these, communication and necessary official actions were undertaken with IOSCO and related organizations;
5. During 49 IOSCO Annual Meeting, a bilateral Memorandum of Understanding (MoU) between the Bangladesh Securities and Exchange Commission (BSEC) and the International Financial Services Centers Authority (IFSCA) of Gujarat, India, was signed;
6. Completion of activities related to organizing bilateral meetings between the BSEC Chairman and a delegation from the Astana Financial Services Authority (AFSA) of Kazakhstan, as well as a team from the Monetary Authority of Singapore (MAS), during the annual meeting;
7. During the annual IOSCO meeting, BSEC was unanimously nominated to host the 2024 Annual Meeting and Conference of IOSCO's Growth and Emerging Markets Committee (GEMC) in Bangladesh. In this regard, necessary communications including a virtual meeting with the IOSCO secretariat have been accomplished
8. A high-level business delegation from South Africa, comprising members from the South Africa -Indian Ocean Rim Business Forum (IORBF) and the Black Business Council (BBC) visited Bangladesh from 11 March 2024 to 16 March 2024 following the successful hosting of the Trade and Business Summit in Johannesburg, South Africa, in August 2023. A day-long conference has been arranged at the Commission Building for the business delegation with the representatives from various government entities of Bangladesh, leading business associations, businesses entities from various sectors, foreign investment management companies, foreign investment advisory firms, RMG-related universities and banks. In the evening of the same day, a discussion meeting was held with the business delegation at local hotel in Dhaka. This meeting included top officials from various ministries, government and private organizations and institutions of Bangladesh. Among other guests, the Honorable Adviser to the Prime Minister on Private Industry and Investment, the Executive Chairman of BIDA, the Chairman of BSEC, the Secretary of the Information and Communication Technology Division,

Information and Communication Technology Division, the President of the Dhaka Chamber of Commerce and Industry (DCCI), and the Director of the Federation of Bangladesh Chambers of Commerce and Industry (FBCCI) were present and delivered speeches.

9. For getting government approval to sign the Enhanced Multilateral Memorandum of Understanding (EMMoU) of IOSCO, necessary official tasks including meetings with concerned Ministries have been performed; and
10. Organizing of a day-long meeting on trade and investment with the eight (08) newly appointed officers in the positions of Commercial Counselor and First Secretary (Commercial) at the Commercial Wings of Bangladesh Missions in abroad.

2.22 Public Relation

Public Relation Department of BSEC has been performing necessary functions Related to Public Relations of the Commission.

The activities of the Public Relations Department for the year July 2023 to June 2024 are as follows:

1. Taking steps to publish eight (08) gazettes from Bangladesh Forms and Publications Office.
2. A total of 64 (Sixty-four) press release have been issued by the Commission with the assistance of Public Relations Department. Issued press releases have been published on the website of the Commission (www.sec.gov.bd and www.এসইসিবিডি.বাংলা).

2.23 Financial Statement of the Commission

Financial Report (Provisional)

Statement of Financial Position As at 30 June 2024

Particulars	Notes	30 June 2024	30 June 2023
		Taka	Taka
Assets			
Current Assets		2,910,598,321	2,807,639,558
Inventories	6.00	1,091,106	-
Prepayments	7.00	72,222,125	12,011,800
Receivables	8.00	4,250,000	4,791,761
Financial Assets-Short Term	9.00	2,688,660,443	2,680,386,823
Cash and Cash Equivalents	10.00	144,374,646	110,449,174
Non-Current Assets		1,788,343,955	1,593,785,625
Financial Assets-Short Term	11.00	654,731,057	645,946,492
Financial Assets-Short Term	12.00	1,132,002,662	945,560,626
Other Non-Financial Assets	13.00	1,610,236	2,278,507
Total Assets		4,698,942,276	4,401,425,183
Net Assets and Liabilities			
Current Liabilities		240,918,987	208,737,642
Bank Loans-Short Term	14.00	-	-
Loans-Short Term	15.00	-	-
Payable to Related Parties	16.00	-	-
Other Payables	17.00	230,868,942	147,886,424
Accrued Expenses	18.00	10,050,045	60,851,218
Non-Current Liabilities	-	-	-
Bank Loans-Short Term	19.00	-	-
Loans-Short Term	20.00	-	-
Long Term Employee Benefit Obligation	21.00	-	-
Total Liabilities:		240,918,987	208,737,642
Net Assets			
Fund Balance	22.00	3,698,280,128	3,698,280,128
Accumulated Surplus/(Deficit)	23.00	759,743,161	494,407,413
Reserves	24.00	-	-
Total Liabilities		4,458,023,289	4,192,687,541
Total Net Assets and Liabilities		4,698,942,276	4,401,425,183

Statement of Financial Performance
For the year ended 30 June 2024

Particulars	Notes	30 June 2024	30 June 2023
		Taka	Taka
Revenue			
Grants	25.00	1,278,312	3,216,061
Taxes	26.00	-	-
Fees, Fines, Penalties and Licenses	27.00	582,245,943	560,976,305
Revenue from Exchange Transaction	28.00	-	-
Transfer from other Government Entities	29.00	291,446,200	337,306,200
Other Income	30.00	350,939,725	220,557,701
Total Revenue		1,226,010,180	1,122,056,266
Expenses:			
Wages, Salaries and Employee Benefits	31.00	300,766,878	289,017,661
Depreciation, Impairment and Amortization	32.00	37,202,301	33,854,181
Social Benefits	33.00	-	-
Grants and Other Transfer Payments	34.00	291,446,200	337,306,200
Supplies and Consumable Used	35.00	11,205,347	8,017,665
Financial Expenses	36.00	4,049,618	1,440,046
Other Expenses	37.00	320,727,258	278,741,665
Total Expenses		965,397,602	948,377,418
Share of Surplus of Associates		-	-
Surplus/(Deficit) for the Period	39.00	260,612,578	173,678,848
Attributable to		260,612,578	173,678,848
Owners of the Controlling Entity		260,612,578	173,678,848
Non-Controlling Interest		-	-

Bangladesh Securities and Exchange Commission
Statement of Changes in Net Assets
For the Year Ended 30 June 2024

Sl.	Particulars	Fund Balance	Reserves	Accumulated Surplus/(Deficit)	Total (Taka)
1	Balance as on 1st July 2023 (Opening)	3,698,280,128	-	494,407,413	4,192,687,541
2	Net Surplus/(Deficit) for the Year	-	-	260,612,578	260,612,578
3	Payments from Funds/RE	-	-	4,723,170	4,723,170
4	Balance as on 30th June 2024 (Closing)	3,698,280,128	-	759,743,161	4,458,023,289

Statement of Changes in Net Assets
For the Year Ended 30 June 2023

Sl.	Particulars	Fund Balance	Reserves	Accumulated Surplus/(Deficit)	Total (Taka)
1	Balance as on 1st July 2022 (Opening)	3,698,280,128	-	391,856,715	4,090,136,843
2	Net Surplus/(Deficit) for the Year	-	-	173,678,848	173,678,848
3	Payments from Funds/RE	-	-	(71,128,150)	(71,128,150)
4	Balance as on 30th June 2023 (Closing)	3,698,280,128	-	494,407,413	4,192,687,541

Statement of Cash Flow
For the Year Ended 30 June 2024

Particulars	Amount in Taka	Amount in Taka
	2023-2024	2022-2023
A. Cash Flow from Operating Activities		
Collection from Grants	1,378,312	3,216,061
Sale of Goods and Services	-	-
Revenue from Fees, Fines, Penalties and Licenses	566,917,175	557,605,676
Payment against Cost and Expenses	-	-
Financial Expenses	(63,433,992)	(1,440,046)
Other Expenses	(1,081,830,611)	(1,087,940,196)
Other Income	335,631,183	382,646,574
Net Cash Flow from Operating Activities	(241,337,933)	(145,911,931)
B. Cash Flow from Investing Activities		
Acquisition of Property, Plant and Equipment	(96,457,136)	(26,283,167)
Sale of Property, Plant and Equipment	-	-
Interest Received	371,720,541	157,922,885
Dividend Received	-	-
Net Cash Flow from Investing Activities	275,263,405	131,639,718
C. Cash Flow from Financing Activities		
Short Term Loan Increase/Decrease	-	-
Long Term Loan Increase/Decrease	-	-
Dividend Paid	-	-
Net Cash Flow from Financing Activities	-	-
D. Increase/Decrease in Cash and Cash Equivalents (A+B+C)	33,925,473	(14,272,213)
E. Add: Opening Cash & Cash Equivalents	110,449,174	124,721,387
F. Closing Cash & Cash Equivalents (D+E)	144,374,646	110,449,174

Account and Finance

The Accounts and Finance Department of the Commission performed the following functions during the financial year 2023-2024.

- a. Prepared Financial Report of the Commission for the financial year 2023-2024;
- b. Salaries and allowances of all employees are paid with the approval of the Administration Department;
- c. Released money to the Employees for advance payment as per the approval of the Commission;
- d. Issued annual income statement and income tax certificate to all employees;
- e. Payment of bill as per the work order and approval;
- f. Formulation and coordination of annual and mid-term budgets;
- g. Performed functions related to resolution of audit objections; and
- h. Opening and maintenance of fixed deposit accounts from commission funds.

2.24 Internal Audit and Compliance

During the financial year 2023-2024, Internal Audit and Compliance Division performed the following important functions:

01. IACD Division initiated internal audit and compliance activities;
02. A draft manual has been prepared in this regard;
03. A workshop was conducted to gather feedback on the draft manual; and
04. Soon after the audit manual is approved in the commission meeting, effective internal audit shall be started.

2.25 Accounting and Auditing Policy

The activities of Accounting and Auditing Policy Department of the Commission during the financial year 2023-2024 are as follows:

A. Inclusion of the Chartered Accountants Firm in the Panel of Auditors of the Commission

Sl.	Description
1	A. Hoque & Co., Chartered Accountant
2	ARTISAN, Chartered Accountants
3	Hussain Forhad & Co., Chartered Accountant
4	Hussain Forhad & Co., Chartered Accountant
5	MABS & J. Partners, Chartered Accountants
6	Octokhan, Chartered Accountants
7	T. Hussain & Co., Chartered Accountants
8	Hoque Bhattacharjee Das & Co., Chartered Accountants
9	MRH Dey & Co. Chartered Accountants
10	Ali Zahir Ashraf & Co., Chartered Accountants

B. Inclusion of New Engagement Partners of the Firm in the Panel of Auditors of the Commission

Sl.	Description	Number
1	Engagement Partners of the Firm	18

C. Rejection the Application Regarding the Enlistment of the Chartered Accountants Firms in the Panel of Auditors of the Commission

Sl.	Description	Number
1	Rejection the Application regarding the enlistment of the Firms	02

D. The Commission has been Formed a Committee for the Purpose of Formulating 'Guidelines for Enlistment of Audit and Auditors'. The activities of the committee are in process.

2.26 BSEC's Activities in Implementing Sustainable Development Goals (SDGs)

Bangladesh has emphasized the principles of 'Inclusion' and 'Leaving No One Behind' in achieving the Sustainable Development Goals by 2030. The Commission's SDG Committee is working to achieve these goals. Since 4 of the 17 SDG goals are related to the activities of the Commission, the SDG Committee is working to achieve the respective goals.

- In relation to SD-9 target 9.1-'Establishment of interoperable ICT infrastructure', activities are underway to establish interoperability board under MoU signed between BSEC and Bangladesh Computer Council. If the said board is implemented, all the participants related to the capital market will be able to exchange information using the same board.
- In order to achieve SDG -5 Target 5.5 – 'Reduction of Gender Discrimination and Empowerment of Women', BSEC has taken necessary steps to amend the Corporate Governance Code-2018 to include mandatory inclusion of at least 1 (one) woman independent director in the Board of Directors of the company.
- BSEC has imparted economic education to a total of 71451 people as of 30 June 2024 to achieve SDG-4 Target 4.3 of 'Equitable Quality Education and Lifelong Learning'.
- A total of 20 SME companies raised funds from the capital market and listed on the Dhaka and Chittagong Stock Exchanges to achieve 'Enhancing the contribution of Small and Medium Enterprises (SMEs) to the economy' in relation to SDG-9 Target 9.3.

Besides, in view of the letter from the Department of Financial Institutions, the opinion of the Commission on the draft 'National SDGs Communication Strategy (NSCS 2024-2030)' has been sent to the ministry. In the said opinion, the section Outcome 4: Financial Literacy Promoted has been attached and the following points have been attached to this section is recommended.

- Increased financial literacy programs for different categories of citizens.
- Greater awareness on the importance of savings and investments for better financial health.
- As the impact, raising the size of the domestic financing capabilities.

Focal Point of the Commission's SDG Committee participated in the preparatory meeting for the workshop on SDG implementation progress, ways to overcome potential challenges and future action plans held on 09 January 2024, organized by the Financial Institutions Department.

2.27 Innovation Activities

The Bangladesh Securities and Exchange Commission (BSEC) in coordination with Dhaka Stock Exchange PLC, Chittagong Stock Exchange PLC, CDBL, CCBL and BASM has been working to establish a smart capital market as a part of smart economy towards building smart Bangladesh. In accordance with the directions of the Cabinet Division, under leadership of the Commission, the innovation team has developed an extensive smart work plan in coordination with the stakeholders. The innovation team of the Commission has organized training sessions to educate BSEC's officials on the concepts of Smart Bangladesh and a Smart Capital Market. Additionally, as part of service digitalization, a web-based 'IT Support Management System' has been implemented to efficiently and promptly address IT-related issues within the Commission.

উদ্যোগের নাম: স্মার্ট ক্যাপিটাল মার্কেট ডেভেলপমেন্ট কর্ম-পরিকল্পনা

ক্রম	গৃহীত উদ্যোগের নাম	উদ্যোগের সাথে সংশ্লিষ্ট ক্ষেত্র	উদ্যোগের মাধ্যমে সমাধানকৃত সমস্যা বা চ্যালেঞ্জ	উদ্যোগের প্রত্যাশিত ফলাফল	উদ্যোগের সাথে সংশ্লিষ্ট স্মার্ট বাংলাদেশের স্তর	২০২৫ সালের মধ্যে বাস্তবায়ন লক্ষ্যমাত্রা (%)	২০৩১ সালের মধ্যে বাস্তবায়ন লক্ষ্যমাত্রা (%)	২০৪১ সালের মধ্যে বাস্তবায়ন লক্ষ্যমাত্রা (%)	উদ্যোগ বাস্তবায়নকারী সংস্থার নাম	উদ্যোগ বাস্তবায়নকারী সংস্থা/অংশীজন সংস্থার নাম	প্রয়োজনীয় রিসোর্স	রিসোর্সের সম্ভাব্য উৎস	বাস্তবায়ন সংশ্লিষ্ট ডিভিশন/প্রতিষ্ঠান
১	বিএসইসি'র আইসিটি ডিভিশনের স্মার্ট ক্যাপিটাল মার্কেট বিভাগ স্থাপন	☒ স্মার্ট আর্থিক অন্তর্ভুক্তি এবং ক্যাশলেস সমাজ ☒ তথ্য-উপাত্ত ভিত্তিক গভর্নেন্স ☐ সাশ্রয়ী ডিজিটাল পাবলিক অবকাঠামো ☐ ক্ষুদ্র ও মাঝারি উদ্যোক্তা ☐ বাণিজ্য, বিনিয়োগ ও ব্যবসাবান্ধব পরিবেশ ☒ ইনোভেটিভ সার্ভিস ডেরিভারি	- স্মার্ট কার্যক্রম সংক্রান্ত নীতি প্রণয়নে সাহায্য - স্মার্ট কার্যক্রম বাস্তবায়নে পদক্ষেপ গ্রহণ	পুঁজিবাজারব্যাপী স্মার্ট কার্যক্রম গ্রহণ ও বাস্তবায়নে সমন্বয় সাধন	☐ স্মার্ট নাগরিক ☐ স্মার্ট সমাজব্যবস্থা ☒ স্মার্ট সরকার ☒ স্মার্ট অর্থনীতি	৩০	১০০	১০০	বিএসইসি	সংশ্লিষ্ট মন্ত্রণালয়	- কমিশনের সিদ্ধান্ত - সংশ্লিষ্ট মন্ত্রণালয়ের অনুমোদন	- কমিশন - সংশ্লিষ্ট মন্ত্রণালয়	প্রঃ ও অর্থ
২	বিএসইসিতে ইআরপি (ERP= Enterprise Resource planning) ব্যবস্থা বাস্তবায়ন	☒ স্মার্ট আর্থিক অন্তর্ভুক্তি এবং ক্যাশলেস সমাজ ☒ তথ্য-উপাত্ত ভিত্তিক গভর্নেন্স ☐ সাশ্রয়ী ডিজিটাল পাবলিক অবকাঠামো ☐ ক্ষুদ্র ও মাঝারি উদ্যোক্তা ☐ বাণিজ্য, বিনিয়োগ ও ব্যবসাবান্ধব পরিবেশ ☒ ইনোভেটিভ সার্ভিস ডেরিভারি	- বৃহৎ রেকর্ডবুক সংরক্ষণ - অননুমোদিত অনুপ্রবেশ - প্রচলিত ধীরগতির মনিহারি ব্যবস্থাপনা - সনাতনী ছুটির (নেমিষ্টিক, অর্জিত, মার্ততুকালীন, শ্রান্তিবিনোদন, বহিঃ বাংলাদেশ ছুটি)র হিসাববিনাকশে জটিলতা - প্রচলিত সনাতনী হাজিরা খাতা সংরক্ষণ - খণ্ড/অগ্রিমে এবং পেনশনের হিসাববিনাকশে জটিলতা	- Paperless HR section Ges - Accounting system সম্পূর্ণ স্বয়ংক্রিয় - Visitor Management - সফটওয়্যার নির্ভর স্টেশনারি ম্যানেজমেন্ট, এসেট ম্যানেজমেন্ট - Software-based ছুটির নির্ভুল, দ্রুতগতির হিসাব - Automated Attendance Device - Software-based পিএফ এবং খণ্ড/অগ্রিমের নির্ভুল হিসাব	☐ স্মার্ট নাগরিক ☐ স্মার্ট সমাজব্যবস্থা ☒ স্মার্ট সরকার ☒ স্মার্ট অর্থনীতি	২০	৫০	১০০	বিএসইসি	- দর্শনাধীর্গণ - সকল কর্মচারী - বিভিন্ন সেবা ব্যবস্থাপকগণ - সংশ্লিষ্ট মন্ত্রণালয় - প্রযুক্তি ভেদে	- নিজস্ব জনবল - ক্রয়কৃত প্রযুক্তি - নিজস্ব তহবিল	প্রঃ ও অর্থ	

ক্রম	গৃহীত উদ্যোগের নাম	উদ্যোগের সাথে সংশ্লিষ্ট ক্ষেত্র	উদ্যোগের মাধ্যমে সমাধানকৃত সমস্যা বা চ্যালেঞ্জ	উদ্যোগের প্রত্যাশিত ফলাফল	উদ্যোগের সাথে সংশ্লিষ্ট স্মার্ট বাংলাদেশের স্তর	২০২৫ সালের মধ্যে বাস্তবায়ন লক্ষ্যমাত্রা (%)	২০৩১ সালের মধ্যে বাস্তবায়ন লক্ষ্যমাত্রা (%)	২০৪১ সালের মধ্যে বাস্তবায়ন লক্ষ্যমাত্রা (%)	উদ্যোগ বাস্তবায়নকারী সংস্থা/ অংশীজন সংস্থার নাম	উদ্যোগ বাস্তবায়নকারী সংস্থার নাম	প্রয়োজনীয় রিসোর্স	রিসোর্সের সম্ভাব্য উৎস	বাস্তবায়ন সংশ্লিষ্ট ডিভিশন/ প্রতিষ্ঠান
৩	তালিকাভুক্ত কোম্পানি ও মূলধন বাজার সংশ্লিষ্ট মধ্যস্থতাকারী প্রতিষ্ঠানসমূহের জন্য XBRL ভিত্তিক রিপোর্টিং প্ল্যাটফর্ম বাস্তবায়ন	☒ স্মার্ট আর্থিক অন্তর্ভুক্তি এবং ক্যাপলেস সমাজ তথ্য-উপাত্ত ভিত্তিক গভর্নেন্স ☒ সাশ্রয়ী ডিজিটাল পাবলিক অবকাঠামো ☐ ক্ষুদ্র ও মাঝারি উদ্যোক্তা বাণিজ্য, বিনিয়োগ ও ব্যবসাবান্ধব পরিবেশ ☒ ইনোভেটিভ সার্ভিস ডেরিভারি	• যানবাহন সংক্রান্ত অববাস্তাপনা এবং গাড়িচালকদের পরিচালনাগত সমস্যা • মন্ত্রণালয়ে তথ্য প্রেরণে ধীরগতি	• স্মার্ট ভেহিকেল ম্যানেজমেন্ট সিস্টেম • ডিজিটাল ব্যবস্থায় সকল কাজের তথ্য প্রাপ্তিতে দ্রুতগতিতে তথ্য প্রেরণ সম্ভব	☐ স্মার্ট নাগরিক ☐ স্মার্ট সমাজব্যবস্থা ☒ স্মার্ট সরকার ☒ স্মার্ট অর্থনীতি	১০	৩০	১০০	বিএসইসি	• ডিএসই • সিএসই • সিডিবিএল • ইস্যুয়ার কোম্পানিসমূহ • বিনিয়োগকারীগণ • বিশ্লেষকগণ	• দক্ষ জনবল • প্রযুক্তি • প্রয়োজনীয় অর্থ	• নিজস্ব জনবল • ক্রয়কৃত প্রযুক্তি • নিজস্ব তহবিল	সংশ্লিষ্ট ডিভিশন
৪	এনফোর্সমেন্ট কার্যক্রম অনুসন্ধান (ট্র্যাকিং) প্ল্যাটফর্ম বাস্তবায়ন	☐ স্মার্ট আর্থিক অন্তর্ভুক্তি এবং ক্যাপলেস সমাজ তথ্য-উপাত্ত ভিত্তিক গভর্নেন্স ☐ সাশ্রয়ী ডিজিটাল পাবলিক অবকাঠামো ☐ ক্ষুদ্র ও মাঝারি উদ্যোক্তা বাণিজ্য, বিনিয়োগ ও ব্যবসাবান্ধব পরিবেশ ☒ ইনোভেটিভ সার্ভিস ডেরিভারি	• গৃহীত এনফোর্সমেন্ট সহজে সন্ধান করা • এনফোর্সমেন্ট সংশ্লিষ্ট ব্যক্তির বিভিন্ন সময়ের অভিযোগের সাথে সংশ্লিষ্টতার তথ্য সহজে অনুসন্ধান	• এনফোর্সমেন্ট সংশ্লিষ্ট সিদ্ধান্ত গ্রহণে তথ্য সহায়ক ব্যবস্থা তৈরি	☐ স্মার্ট নাগরিক ☐ স্মার্ট সমাজব্যবস্থা ☒ স্মার্ট সরকার ☒ স্মার্ট অর্থনীতি	১০	৫০	১০০	বিএসইসি	• এনফোর্সমেন্ট সংশ্লিষ্ট কর্মকর্তাগণ • এনফোর্সমেন্ট অভিযুক্ত ব্যক্তিগণ • বিনিয়োগকারীগণ	• দক্ষ জনবল • প্রযুক্তি • প্রয়োজনীয় অর্থ	• নিজস্ব জনবল • ক্রয়কৃত প্রযুক্তি • নিজস্ব তহবিল	এনফোর্স-মেন্ট ডিভিশন

ক্রম	গৃহীত উদ্যোগের নাম	উদ্যোগের সাথে সংশ্লিষ্ট ক্ষেত্র	উদ্যোগের মাধ্যমে সমাধানকৃত সমস্যা বা চ্যালেঞ্জ	উদ্যোগের প্রত্যাশিত ফলাফল	উদ্যোগের সাথে সংশ্লিষ্ট স্মার্ট বাংলাদেশের স্তর	২০২৫ সালের মধ্যে বাস্তবায়ন লক্ষ্যমাত্রা (%)	২০৩১ সালের মধ্যে বাস্তবায়ন লক্ষ্যমাত্রা (%)	২০৪১ সালের মধ্যে বাস্তবায়ন লক্ষ্যমাত্রা (%)	উদ্যোগ বাস্তবায়নকারী সহযোগী/ অংশীজন সংস্থার নাম	উদ্যোগের প্রয়োজনীয় রিসোর্স	রিসোর্সের সম্ভাব্য উৎস	বাস্তবায়ন সংশ্লিষ্ট ডিভিশন/ প্রতিষ্ঠান
৫	দেশের অর্থনীতি বা পুঁজিবাজার ও বিনিয়োগ বিষয়ে ডিজিটাল ও স্মার্ট কার্যক্রম প্রচারণা	☒ স্মার্ট আর্থিক অন্তর্ভুক্তি এবং ক্যাশলেস সমাজ ☐ তথ্য-উপাত্ত ভিত্তিক গভর্নেন্স ☐ সাশ্রয়ী ডিজিটাল পাবলিক অবকাঠামো ☐ ক্ষুদ্র ও মাঝারি উদ্যোক্তা ☒ বাণিজ্য, বিনিয়োগ ও ব্যবসাবান্ধব পরিবেশ ☒ ইনোভেটিভ সার্ভিস ডেরিভারি	দেশের অর্থনীতি বা পুঁজিবাজার ও বিনিয়োগ সম্পর্কে ভুল তথ্য ও ভ্রান্ত ধারণা দূরকরণ	দেশের অর্থনীতি বা পুঁজিবাজার ও বিনিয়োগ সম্পর্কে সংশ্লিষ্টদের সচেতনতা বৃদ্ধি এবং সংশ্লিষ্ট খাতের সামগ্রিক প্রবৃদ্ধি	☐ স্মার্ট নাগরিক ☐ স্মার্ট সমাজব্যবস্থা ☒ স্মার্ট সরকার ☒ স্মার্ট অর্থনীতি	১০	৩৫	১০০	-	বিদ্যমান জনবল	- নিজস্ব জনবল - ক্রয়কৃত প্রযুক্তি - নিজস্ব তহবিল	রাইটস টু ইনফর-মেশন
৬	প্রতিষ্ঠানের ক্লাউডভিত্তিক স্টোরেজ ও তথ্য সংরক্ষণ	☒ স্মার্ট আর্থিক অন্তর্ভুক্তি এবং ক্যাশলেস সমাজ ☒ তথ্য-উপাত্ত ভিত্তিক গভর্নেন্স ☒ সাশ্রয়ী ডিজিটাল পাবলিক অবকাঠামো ☐ ক্ষুদ্র ও মাঝারি উদ্যোক্তা ☐ বাণিজ্য, বিনিয়োগ ও ব্যবসাবান্ধব পরিবেশ ☒ ইনোভেটিভ সার্ভিস ডেরিভারি	- ক্লাউড ভিত্তিক সেবা চালুর ফলে হার্ডওয়ার, সফটওয়্যার, রক্ষণাবেক্ষণ ব্যয় ও জটিলতা হ্রাস - অনলাইন ভিত্তিক সার্বক্ষণিক ব্যবহারযোগ্য বিভিন্ন সেবা চালু - তথ্য সুরক্ষা	- স্বল্প ব্যয়ে সার্বক্ষণিক ব্যবহারযোগ্য বিভিন্ন অনলাইন সেবা বাস্তবায়ন - ব্যয় ও জটিলতা সংকোচন - তথ্য ভান্ডার তৈরি	☐ স্মার্ট নাগরিক ☐ স্মার্ট সমাজব্যবস্থা ☒ স্মার্ট সরকার ☒ স্মার্ট অর্থনীতি	১০	৬০	১০০	বিসিসি • ডিএসই • সিএসই • সিভিবিএল • সিসিবিএল • ইস্যুয়ারগণ • বাজার মধ্যস্থতাকারী • বিনিয়োগকারী • অন্যান্য পক্ষ	- জনবল - প্রযুক্তি - তহবিল	- নিজস্ব জনবল - ক্রয়কৃত প্রযুক্তি - নিজস্ব তহবিল	আইসিটি ডিভিশন
৭	সাইবার নিরাপত্তা নিশ্চিতকরণে প্রযোজ্য ক্ষেত্রে ডিজিটাল স্বাক্ষর বাস্তবায়ন	☒ স্মার্ট আর্থিক অন্তর্ভুক্তি এবং ক্যাশলেস সমাজ ☒ তথ্য-উপাত্ত ভিত্তিক গভর্নেন্স ☒ সাশ্রয়ী ডিজিটাল পাবলিক অবকাঠামো ☐ ক্ষুদ্র ও মাঝারি উদ্যোক্তা ☒ বাণিজ্য, বিনিয়োগ ও ব্যবসাবান্ধব পরিবেশ ☒ ইনোভেটিভ সার্ভিস ডেরিভারি	- ডিজিটাল ডকুমেন্ট ব্যবহার নিরাপদ নিশ্চিতকরণ - ডকুমেন্টের সাইবার নিরাপত্তা জোরদারকরণ	ডিজিটাল ডকুমেন্ট ব্যবহার নিরাপদ	☐ স্মার্ট নাগরিক ☐ স্মার্ট সমাজব্যবস্থা ☒ স্মার্ট সরকার ☒ স্মার্ট অর্থনীতি	১০	৫০	১০০	সিসিএ • সেবা সংশ্লিষ্ট বিভিন্ন পক্ষ	- জনবল - প্রযুক্তি - তহবিল	- নিজস্ব জনবল - ক্রয়কৃত প্রযুক্তি - নিজস্ব তহবিল	আইসিটি ডিভিশন

ক্রম	গৃহীত উদ্যোগের নাম	উদ্যোগের সাথে সংশ্লিষ্ট ক্ষেত্র	উদ্যোগের মাধ্যমে সমাধানকৃত সমস্যা বা চ্যালেঞ্জ	উদ্যোগের প্রাথমিক ফলাফল	উদ্যোগের সাথে সংশ্লিষ্ট স্মার্ট বাংলাদেশের স্তর	২০২৫ সালের মধ্যে বাস্তবায়ন লক্ষ্যমাত্রা (%)	২০৩১ সালের মধ্যে বাস্তবায়ন লক্ষ্যমাত্রা (%)	২০৪১ সালের মধ্যে বাস্তবায়ন লক্ষ্যমাত্রা (%)	উদ্যোগ বাস্তবায়নকারী সংস্থা/সংশ্লিষ্ট অংশীজন সংস্থার নাম	প্রয়োজনীয় রিসোর্স	রিসোর্সের সম্ভাব্য উৎস	বাস্তবায়ন সংশ্লিষ্ট ডিভিশন/প্রতিষ্ঠান
৮	বড় রিপেমেন্ট মনিটরিং সিস্টেম বাস্তবায়ন	☐ স্মার্ট আর্থিক অন্তর্ভুক্তি এবং ক্যাশলেস সমাজ তথ্য-উপাত্ত ভিত্তিক গভর্নেন্স ☐ সাশ্রয়ী ডিজিটাল পাবলিক অবকাঠামো ☐ ক্ষুদ্র ও মাঝারি উদ্যোগ ☒ বাণিজ্য, বিনিয়োগ ও ব্যবসাবান্ধব পরিবেশ ☒ ইনোভেটিভ সার্ভিস ডেরিভারি	- বড় রিপেমেন্ট সংক্রান্ত তথ্য হার্ড কপিতে প্রদান - সময়মতো তথ্য অপ্রাপ্তি বা প্রকৃত অবস্থা অনবহিত - সঠিক পরিবীক্ষণে জটিলতা - প্রয়োজনীয় ব্যবস্থা গ্রহণে বিলম্ব	- অনলাইন সার্বমিশন ও মনিটরিং সিস্টেম স্থাপিত হলে বড় রিপেমেন্ট নিয়মিত তদারকি - বড় রিপেমেন্টের ক্ষেত্রে উন্নয়ন সাধন - বড় মার্কেট উন্নয়নে সহায়ক	☐ স্মার্ট নাগরিক ☐ স্মার্ট সমাজব্যবস্থা ☒ স্মার্ট সরকার ☒ স্মার্ট অর্থনীতি	১০	৫০	১০০	বড় ইস্যুয়ার ট্রাস্টি বিনিয়োগকারী ইস্যু ব্যবস্থাপক বাংলাদেশ ব্যাংক	জনবল প্রযুক্তি তহবিল	নিজস্ব জনবল ক্রয়কৃত প্রযুক্তি নিজস্ব তহবিল	আইসিটি ডিভিশন
৯	অনলাইনভিত্তিক আইপিও, আরপিও, রাইসেট, গ্রাইভেট, প্লেসমেন্ট ও বন্ডের আবেদন প্ল্যাটফর্ম বাস্তবায়ন	☒ স্মার্ট আর্থিক অন্তর্ভুক্তি এবং ক্যাশলেস সমাজ তথ্য-উপাত্ত ভিত্তিক গভর্নেন্স ☒ সাশ্রয়ী ডিজিটাল পাবলিক অবকাঠামো ☒ ক্ষুদ্র ও মাঝারি উদ্যোগ ☒ বাণিজ্য, বিনিয়োগ ও ব্যবসাবান্ধব পরিবেশ ☒ ইনোভেটিভ সার্ভিস ডেরিভারি	- আবেদন প্রস্তুত জটিল - আবেদন পরীক্ষা সময়সাপেক্ষ - জটিলতার কারণে স্বল্প সংখ্যক আবেদন জমা - পূঁজিবাজারে তালিকাভুক্তি স্বল্প - ইস্যু ম্যানেজারদের স্বল্প কর্মতৎপরতা - সাইবার নিরাপত্তা	- আবেদন জমা ও পরীক্ষাকরণ প্রক্রিয়া সহজীকরণ - সময় ও ব্যয় সংকোচন - ইস্যু ম্যানেজার ও ইস্যুয়ারদের মধ্যে যোগাযোগ বৃদ্ধি - পূঁজিবাজার উন্নয়নে গুরুত্বপূর্ণ ভূমিকা পালন	☐ স্মার্ট নাগরিক ☐ স্মার্ট সমাজব্যবস্থা ☒ স্মার্ট সরকার ☒ স্মার্ট অর্থনীতি	১০	৫০	১০০	ইস্যুয়ার ইস্যু ব্যবস্থাপক ইস্যু অবলেক্সক স্টক এক্সচেঞ্জ বিনিয়োগকারী বাংলাদেশ ব্যাংক	জনবল প্রযুক্তি তহবিল	নিজস্ব জনবল ক্রয়কৃত প্রযুক্তি নিজস্ব তহবিল	সিএফডি আইসিটি
১০	বাজার মধ্যস্থতা-কারীদের অনলাইন-ভিত্তিক রেজিস্ট্রেশন/রিনিউয়াল প্ল্যাটফর্ম বাস্তবায়ন	☒ স্মার্ট আর্থিক অন্তর্ভুক্তি এবং ক্যাশলেস সমাজ তথ্য-উপাত্ত ভিত্তিক গভর্নেন্স ☒ সাশ্রয়ী ডিজিটাল পাবলিক অবকাঠামো ☐ ক্ষুদ্র ও মাঝারি উদ্যোগ ☐ বাণিজ্য, বিনিয়োগ ও ব্যবসাবান্ধব পরিবেশ ☒ ইনোভেটিভ সার্ভিস ডেরিভারি	- মানুয়াল পদ্ধতিতে রেজিস্ট্রেশন/রিনিউয়াল আবেদন - রিনিউয়াল ট্র্যাকিং জটিলতা - অধিক সময় ও শ্রম প্রয়োজন	- অনলাইনে রেজিস্ট্রেশন/রিনিউয়াল আবেদন - ট্র্যাকিং সহজতর ও স্মার্ট - সময়, পর্যবেক্ষণ ও ব্যয় স্বল্প ও সেবার মান উন্নত	☐ স্মার্ট নাগরিক ☐ স্মার্ট সমাজব্যবস্থা ☒ স্মার্ট সরকার ☒ স্মার্ট অর্থনীতি	১০	৫০	১০০	সকল বাজার মধ্যস্থতাকারী সকল এসআরও অনলাইন ব্যাংকসমূহ বাংলাদেশ ব্যাংক	জনবল প্রযুক্তি তহবিল	নিজস্ব জনবল ক্রয়কৃত প্রযুক্তি নিজস্ব তহবিল	রেজিঃ

ক্রম	গৃহীত উদ্যোগের নাম	উদ্যোগের সাথে সংশ্লিষ্ট ক্ষেত্র	উদ্যোগের মাধ্যমে সমাধানকৃত সমস্যা বা চ্যালেঞ্জ	উদ্যোগের প্রত্যাশিত ফলাফল	উদ্যোগের সাথে সংশ্লিষ্ট স্মার্ট বাংলাদেশের স্তম্ভ	২০২৫ সালের মধ্যে বাস্তবায়ন লক্ষ্যমাত্রা (%)	২০৩১ সালের মধ্যে বাস্তবায়ন লক্ষ্যমাত্রা (%)	২০৪১ সালের মধ্যে বাস্তবায়ন লক্ষ্যমাত্রা (%)	উদ্যোগ বাস্তবায়নকারী সহযোগী/ অংশীজন সংস্থার নাম	প্রয়োজনীয় রিসোর্স	রিসোর্সের সম্ভাব্য উৎস	বাস্তবায়ন সংশ্লিষ্ট ডিভিশন/ প্রতিষ্ঠান
১১	পুঁজিবাজার তদারকিতে ব্যবহৃত মার্কেট সার্ভেইল্যান্স ব্যবস্থা আপগ্রেডেশন ও আধুনিকায়ন	☐ স্মার্ট আর্থিক অন্তর্ভুক্তি এবং ক্যাপিটালস সমাজ তথ্য-উপাত্ত ভিত্তিক গভর্নেন্স ☐ সাহায্যী ডিজিটাল পাবলিক অবকাঠামো ☐ ক্ষুদ্র ও মাঝারি উদ্যোক্তা ☒ বাণিজ্য, বিনিয়োগ ও ব্যবসাবান্ধব পরিবেশ ☒ ইনোভেটিভ সার্ভিস ডেরিভার	• বাজার লেনদেনে কারসাজি, ইনসাইডার ট্রেডিং এবং অন্যান্য প্রতারণামূলক কার্য সনাক্তে জটিলতা • অধিক সময় ও শ্রম • প্রয়োজনীয় তথ্যাদির একীভূতকরণ • বাজার পরিবীক্ষণে অধিকতর উন্নয়নের আবশ্যিকতা	• পুঁজিবাজারের স্বচ্ছতা ও জবাবদিহিতা নিশ্চিতকরণ • বিনিয়োগকারীদের আস্থা ও স্বার্থ সংরক্ষণ • আইন ভঙ্গকারীদের বিরুদ্ধে যুগোপযোগী তথ্যভিত্তিক পদক্ষেপ সহজীকরণ	☐ স্মার্ট ☐ নাগরিক ☐ স্মার্ট ☒ সমাজব্যবস্থা ☐ স্মার্ট ☒ সরকার ☒ স্মার্ট অর্থনীতি	৩০	১০০	১০০	-	• জনবল • প্রযুক্তি • তহবিল	• নিজস্ব জনবল • ত্রয়কৃত প্রযুক্তি • নিজস্ব তহবিল	সার্ভেই ল্যান্স
১২	পুঁজিবাজারে কমেডিটি এক্সচেঞ্জ ও ফিন্যান্সিয়াল ডেরিভেটিভ চালু	☒ স্মার্ট আর্থিক অন্তর্ভুক্তি এবং ক্যাপিটালস সমাজ তথ্য-উপাত্ত ভিত্তিক গভর্নেন্স ☒ সাহায্যী ডিজিটাল পাবলিক অবকাঠামো ☐ ক্ষুদ্র ও মাঝারি উদ্যোক্তা ☒ বাণিজ্য, বিনিয়োগ ও ব্যবসাবান্ধব পরিবেশ ☒ ইনোভেটিভ সার্ভিস ডেরিভার	• কৃষকের বিভিন্ন ফসলের ন্যায্যমূল্য নিশ্চিতকরণ • বিভিন্ন পণ্যের সঠিক মান সনদসহ প্রাইস ডিসকোভারির ভালো ব্যবস্থা না থাকা • বাজারে পণ্যদ্রব্য বৈচিত্র্যের স্বল্পতা • মার্কেট ম্যানপুলেশন প্রবণতা • সিকিউরিটিজ মূল্য অস্থিরতা • তারল্য সংকট • বৈদেশিক বিনিয়োগকারীদের চাহিদা • স্মার্ট পুঁজিবাজার গঠনে আবশ্যিকতা	• পণ্য বাজারের মাধ্যমে বিভিন্ন কমেডিটির মান সনদসহ প্রাইস ডিসকোভারি • হেজিং এর মাধ্যমে ঝুঁকি হ্রাস • বাজার তারল্য সংকট বৃদ্ধি • স্মার্ট পুঁজিবাজার গঠনে সহায়ক • পুঁজিবাজার স্থিতিশীলতায় সহায়তা • বিনিয়োগ আকর্ষণ	☐ স্মার্ট ☐ নাগরিক ☐ স্মার্ট ☒ সমাজব্যবস্থা ☒ সরকার ☒ স্মার্ট অর্থনীতি	১০	৪০	১০০	• বিএসইসি • মাল্টি কমেডিটি এক্সচেঞ্জ (ভারত) • বাজার মধ্যস্থতাকারী • সিডিবিএল • সিসিবিএল • পরামর্শক ও প্রযুক্তি ভেডর	• নিজস্ব জনবল • প্রযুক্তি • তহবিল	• নিজস্ব জনবল • পরামর্শক ও প্রযুক্তি ভেডর • মাল্টি কমেডিটি এক্সচেঞ্জ (ভারত) • নিজস্ব অর্থ	ডেরিভেটিভ ডিভিশন (বিএসইসি), সিএসই, ডিএসই

ক্রম	গৃহীত উদ্যোগের নাম	উদ্যোগের সাথে সংশ্লিষ্ট ক্ষেত্র	উদ্যোগের মাধ্যমে সমাধানকৃত সমস্যা বা চ্যালেঞ্জ	উদ্যোগের প্রত্যাশিত ফলাফল	উদ্যোগের সাথে সংশ্লিষ্ট স্মার্ট বাংলাদেশের উদ্দেশ্য	২০২৫ সালের মধ্যে বাস্তবায়ন লক্ষ্যমাত্রা (%)	২০৩১ সালের মধ্যে বাস্তবায়ন লক্ষ্যমাত্রা (%)	২০৪১ সালের মধ্যে বাস্তবায়ন লক্ষ্যমাত্রা (%)	উদ্যোগ বাস্তবায়নকারী সংস্থার নাম	উদ্যোগ বাস্তবায়নকারী সংস্থা/অংশীজন সংস্থার নাম	প্রয়োজনীয় রিসোর্স	রিসোর্সের সম্ভাব্য উৎস	বাস্তবায়ন সংশ্লিষ্ট জিভিশন/প্রতিষ্ঠান
১৩	সেন্ট্রাল ক্রিয়াকর্ম ও সেটেলমেন্ট ব্যবস্থা চালু	☒ স্মার্ট আর্থিক অন্তর্ভুক্তি এবং ক্যাশলেস সমাজ	- বৈশ্বিক মানদণ্ড অনুযায়ী পৃথক ক্রিয়াকর্ম ও সেটেলমেন্ট ব্যবস্থার অনুপস্থিতি - লেনদেনের ক্রিয়াকর্ম ও সেটেলমেন্ট ঝুঁকি - কমোডিটি ও ডেরিভেটিভ চালুর ক্ষেত্রে আবশ্যিকতা	- পুঁজিবাজারের বৈশ্বিক গ্রহণযোগ্যতা বৃদ্ধি, লেনদেন নিষ্পত্তির ঝুঁকি হ্রাস - নতুন প্রোডাক্ট (যেমন কমোডিটি, ডেরিভেটিভ ইত্যাদি) চালুর দ্বারা উন্মোচনকারী	☐ স্মার্ট নাগরিক ☐ স্মার্ট সমাজব্যবস্থা ☒ স্মার্ট সরকার ☒ স্মার্ট অর্থনীতি	৩০	৮০	১০০	সেন্ট্রাল কাউন্টার পার্টি বাংলাদেশ লিমিটেড	- বিএসইসি - ডিএসই - সিএসই - সিডিবিএল - বাজার মধ্যস্থতাকারী	- জনবল - প্রযুক্তি - তহবিল	- নিজস্ব জনবল - বিদেশি বিশেষজ্ঞ ও প্রযুক্তি ভেদুর - নিজস্ব অর্থ	সিসিবি-এল
১৪	সিসিবিএল এ ইআরপি ব্যবস্থা বাস্তবায়ন	☒ স্মার্ট আর্থিক অন্তর্ভুক্তি এবং ক্যাশলেস সমাজ	- সিসিবিএল-এর বিভিন্ন সেবার মান উন্নয়ন - স্বচ্ছতা ও জবাবদিহিতা নিশ্চিতকরণ	আধুনিক অফিস ব্যবস্থাপনা প্রবর্তন	☐ স্মার্ট নাগরিক ☐ স্মার্ট সমাজব্যবস্থা ☒ স্মার্ট সরকার ☒ স্মার্ট অর্থনীতি	১০	৮০	১০০	সেন্ট্রাল কাউন্টার পার্টি বাংলাদেশ লিমিটেড	- বাজার মধ্যস্থতাকারী - ব্যাংক - এনবিআর - বাংলাদেশ ব্যাংক - অন্যান্য প্রতিষ্ঠান	- জনবল - প্রযুক্তি - তহবিল	- নিজস্ব জনবল - প্রযুক্তি ভেদুর - নিজস্ব অর্থ	সিসিবি-এল
১৫	ন্যাশনাল পেইন্ট সিস্টেম (বাংলাদেশ ব্যাংক) এর সাথে সিসিবিএল সিস্টেমের ইন্টিগ্রেশন	☒ স্মার্ট আর্থিক অন্তর্ভুক্তি এবং ক্যাশলেস সমাজ	- কেন্দ্রীয় ব্যাংকের পেইন্ট সিস্টেমের সাথে সিসিবিএল সিস্টেমের যোগাযোগ সক্ষমতা তৈরি - সেটেলমেন্টের সময় হ্রাস	- সেটেলমেন্ট ব্যবস্থার উন্নয়ন - পুঁজিবাজারে অন্যান্য সিকিউরিটিজ (যেমন মানি মার্কেট, সরকারি সিকিউরিটিজ, কারেন্সি মার্কেট ইত্যাদি) লেনদেনের দ্বারা উন্মোচন	☐ স্মার্ট নাগরিক ☐ স্মার্ট সমাজব্যবস্থা ☒ স্মার্ট সরকার ☒ স্মার্ট অর্থনীতি	০০	৭০	১০০	সেন্ট্রাল কাউন্টার পার্টি বাংলাদেশ লিমিটেড	- বিএসইসি - বাংলাদেশ ব্যাংক - ডিএসই - সিএসই - সিডিবিএল - সহযোগী পরামর্শক	- জনবল - প্রযুক্তি - তহবিল	- নিজস্ব জনবল - বিদেশি বিশেষজ্ঞ ও প্রযুক্তি ভেদুর - নিজস্ব অর্থ	সিসিবি-এল

ক্রম	গৃহীত উদ্যোগের নাম	উদ্যোগের সাথে সংশ্লিষ্ট ক্ষেত্র	উদ্যোগের মাধ্যমে সমাধানকৃত সমস্যা বা চ্যালেঞ্জ	উদ্যোগের প্রত্যাশিত ফলাফল	উদ্যোগের সাথে সংশ্লিষ্ট স্মার্ট বাংলাদেশের স্তর	২০২৫ সালের মধ্যে বাস্তবায়ন লক্ষ্যমাত্রা (%)	২০৩১ সালের মধ্যে বাস্তবায়ন লক্ষ্যমাত্রা (%)	২০৪১ সালের মধ্যে বাস্তবায়ন লক্ষ্যমাত্রা (%)	উদ্যোগ বাস্তবায়নকারী সংস্থার নাম	প্রয়োজনীয় রিসোর্স	রিসোর্সের সম্ভাব্য উৎস	বাস্তবায়ন সংশ্লিষ্ট ডিভিশন/ প্রতিষ্ঠান
১৬	ইসুয়ারদের বিভিন্ন তথ্য জমাদানের ইন্টিগ্রেটেড স্মার্ট সার্বমিশন প্ল্যাটফর্ম স্থাপন	☒ স্মার্ট আর্থিক অন্তর্ভুক্তি এবং ক্যাশলেস সমাজ তথ্য-উপাত্ত ভিত্তিক গভর্নেন্স ☒ সাশ্রয়ী ডিজিটাল পাবলিক অবকাঠামো ☐ ক্ষুদ্র ও মাঝারি উদ্যোক্তা ☐ বাণিজ্য, বিনিয়োগ ও ব্যবসাবান্ধব পরিবেশ ☒ ইনোভেটিভ সার্ভিস ডেরিভার	- ইসুয়ারদের বিভিন্ন তথ্য জমাদানে ধীরগতি - তথ্য একীভূতকরণ ও বিশ্লেষণ সময়সাপেক্ষ - সকল ইসুয়ারের জন্য ইন্টিগ্রেটেড অনলাইন সার্বমিশন সিস্টেমের অনুপস্থিতিতে কমপ্ল্যুয়েন্স মনিটরিং জটিল ও সময়সাপেক্ষ	- সকল ইসুয়ারের কমপ্ল্যুয়েন্স তথ্য অনলাইনে দাখিল - মূল্য সংবেদনশীল তথ্য প্রদান - বার্ষিক, অর্ধবার্ষিক ও ত্রৈমাসিক আর্থিক তথ্য প্রদান - এজিএম/ইজিএম তথ্য প্রদান - রেকর্ড ডেট সংক্রান্ত তথ্য প্রদান - সিগনিফিকেন্ট শেয়ারহোল্ডিং তথ্য প্রদান - ভিডিও স্ট্যাটাস প্রদান - ব্যবসা সম্প্রসারণ সংক্রান্ত তথ্য প্রদান - মেটেরিয়াল তথ্য প্রদান ইত্যাদি	☐ স্মার্ট নাগরিক ☐ স্মার্ট সমাজবান্ধা ☒ স্মার্ট সরকার ☒ স্মার্ট অর্থনীতি	৫০	৮০	১০০	ডিএসই	- জনবল - প্রযুক্তি - তহবিল	- নিজস্ব - জনবল - নিজস্ব প্রযুক্তি - নিজস্ব অর্থ	ডিএসই
১৭	সিডিবিএল-এর সকল সেবার ইন্টিগ্রেটেড মোবাইল অ্যাপস বাস্তবায়ন	☐ স্মার্ট আর্থিক অন্তর্ভুক্তি এবং ক্যাশলেস সমাজ তথ্য-উপাত্ত ভিত্তিক গভর্নেন্স ☐ সাশ্রয়ী ডিজিটাল পাবলিক অবকাঠামো ☐ ক্ষুদ্র ও মাঝারি উদ্যোক্তা ☒ বাণিজ্য, বিনিয়োগ ও ব্যবসাবান্ধব পরিবেশ ☒ ইনোভেটিভ সার্ভিস ডেরিভার	- সেবা গ্রহীতাদের স্ব-শরীরে উপস্থিতি - সেবা প্রাপ্তিতে অতিরিক্ত অর্থ ও সময় ব্যয় - যেকোনো সময়, যেকোনো প্রান্ত থেকে সিডিবিএল সম্পর্কিত সকল তথ্য সহজেই অনবহিত হওয়া - সেবা গ্রহীতগণের অগোচরে অননুমোদিত কার্যক্রম জানতে না পারা।	- সহজে মোবাইল অ্যাপসে নিবন্ধন - বিও একাউন্টে রক্ষিত সিকিউরিটিজ ব্যালেন্স ডেবিট/ক্রেডিটের তথ্য, বিও একাউন্ট মডিফিকেশনের তথ্য - সিডিবিএল সম্পর্কিত অন্যান্য তথ্যের সহজ প্রবেশাধিকার	☐ স্মার্ট নাগরিক ☐ স্মার্ট সমাজবান্ধা ☒ স্মার্ট সরকার ☒ স্মার্ট অর্থনীতি	৩০	৮০	১০০	সিডিবিএল	- জনবল - প্রযুক্তি - তহবিল	- নিজস্ব - জনবল - নিজস্ব প্রযুক্তি - নিজস্ব অর্থ	সিডিএস সিডিবিএল

ক্রম	গৃহীত উদ্যোগের নাম	উদ্যোগের সাথে সংশ্লিষ্ট ক্ষেত্র	উদ্যোগের মাধ্যমে সমাধানকৃত সমস্যা বা চ্যালেঞ্জ	উদ্যোগের প্রত্যাশিত ফলাফল	উদ্যোগের সাথে সংশ্লিষ্ট স্মার্ট বাংলাদেশের স্তর	২০২৫ সালের মধ্যে বাস্তবায়ন লক্ষ্যমাত্রা (%)	২০৩১ সালের মধ্যে বাস্তবায়ন লক্ষ্যমাত্রা (%)	২০৪১ সালের মধ্যে বাস্তবায়ন লক্ষ্যমাত্রা (%)	উদ্যোগ বাস্তবায়নকারী সংস্থার নাম	প্রয়োজনীয় রিসোর্স	রিসোর্সের সম্ভাব্য উৎস	বাস্তবায়ন সংশ্লিষ্ট ডিভিশন/প্রতিষ্ঠান
১৮	বিনিয়োগ শিক্ষা সংক্রান্ত কার্যক্রম ও গবেষণা	☐ স্মার্ট আর্থিক অন্তর্ভুক্তি এবং ক্যাশলেস সমাজ তথ্য-উপাত্ত ভিত্তিক গভর্নেন্স ☒ সশরয়ী ডিজিটাল পাবলিক অবকাঠামো ক্ষুদ্র ও মাঝারি উদ্যোক্তা ☒ বাণিজ্য, বিনিয়োগ ও ব্যবসাবান্ধব পরিবেশ ☒ ইনোভেটিভ সার্ভিস ডেরিভারি	- বিনিয়োগ শিক্ষার পরিসর বৃদ্ধি - বাজার মধ্যস্থতাকারীদের প্রশিক্ষণের আয়োজন - প্রশিক্ষণসমূহ তথ্য-প্রযুক্তি নির্ভরকরণ - ডিজিটাল মাধ্যম ব্যবহারে প্রশিক্ষণার্থীদের অভ্যস্তকরণ - প্রাথমিক, মাধ্যমিক ও বিশ্ববিদ্যালয় পর্যায়ে শিক্ষা কার্যক্রমে বিনিয়োগ শিক্ষা অন্তর্ভুক্তিকরণ - গবেষণার মাধ্যমে বাজার উন্নয়ন অগ্রগতি ও সমস্যা চিহ্নিতকরণ এবং সমাধান বিষয়ে সুপারিশ প্রদান	- বিনিয়োগ শিক্ষার পরিসর বৃদ্ধি - বাজার মধ্যস্থতাকারীদের দক্ষতা বৃদ্ধি - ডিজিটাল মাধ্যম ব্যবহারে দ্রুত ও সহজে প্রশিক্ষণ গ্রহণের সুবিধা সৃষ্টি - সকল পর্যায়ের শিক্ষার্থী বিনিয়োগ শিক্ষায় শিক্ষিত - গবেষণার মাধ্যমে বাজার উন্নয়ন ও অগ্রগতি পর্যবেক্ষণ সঠিক ও সহজীকরণ	☐ স্মার্ট নাগরিক ☐ স্মার্ট সমাজব্যবস্থা ☒ স্মার্ট সরকার ☒ স্মার্ট অর্থনীতি	১০	৩০	১০০	বিএসএসএম	- জনবল - প্রযুক্তি - তহবিল	- নিজস্ব ও সংশ্লিষ্ট সংস্থার জনবল - নিজস্ব ও ত্রুণকৃত প্রযুক্তি - নিজস্ব অর্থ	এফএলডি বিএস -এম

2.28 Activities Related to Citizen Charter

The concept of ‘Citizen Centric Governance’ was established in the late 20th century. According to the constitution of Bangladesh, the source of all power is the people. To ensure that citizens can get easy, cheap and hassle-free services through government offices, a service commitment or citizen’s charter has been introduced in all government offices of the country, which is an effort of ‘Vision 2021’ in the *janakhat* version. Under the Right to Information Act 2009, the Service Commitment received final approval and the Citizen’s Charter guidelines were published in 2017.

The Bangladesh Securities and Exchange Commission has been implementing the Annual Performance Agreement (APA) under the direction of the government. An integral part of the APA is to prepare this Citizen’s Charter and bring it to the attention of the public so that they have a clear understanding of the services of the Commission, as well as being well informed about the timing, steps and procedure of receiving the services and can file complaints if necessary.

The Commission has been preparing, enhancing and revising this Citizen’s Charter for providing better citizen services and uploaded it on the website of the Commission on quarterly basis. Also organized 4 awareness meetings and 02 (two) workshops with the organizations under the jurisdiction of the Commission (DSE, CSE, CDBL) in FY 2023-2024 to make the service providers and service receivers aware of their liabilities, responsibilities, rights and duties and as per the directives of the Ministry, it has been able to establish service commitments in Dhaka Stock Exchange PLC (DSE), Chittagong Stock Exchange PLC (CSE) and Central Depository Bangladesh Limited (CDBL). Moreover, the Commission has held 04 (four) meetings on quarterly basis with concerned departments for updating and implementing service delivery commitments. At the same time, a total of 03 (three) workshops have been organized with all grade employees of the Commission to improve the skills of the Commission’s officers.

2.29 Activities Related to Integrity & Ethics

1. As per the Integrity Award (Amendment) Guidelines, 2021, on last 05 July 2023, Category A i.e., Grade-02 to Grade-09 employees who obtained the highest marks Dr. ATM Tariquzzaman, Executive Director, Category -B i.e., Grade-10 to Grade-16 employees Mr. Biplab Kumar, Personal Officer, Category-C i.e., Grade-17 to Grade-20 employees Sabuz Mia, Office Assistant has been declared the winner. The Integrity Award, 2023-2024 each winner received award according to the policy.
2. A Training/Seminar on the importance of implementation of National Integrity Strategy for all officials from Personal Officer/Equivalent to Deputy Director of Bangladesh Securities and Exchange Commission (BSEC) in discharge of official and professional responsibilities held on 21 December 2023 to 23 December 2023 in Narayanganj. In the seminar-detailed discussions and group presentations were presented in the workshop on behavior with colleagues, facilitation of service, importance of integrity in performance of professional responsibilities and behavior towards service receivers.
3. A Training/Seminar on the importance of implementation of National Integrity Strategy for all officials from Cashier, Assistant Account Officer, Assistant Director/Equivalent to Deputy Director of Bangladesh Securities and Exchange Commission (BSEC) in discharge of official and professional responsibilities held on 02 February 2024 to 03 February 2024 in Cox's Bazar. A detailed discussion and group presentation were presented in the workshop on working environment development, institutional good governance and good practices, Good governance through ethical practice and prevention of corruption.
4. As per the Integrity Award (Amendment) Guidelines, 2021, on 19 May 2024, Category-A i.e. Grade-02 to Grade -09 employees who obtained the highest marks Mr. Mohammad Rakibur Rahman, Additional Director, Category-B i.e., Grade-10 to Grade-16 employees Mr. Somir Ghosh, Personal Officer, Category-C i.e., Grade -17 to Grade-20 employees-Md. Sujana Alam, Office Assistant has been declared the winner. The Integrity Award, 2023-2024 each winner received award according to the policy.

2.30 Implementation of the Important Activities Declared in the Budget Speech for the Fiscal Year 2023-2024

10. Bangladesh Securities and Exchange Commission		
Fiscal Year	Budget Announced Activities/Projects	Implementation Progress
2020-2021	1. With the aim of dynamizing and stimulating the capital market, the government should increase the participation of banks and non-bank financial institutions in the capital market, review loan facilities on easy terms for merchant bankers and institutional investors, increase the investment capacity of ICBs, take necessary measures to increase confidence in the capital market, taking initiatives to increase institutional investment and to increase quality IPOs for the market by listing of multinationals and state-owned companies (150).	● Investment Capacity Building Progress of Investment Corporation of Bangladesh (ICB): * The Commission, for this purpose, has appointed consultants. * The Consultants shall provide recommendations/ reports under the given Terms of Reference (TOR), among others which are as follows: 1. Recommendations regarding the restructuring structure of ICB; 2. Recommendations to improve the performance of ICBs; 3. Increasing investment capacity in the capital market and determining the role of ICB as a market maker; 4. Determining the role of Government, BSEC and Bangladesh Bank in controlling ICB, etc.; and 5. Current overall activities of Investment Corporation of Bangladesh (ICB), amount of work done according to actual budget, current role of capital market, financial and non-financial resources status, governance, organizational and legal structure, manpower, efficiency, comparative picture with similar institutions in other countries and above all, monitoring and evaluation with a consulting firm about the needs of this institution. Mentioned here that based on the recommendation of the proposal evaluation committee, the Commission issued a work order to A. Wahhab & Co., Chartered Accountants as a consulting firm. Considering the verification reports submitted by the consulting firm A. Wahhab & Co., Chartered Accountants and based on the verified reports later on 'Identification of continuous loss of ICB and for the purpose of exploring ways out of this'—a 6-member 'ICB Restructuring Committee' was formed by the Commission with a view to sending a recommendation report in this regard to the Financial Institutions Division, Ministry of Finance. Later on, A. Wahhab & Co., Chartered Accountants submitted the final report to the said committee and finally, the said committee forwarded the report with their recommendation to the Financial Institutions Division, Ministry of Finance for further action. * To increase confidence in the capital market, the present Commission has taken multi-pronged steps since its accession, among others, the notable steps are as follows:

10. Bangladesh Securities and Exchange Commission		
Fiscal Year	Budget Announced Activities/Projects	Implementation Progress
		1. Necessary arrangements for listing have been made by granting speedy approval to well-founded companies. The current Commission has approved 16 companies to raise Tk. 1684.79 crore through IPO, Tk. 11,029.52 crore through 24 Bond issues and Tk. 220 crore through 11 Mutual Funds. In FY 2021-2022, sanctioned issuance of Tk. 674.36 crore through 08 IPOs, Tk. 13,182.99 crore through 28 Bond issues and Tk. 470 crore through 12 Mutual Funds. Among which there are companies with good fundamentals like Robi, Energypack, Walton. 2. In order to bring transparency to Mutual Funds, irective has been issued to publish the portfolio details of each fund on the website. Also, that direction has been issued regarding sponsorship of mutual funds by foreign companies with a view to attracting foreign investment in the capital market. 3. For a long time, the companies which have been in the OTC (Over-the-Counter Market) platform, considering the fundamentals of those companies, steps have been taken to bring or de-listing to the main market (Main Board of Stock Exchange). Considering the fundamentals of the companies, 4 companies have been brought to the main market and the process of bringing the remaining companies to the Alternative Trading Board (ATB) and Small and Medium Enterprises (SME) platforms of the Stock Exchange is underway. Also, steps have been taken to delist those companies from the stock exchange whose production or operations are closed or are not making good profits or are failing to pay dividends including returning investors' money. 4. Instructions titled Exit Plan have been issued to de-listed companies from the stock exchange to return investors' money. 5. Necessary legal action has been taken against the rumor mongers by issuing orders to stop rumors on various social media. 6. Already, the Commission has appointed a Digital Transformation Consultant for the purpose of fully digitizing the trading platform and bringing the capital market to Palmtop, who has started working to completely digitize the market and create related information technology infrastructure. 7. Orders have been issued to Dhaka Stock Exchange Ltd. and Chittagong Stock Exchange Ltd. regarding classification of Z category securities/companies. 8. 26 insurance companies have been exempted from certain conditions to encourage them to come to the capital market.

10. Bangladesh Securities and Exchange Commission		
Fiscal Year	Budget Announced Activities/Projects	Implementation Progress
		9. In the interests of the investors and the securities market, certain directions have been issued to compel the directors of listed companies to hold 2% of shares individually and 30% of shares of founders and directors collectively. Certain directions have been issued regarding the appointment of neutral directors for transition to Z category companies or those companies, which are not able to hold 30% of its shares or those companies, which are not able to carry out proper business activities or pay dividends for the last three years. It may be noted that the earlier order issued by the Commission regarding holding of 2% shares by each director is being ensured. 10. Stock Brokers, Stock Dealers and Merchant Bankers have been directed that interest or profit (including service charges etc., if any) on margin loans shall not exceed 12% (twelve percent) per annum in any case for the benefit of investors. 11. A directive has been issued for setting up digital booths in the country and abroad to facilitate the buying and selling of shares by investors in the securities market. 12. A notification has been issued imposing certain conditions in relation to transactions or execution of agreements with subsidiaries of listed companies. 13. In view of the lockdown announced by the government due to the Covid-19 pandemic situation, a directive has been issued regarding extension of deadline for submission of various statements, applications, information by the listed companies. 14. A directive has been issued regarding public offer and direct listing of perpetual bonds issued by banks. 15. Directive has been issued regarding distribution of interest/profit earned on consolidated customer accounts maintained with the stock brokers to the investors. 16. Directive has been issued regarding issue of stock dividend or bonus shares by listed companies 17. Orders have been issued to lift the fixed floor price for trading in all listed securities.

10. Bangladesh Securities and Exchange Commission		
Fiscal Year	Budget Announced Activities/Projects	Implementation Progress
		18. Opportunities have been created to arrange AGM/EGM digital platform for listed companies. E-voting (online voting) has been launched with the aim of greater participation and protection of interests of shareholders and investors. 19. The companies which have been in the Z-Category for a long time, multipronged steps have been taken to upgrade listed company from the Z-Category, as a result 16 companies have already upgraded from the Z-Category and efforts are underway to transition others. The following steps have been taken by the Commission to ensure good governance of Z-Category companies listed with the stock exchange, regular holding annual general meetings and regular distribution of dividends by the companies: a. appointment of independent directors by the Commission; b. In order to have more supervision of Z-Category companies, effective steps have been taken by convening separate meetings with the Board of Directors and management authorities of 22 companies. 20. In order to prohibit insider trading, an initiative has been taken to create a database of information related to directors, entrepreneurs, senior officers and other beneficiaries of listed companies. 21. Initiatives have been taken to increase coordination between market intermediaries and regulatory bodies. Coordination with other regulatory bodies in the financial sector has also been enhanced. 22. An order has been issued to realign the ratio of equity and debt based on the DSEX index considering the risks involved in investing in listed securities with margin loan. 23. A Rules has been framed regarding the management of undistributed or unclaimed dividends. As a result, transparency and accountability in dividend distribution will increase. Besides, necessary measures have been taken to deposit the undistributed or unclaimed dividends of more than three years in the Capital Market Stabilization Fund. It may be noted that there is a provision for refund of the claimed dividend to the legitimate claimant of such undistributed or unclaimed dividend.

10. Bangladesh Securities and Exchange Commission		
Fiscal Year	Budget Announced Activities/Projects	Implementation Progress
		24. Government Securities such as Treasury Bonds (T-Bond) will be traded in the stock exchanges; in this regard a MoU has been signed among Bangladesh Bank, Bangladesh Securities and Exchange Commission, Dhaka Stock Exchange Limited, Chittagong Exchange Limited and Central Depository Bangladesh Limited on 12th June 2022. Pilot trading and mock trading have been completed in Dhaka and Chittagong stock exchanges. It is expected that the trading of government securities will start on the trading platform of the stock exchange very soon. 25. Initiatives have been taken to the investment in term debentures and to de-list the debenture from the stock exchange. 26. To ensure transparency and accountability in the secondary market transactions, surveillance activities have been strengthened as well as information seeking and monitoring has been introduced. The above steps taken by the present Commission after assuming its office have increased the confidence of the investors in the capital market and increased transparency and accountability in the capital market. Capital market transactions and indices are gradually increasing due to increasing confidence of investors.
	2. In order to eliminate the liquidity crisis in the capital market and to increase the investment of private banks, the investment of up to Tk. 200 crore by each scheduled bank should be excluded from the calculation of the total investment of the bank in the capital market (150).	* The matter is under the purview of Bangladesh Bank.

10. Bangladesh Securities and Exchange Commission		
Fiscal Year	Budget Announced Activities/Projects	Implementation Progress
2021-2022	1. Launching treasury bond trading in the capital market, launching trading of various modern capital market instruments such as: Sukuk, Derivatives, Options, launching OTC bulletin board, launching ETF, listing Open End Mutual Fund (216).	1. Government Securities (G-sec) such as Treasury Bonds (T-Bond) will be trade in the stock exchanges; in this regard a MoU has been signed among Bangladesh Bank, Bangladesh Securities and Exchange Commission, Dhaka Stock Exchange Limited, Chittagong Exchange Limited and Central Depository Bangladesh Limited on 12th June 2022. 240 Government Securities (G-sec) were listed on the stock Exchange and trading began on the trading platform on 10 October, 2022. As a result, the market capitalization increased to a maximum of Tk. 774,934.33 crore in the fiscal year 2022-2023. 2. The work of making yield based trading platform for secondary trading of all types of debt securities and Sukuk is underway, which will be completed soon. 3. Necessary rules and regulations have been made for open end mutual funds and other permitted securities transactions/trading Alternative Trading Board (ATB). In this regard, Dhaka and Chittagong Stock Exchanges have already established ATB platforms. Securities transactions will be launched at ATB platform soon. 4. Among the 70 companies traded at OTC Board (by notification dated 16 September 2021): i. 17 companies that are in operation in SME Board; ii. 06 companies that are not in operation transferred to SME Board with some conditions; iii. 18 companies have been transferred to the Alternative Trading Board; and iv. the remaining 29 companies have been directed to exit the market under the Exit Plan. 5. Necessary steps have been taken to launch transactions of Islamic Shariah based Sukuk. Meanwhile, a green Sukuk of Tk. 3,000 crore approved by the Commission has completed its fund raising through public offer and secondary trading operations have been commenced on 13 January 2022. Fiscal Year 2022-2023 Tk. 300.00 Crore got approval for caollal raising through Sukuk issue. 6. The Bangladesh Securities and Exchange Commission (Public Issue) Rules, 2015 have been amended to incentivize asset managers by allocating 1% quota in each IPO in order to introduce ETFs (Exchange Traded Funds).

10. Bangladesh Securities and Exchange Commission		
Fiscal Year	Budget Announced Activities/Projects	Implementation Progress
		7. Necessary measures are being taken as per the Bangladesh Securities and Exchange Commission (Exchange Traded Derivatives) Rules, 2019 for the launch of Derivatives instruments, namely Futures, Options, etc. Meanwhile, considering the proposal of Chittagong Stock Exchange Ltd. regarding the launch of commodity exchange, the Commission has given consent to take necessary steps in this regard.
2022-2023		● Bangladesh Securities and Exchange Commission (Securities Market Shariah Advisory Council) Rules, 2022 sent for publication in Bangladesh Gazette. ● Bangladesh Securities and Exchange Commission (Qualified Investor Offer by Small Capital Companies) Rules, 2022 sent for publication in Bangladesh Gazette. ● Issue of investment limit order in secondary market as Qualified Investor (QI). ● Order regarding Uniform Reporting Platform for reporting under Bangladesh Securities and Exchange Commission (Risk Based Capital Adequacy) Rules, 2019. ● Issue of instructions regarding extension of time-limit for maintenance of minimum net assets of merchant bankers. ● Bangladesh Securities and Exchange Commission (Prohibition of Beneficiary Trading) Rules, 2022 sent for publication in Bangladesh Gazette. ● Order regarding formation of Shari'ah Advisory Council (SAC). ● Instructions regarding participation in Primary Auction of Government Treasury Bonds directly through BOID.

10. Bangladesh Securities and Exchange Commission		
Fiscal Year	Budget Announced Activities/Projects	Implementation Progress
2023-2024		● Bangladesh Securities and Exchange Commission (Commodity Exchange) Rules, 2023 are gazetted. ● Corporate Governance Code, 2018, Notification No. Amending instructions BSEC/CMRRCD/2006-158/207/Admin/80, dated 03 June 2018 have been sent for gazette publication. ● Notification for temporary exemption from condition No. 2 of the Fifth Schedule to the 'Securities and Exchange Commission (Mutual Funds) Rules, 2001' has been sent for publication in the Gazette ● Guidance on responsibilities of asset managers and fund managers. ● Order regarding imposition of penalty for delay in transfer of money or securities to Capital Market Stabilization Fund (CMSF). ● Instructions regarding trading of equity securities in Alternative Trading Board (ATB). ● To include at least 1 (one) woman independent director in the Board of Directors of the company in the Corporate Governance Code-2018: Notification issued. ● Bangladesh Securities and Exchange Commission (Real Estate Investment Trust Fund) Rules, 2024 sent for publication in the Bangladesh Gazette.

Section number of budget speech is mentioned in the bracket.

2.31 Organizations under Bangladesh Securities and Exchange Commission

Sl.	Name of the Organization	Number
1	Stock Exchanges in Bangladesh Capital Market	02
2	Central Depository Bangladesh Ltd.	01
3	Bangladesh Institute of Capital Market	01
4	Bangladesh Academy for Securities Market	01
5	Central Counter Party Bangladesh Limited	01
6	Listed Securities (of which No. of companies-360)	660
7	Stock Broker	477
8	Stock Dealer	424
9	Depository Participants	*469
10	Asset Manager	67
11	Merchant Banker	66
12	Credit Rating Companies	08
13	Custodian (MF-09, Security Custodian-16)	25
14	Trustee (MF-10, ABS-05, AIF-14, Debt Securities-244)	273
15	Fund Manager	26
16	Market Maker	03
Total No. of Organizations under Bangladesh Securities and Exchange Commission		2,504
Total number of Authorized Representatives certified by Bangladesh Securities and Exchange Commission		7,444

*Sources: CDBL

PART- II

Annual General Meeting (AGM) and Declared Dividend of Issuer Companies in the Fiscal Year 2023-2024

Sl.	Name of Company	Year-End	Date of AGM	% of Dividend		Record Date	Dividend Declaration Date
				Cash	Stock		
1	Southeast Bank PLC.	202212	05.07.23	6.00	4	07.06.2023	02.05.2023
2	Janata Insurance Company Ltd.	202212	11.07.23	11.00	-	16.05.2023	18.04.2023
3	ICB Islamic Bank Limited	202212	13.07.23	-	-	11.05.2023	29.03.2023
4	Bata Shoe Company (Bangladesh) Limited	202212	13.07.23	365.00	-	18.05.2023	16.04.2023
5	Asia Insurance Co. Ltd.	202212	17.07.23	11.00	-	18.05.2023	10.04.2023
6	International Leasing and Financial Services LTD.	202212	17.07.23	-	-	26.06.2023	06.06.2023
7	Trust Bank Ltd.	202212	20.07.23	10.00	10	29.05.2023	24.04.2023
8	Global Islami Bank PLC.	202212	25.07.23	5.00	5	30.05.2023	30.04.2023
9	Marico Bangladesh Ltd.	202212	26.07.23	750.00	--	25.05.2023	30.04.2023
10	Rupali Bank PLC.	202212	26.07.23	-	-	11.06.2023	02.05.2023
11	Northern Islami Insurance Limited	202212	27.07.23	10.00	-	25.05.2023	30.04.2023
12	Karnaphuli Insurance Co. Ltd.	202212	27.07.23	10.00	-	30.05.2023	26.04.2023
13	Eastern Insurance Co. Ltd.	202212	27.07.23	22.00	-	30.05.2023	02.05.2023
14	Federal Insurance Company Ltd.	202212	27.07.23	10.00	-	31.05.2023	30.04.2023
15	Rupali Insurance Company Ltd.	202212	31.07.23	10.00	-	06.06.2023	02.05.2023
16	Dhaka Insurance Co. Ltd.	202212	31.07.23	20.00	-	22.06.2023	31.05.2023
17	Provati Insurance Company Ltd.	202212	02.08.23	12.00	-	19.06.2023	25.05.2023
18	Bangladesh General Insurance Company PLC.	202212	03.08.23	12.00	-	20.06.2023	30.05.2023
19	Global Insurance Limited	202212	05.08.23	12.25	-	13.06.2023	24.05.2023

Sl.	Name of Company	Year-End	Date of AGM	% of Dividend		Record Date	Dividend Declaration Date
				Cash	Stock		
20	National Credit and Commerce Bank PLC.	202212	10.08.23	5.00	5	08.06.2023	02.05.2023
21	One Bank PLC.	202212	10.08.23	-	5	08.06.2023	02.05.2023
22	Pragati Life Insurance Ltd.	202212	10.08.23	12.00	-	20.07.2023	02.07.2023
23	Al-Arafah Islami Bank PLC.	202212	16.08.23	12.00	3	12.06.2023	02.05.2023
24	Berger Paints Bangladesh Ltd.	202212	22.08.23	400.00	-	26.06.2023	01.06.2023
25	Islami Commercial Insurance Company Limited	202212	23.08.23	10.00	-	23.07.2023	15.06.2023
26	Desh General Insurance Company Limited	202212	28.08.23	5.00	-	03.07.2023	30.05.2023
27	Express Insurance Ltd.	202212	28.08.23	7.00	-	24.07.2023	13.06.2023
28	Purabi Gen. Insurance Company Ltd.	202212	03.09.23	7.00	3	10.07.2023	30.05.2023
29	Prime Finance & Investment Ltd.	202212	10.09.23	-	-	29.08.2023	09.08.2023
30	Union Insurance Company Limited	202212	20.09.23	10.00	-	23.07.2023	14.06.2023
31	Sonar Bangla Insurance Ltd.	202212	21.09.23	10.00	5	20.07.2023	14.06.2023
32	Meghna Life Insurance Co. Ltd.	202212	21.09.23	20.00	-	20.08.2023	30.07.2023
33	Sunlife Insurance Company Ltd.	202212	21.09.23	-	-	24.08.2023	30.08.2023
34	Padma Islami Life Insurance Ltd.	202212	24.09.23	-	-	20.08.2023	18.07.2023
35	Chartered Life Insurance Company Ltd.	202212	25.09.23	2.00	-	24.08.2023	31.07.2023
36	Sandhani Life Insurance Ltd.	202212	25.09.23	12.00	-	27.08.2023	01.08.2023
37	Rupali Life Insurance Co. Ltd.	202212	26.09.23	11.00	-	17.08.2023	24.07.2023
38	Popular Life Insurance Company Ltd.	202212	26.09.23	38.00	-	04.09.2023	13.08.2023
39	Fareast Finance & Insurance Company Ltd.	202112 & 202212	27.09.23	-	-	10.09.2023	20.08.2023

Sl.	Name of Company	Year-End	Date of AGM	% of Dividend		Record Date	Dividend Declaration Date
				Cash	Stock		
40	Sonali Life Insurance Company Ltd.	202212	28.09.23	20.00	-	20.08.2023	30.07.2023
41	First Finance Limited.	202212	30.09.23	-	-	07.09.2023	17.08.2023
42	aamra networks Limited	202306	04.10.23	11.00	-	12.09.2023	22.08.2023
43	Bangladesh Submarine Cables PLC.	202306	12.10.23	51.00	-	20.09.2023	29.08.2023
44	Eastern Housing Limited	202306	18.10.23	25.00	-	21.09.2023	31.07.2023
45	The IBN SINA Pharmaceutical Industry PLC	202306	22.10.23	60.00	-	21.09.2023	29.08.2023
46	Walton Hi-Tech Industries PLC	202306	29.10.23	300.00	-	02.10.2023	10.09.2023
47	Peoples Leasing & Fin. Services Ltd.	202212	29.10.23	-	-	12.10.2023	01.10.2023
48	Prime Islami life Insurance Ltd.	202212	06.11.23	-	-	27.09.2023	07.09.2023
49	Bangladesh Industrial Fin. Com.	202212	15.11.23	-	-	26.09.2023	20.08.2023
50	Premier Leasing & Finance Limited	202212	16.11.23	-	-	25.09.2023	31.08.2023
51	Bangladesh Shipping Corporation	202306	16.11.23	25.00	-	07.11.2023	16.10.2023
52	MIDAS Financing PLC	202306	22.11.23	1.50	-	07.11.2023	17.10.2023
53	Bangladesh Building Systems PLC	202306	23.11.23	-	-	22.10.2023	27.09.2023
54	BBS Cables PLC.	202306	23.11.23	2.00	-	22.10.2023	27.09.2023
55	Nahee Aluminum Composite Panel Ltd.	202306	23.11.23	2.50	-	22.10.2023	27.09.2023
56	Deshbandhu Polymer Limited	202306	27.11.23	2.50	-	23.10.2023	03.10.2023
57	Union Capital Ltd.	202212	28.11.23	-	-	04.10.2023	10.09.2023
58	Apex Footwear Limited	202306	28.11.23	35.00	-	18.10.2023	27.09.2023
59	Monno Agro & General Machinery Ltd.	202306	29.11.23	3.00	32	01.11.2023	11.10.2023

Sl.	Name of Company	Year-End	Date of AGM	% of Dividend		Record Date	Dividend Declaration Date
				Cash	Stock		
60	Bay Leasing & Investment Ltd.	202212	30.11.23	-	-	18.10.2023	27.09.2023
61	Apex Foods Limited	202306	30.11.23	20.00	-	26.10.2023	04.10.2023
62	Apex Spinning & Knitting Mills Limited	202306	30.11.23	20.00	-	26.10.2023	04.10.2023
63	Matin Spinning Mills PLC	202306	30.11.23	40.00	-	07.11.2023	16.10.2023
64	ADN Telecom Limited	202306	04.12.23	15.00	-	26.10.2023	05.10.2023
65	Hami Industries PLC	202306	06.12.23	-	-	13.11.2023	22.10.2023
66	Phoenix Finance and Investments Ltd.	202212	07.12.23	-	-	12.10.2023	10.09.2023
67	Doreen Power Generations and Systems Limited	202306	07.12.23	11.00	-	09.11.2023	15.10.2023
68	Renata PLC	202306	09.12.23	62.50	-	12.11.2023	22.10.2023
69	Argon Denims Limited	202306	10.12.23	10.00	-	14.11.2023	19.10.2023
70	Evince Textiles Limited	202306	10.12.23	2.25	-	14.11.2023	19.10.2023
71	Rahima Food Corporation Limited	202306	10.12.23	10.00	-	15.11.2023	23.10.2023
72	Kohinoor Chemicals Company (Bangladesh) Ltd.	202306	10.12.23	40.00	10	23.11.2023	05.11.2023
73	Apex Tannery Limited	202306	11.12.23	5.00	-	07.11.2023	11.10.2023
74	Unique Hotel & Resorts PLC	202306	12.12.23	20.00	-	23.10.2023	19.09.2023
75	Alltex Industries Ltd.	202306	12.12.23	-	-	20.11.2023	29.10.2023
76	Trust Islami Life Insurance Limited	202212	13.12.23	-	-	19.11.2023	30.10.2023
77	Bangladesh Lamps Limited	202306	14.12.23	10.00	-	12.11.2023	19.10.2023
78	Quasem Industries Ltd.	202306	14.12.23	1.50	-	15.11.2023	26.10.2023
79	Square Textiles PLC	202306	14.12.23	30.00	-	16.11.2023	23.10.2023

Sl.	Name of Company	Year-End	Date of AGM	% of Dividend		Record Date	Dividend Declaration Date
				Cash	Stock		
80	Square Pharmaceuticals PLC	202306	14.12.23	105.00	-	16.11.2023	23.10.2023
81	Meghna Condensed Milk Ind. Ltd.	202306	14.12.23	-	-	16.11.2023	29.10.2023
82	Meghna Pet Industries Ltd.	202306	14.12.23	-	-	16.11.2023	29.10.2023
83	United Power Generation & Distribution Company Limited	202306	14.12.23	80.00	-	16.11.2023	29.10.2023
84	Desh Garments Ltd.	202306	14.12.23	3.00	-	16.11.2023	31.10.2023
85	KDS Accessories Limited	202306	14.12.23	10.00	-	20.11.2023	25.10.2023
86	Monno Fabrics Limited	202306	14.12.23	1.00	-	20.11.2023	31.10.2023
87	Bengal Windsor Thermoplastics PLC	202306	14.12.23	5.00	-	21.11.2023	29.10.2023
88	Ambee Pharmaceuticals PLC	202306	14.12.23	15.00	-	23.11.2023	01.11.2023
89	The Peninsula Chittagong Ltd.	202306	18.12.23	-	-	09.11.2023	15.10.2023
90	National Tea Company Limited	202306	18.12.23	-	-	15.11.2023	26.10.2023
91	IT Consultants PLC	202306	18.12.23	10.00	-	15.11.2023	26.10.2023
92	Malek Spinning Mills PLC	202306	18.12.23	-	-	19.11.2023	29.10.2023
93	Rahim Textile Mills PLC	202306	18.12.23	-	-	19.11.2023	29.10.2023
94	The ACME Laboratories Limited	202306	18.12.23	33.00	-	22.11.2023	29.10.2023
95	Agni Systems Ltd.	202306	19.12.23	4.75	-	19.11.2023	29.10.2023
96	Fu Wang Food Ltd.	202306	19.12.23	-	-	20.11.2023	29.10.2023
97	Kay & Que (Bangladesh) Ltd.	202306	19.12.23	3.00	-	20.11.2023	31.10.2023
98	Bangladesh Services Ltd.	202306	19.12.23	-	-	28.11.2023	09.11.2023
99	Samorita Hospital Ltd.	202306	19.12.23	-	-	20.11.2023	29.10.2023

Sl.	Name of Company	Year-End	Date of AGM	% of Dividend		Record Date	Dividend Declaration Date
				Cash	Stock		
100	Titas Gas Trans. & Dist. Co. Ltd.	202306	20.12.23	5.00	-	15.11.2023	26.10.2023
101	MJL Bangladesh PLC	202306	20.12.23	50.00	-	16.11.2023	29.10.2023
102	Sonali Paper & Board Mills Ltd.	202306	20.12.23	40.00	-	16.11.2023	31.10.2023
103	Stylecraft Limited	202306	20.12.23	1.00	-	19.11.2023	30.10.2023
104	Crown Cement PLC.	202306	20.12.23	20.00	-	20.11.2023	23.10.2023
105	Anlima Yarn Dyeing Ltd.	202306	20.12.23	-	-	20.11.2023	29.10.2023
106	Bashundhara Paper Mills Limited	202306	20.12.23	11.00	-	21.11.2023	29.10.2023
107	Metro Spinning Ltd.	202306	20.12.23	-	-	22.11.2023	29.10.2023
108	Usmania Glass Sheet Factory Ltd.	202306	20.12.23	-	-	22.11.2023	01.11.2023
109	Far Chemical Industries Ltd.	202306	21.12.23	-	-	12.11.2023	19.10.2023
110	R.N. Spinning Mills Ltd.	202306	21.12.23	-	-	12.11.2023	19.10.2023
111	Mir Akhter Hossain Limited	202306	21.12.23	12.50	-	15.11.2023	23.10.2023
112	M.L. Dyeing Limited	202306	21.12.23	2.00	-	16.11.2023	25.10.2023
113	BDCOM Online Ltd.	202306	21.12.23	10.00	-	16.11.2023	29.10.2023
114	Delta Life Insurance Co. Ltd	202112	21.12.23	30.00	-	16.11.2023	29.10.2023
115	Olympic Industries Limited	202306	21.12.23	60.00	-	16.11.2023	29.10.2023
116	Runner Automobiles PLC	202306	21.12.23	-	-	19.11.2023	19.10.2023
117	Shasha Denims Limited	202306	21.12.23	10.00	-	19.11.2023	29.10.2023
118	Dulamia Cotton Spinning Mills Ltd.	202306	21.12.23	-	-	21.11.2023	29.10.2023
119	JMI Syringes & Medical Devices Ltd.	202306	21.12.23	10.00	-	22.11.2023	31.10.2023

Sl.	Name of Company	Year-End	Date of AGM	% of Dividend		Record Date	Dividend Declaration Date
				Cash	Stock		
120	Olympic Accessories Limited	202306	21.12.23	-	-	23.11.2023	26.10.2023
121	Information Services Network Ltd.	202306	21.12.23	1.00	-	23.11.2023	01.11.2023
122	FAS Finance & Investment Limited	202212	21.12.23	-	-	26.11.2023	06.11.2023
123	Rangpur Dairy & Food Products Ltd.	202306	21.12.23	5.00	-	05.12.2023	31.10.2023
124	Global Heavy Chemicals Limited	202306	21.12.23	-	-	06.12.2023	16.11.2023
125	Intraco Refueling Station Limited	202306	23.12.23	10.00	-	16.11.2023	29.10.2023
126	Hwa Well Textiles (BD) PLC	202306	23.12.23	25.00	-	19.11.2023	29.10.2023
127	Generation Next Fashions Limited	202306	23.12.23	1.00	-	20.11.2023	29.10.2023
128	Paramount Textile PLC	202306	23.12.23	10.00	-	21.11.2023	29.11.2023
129	Zeal Bangla Sugar Mills Ltd.	202306	23.12.23	-	-	21.11.2023	31.10.2023
130	Western Marine Shipyard Limited	202306	23.12.23	-	-	04.12.2023	14.11.2023
131	Investment Corporation of Bangladesh Limited	202306	23.12.23	2.50	2.5	29.11.2023	09.11.2023
132	Beacon Pharmaceuticals Ltd.	202306	24.12.23	16.00	-	16.11.2023	23.10.2023
133	GQ Ball Pen Industries Ltd.	202306	24.12.23	2.50	-	16.11.2023	29.10.2023
134	Zahintex Industries Limited	202306	24.12.23	-	-	16.11.2023	29.10.2023
135	Bangladesh Autocars Ltd.	202306	24.12.23	2.00	-	20.11.2023	29.10.2023
136	Baraka Power Limited	202306	24.12.23	5.00	-	20.11.2023	19.10.2023
137	Baraka Patenga Power Limited	202306	24.12.23	5.00	-	20.11.2023	29.10.2023
138	BD Thai Food & Beverage Limited	202306	24.12.23	-	-	20.11.2023	29.10.2023
139	Genex Infosys PLC	202306	24.12.23	6.00	4	20.11.2023	29.10.2023

Sl.	Name of Company	Year-End	Date of AGM	% of Dividend		Record Date	Dividend Declaration Date
				Cash	Stock		
140	Legacy Footwear Ltd.	202306	25.12.23	0.50	-	30.11.2023	12.11.2023
141	eGeneration Limited	202306	26.12.23	10.00	-	08.11.2023	27.09.2023
142	Index Agro Industries Limited	202212	26.12.23	20.00	-	16.11.2023	26.10.2023
143	Fine Foods Limited	202306	26.12.23	1.25	-	16.12.2023	29.10.2023
144	National Feed Mill Limited	202306	26.12.23	-	-	22.11.2023	31.10.2023
145	Zaheen Spinning Limited	202306	26.12.23	0.25	-	23.11.2023	29.10.2023
146	CVO Petrochemical Refinery PLC	202306	26.12.23	5.00	-	23.11.2023	30.10.2023
147	Orion Infusion Ltd.	202306	26.12.23	10.00	-	20.11.2023	01.11.2023
148	GBB Power Limited	202306	26.12.23	2.00	-	30.11.2023	29.10.2023
149	Sea Pearl Beach Resort & Spa Ltd.	202306	26.12.23	17.00	-	30.11.2023	29.10.2023
150	GSP Finance Company (Bangladesh) Ltd.	202212	26.12.23	-	-	30.11.2023	13.11.2023
151	Orion Pharma Ltd.	202306	26.12.23	10.00	-	30.12.2023	13.11.2023
152	National Polymer Industries PLC	202306	27.12.23	10.50	-	19.11.2023	29.10.2023
153	Shepherd Industries PLC	202306	27.12.23	5.00	-	20.11.2023	29.10.2023
154	Renwick Jajneswar & Co (Bd) Ltd.	202306	27.12.23	-	-	21.11.2023	29.10.2023
155	Shyampur Sugar Mills Limited	202306	27.12.23	-	-	21.11.2023	29.10.2023
156	Lub-rref (Bangladesh) Limited	202306	27.12.23	2.00	-	22.11.2023	05.11.2023
157	Tosrifa Industries Limited	202306	27.12.23	3.50	-	23.11.2023	29.10.2023
158	Hakkani Pulp & Paper Mills Ltd.	202306	27.12.23	2.00	-	23.11.2023	01.11.2023
159	Bd.Thai Aluminium Ltd.	202306	27.12.23	-	-	26.11.2023	06.11.2023

Sl.	Name of Company	Year-End	Date of AGM	% of Dividend		Record Date	Dividend Declaration Date
				Cash	Stock		
160	Sonargaon Textiles Ltd.	202306	27.12.23	-	-	27.11.2023	26.10.2023
161	Emerald Oil Industries Limited	202306	27.12.23	10.00	-	29.11.2023	02.11.2023
162	National Tubes Ltd.	202306	27.12.23	-	-	29.11.2023	09.11.2023
163	Libra Infusions Limited	202306	27.12.23	30.00	50	12.11.2023	22.10.2023
164	Gemini Sea Food PLC	202306	27.12.23	20.00	75	16.11.2023	29.10.2023
165	Meghna Cement Mills PLC	202306	27.12.23	5.00	5	03.12.2023	08.11.2023
166	BSRM Steels Limited	202306	28.12.23	25.00	-	08.11.2023	15.10.2023
167	Bangladesh Steel Re-Rolling Mills Limited	202306	28.12.23	25.00	-	08.11.2023	15.10.2023
168	Bangladesh Export Import Company Ltd.	202306	28.12.23	10.00	-	13.11.2023	22.10.2023
169	Beximco Pharmaceuticals Ltd.	202306	28.12.23	35.00	-	13.11.2023	22.10.2023
170	Shinepukur Ceramics Ltd.	202306	28.12.23	3.00	-	13.11.2023	22.11.2023
171	ACI Limited	202306	28.12.23	40.00	-	16.11.2023	29.10.2023
172	ACI Formulations Ltd.	202306	28.12.23	25.00	-	16.11.2023	29.10.2023
173	Premier Cement Mills Limited	202306	28.12.23	10.00	-	16.11.2023	29.10.2023
174	Daffodil Computers Ltd.	202306	28.12.23	5.00	-	16.11.2023	31.10.2023
175	Navana Pharmaceuticals PLC	202306	28.12.23	13.00	-	19.11.2023	23.10.2023
176	Agricultural Marketing Company Ltd. (Pran)	202306	28.12.23	32.00	-	19.11.2023	29.10.2023
177	IFAD Autos PLC.	202306	28.12.23	10.00	-	19.11.2023	29.10.2023
178	Indo-Bangla Pharmaceuticals Ltd.	202306	28.12.23	-	-	19.11.2023	29.10.2023
179	Rangpur Foundry Ltd.	202306	28.12.23	23.00	-	19.11.2023	29.10.2023

Sl.	Name of Company	Year-End	Date of AGM	% of Dividend		Record Date	Dividend Declaration Date
				Cash	Stock		
180	Advent Pharma Ltd.	202306	28.12.23	2.00	-	20.11.2023	29.10.2023
181	Khulna Power Company Ltd.	202306	28.12.23	10.00	-	20.11.2023	29.10.2023
182	Navana CNG Limited	202306	28.12.23	10.00	-	20.11.2023	29.10.2023
183	Saiham Textile Mills Ltd.	202306	28.12.23	-	-	20.12.2023	29.10.2023
184	Saiham Cotton Mills Limited	202306	28.12.23	-	-	21.11.2023	29.10.2023
185	Salvo Chemical Industry Limited	202306	28.12.23	5.00	-	23.11.2023	31.10.2023
186	Simtex Industries Limited	202306	28.12.23	10.00	-	23.11.2023	29.10.2023
187	SK Trims & Industries Limited	202306	28.12.23	3.00	-	23.11.2023	29.11.2023
188	Central Pharmaceuticals Limited	202306	28.12.23	-	-	23.11.2023	01.11.2023
189	Aman Cotton Fibrous Limited	202306	28.12.23	10.00	-	26.11.2023	29.10.2023
190	Summit Alliance Port Limited	202306	28.12.23	12.00	-	26.11.2023	29.10.2023
191	Aman Feed Limited	202306	28.12.23	10.00	-	27.11.2023	29.10.2023
192	Wata Chemicals Limited	202306	28.12.23	15.00	-	28.11.2023	29.10.2023
193	Mozaffar Hossain Spinning Mills Ltd.	202306	28.12.23	2.00	-	29.11.2023	29.10.2023
194	Golden Son Limited	202306	28.12.23	1.00	-	29.11.2023	07.11.2023
195	Hamid Fabrics PLC	202306	28.12.23	5.00	-	30.11.2023	25.10.2023
196	Alif Industries Limited	202306	28.12.23	17.00	-	30.11.2023	29.11.2023
197	Alif Manufacturing Company Ltd.	202306	28.12.23	1.00	-	30.11.2023	29.10.2023
198	Atlas Bangladesh Ltd.	202306	28.12.23	-	-	30.11.2023	12.11.2023
199	Silva Pharmaceuticals Limited	202306	28.12.23	1.00	-	03.12.2023	29.10.2023

Sl.	Name of Company	Year-End	Date of AGM	% of Dividend		Record Date	Dividend Declaration Date
				Cash	Stock		
200	C & A Textiles Limited	202306	28.12.23	0.50	-	06.12.2023	20.11.2023
201	GPH Ispat Ltd.	202306	28.12.23	5.00	5	23.11.2023	29.10.2023
202	Pharma Aids Ltd.	202306	28.12.23	10.00	-	07.12.2023	26.10.2023
203	Dragon Sweater and Spinning Limited	202306	28.12.23	1.00	-	07.12.2023	14.11.2023
204	Bangladesh Monospool Paper Manufacturing Co. Limited	202306	28.12.23	15.00	10	10.12.2023	01.11.2023
205	Paper Processing & Packaging Limited	202306	28.12.23	12.00	8	10.12.2023	01.11.2023
206	VFS Thread Dyeing Limited	202306	30.12.23	2.00	-	16.11.2023	29.10.2023
207	Bangas Ltd.	202306	30.12.23	3.00	-	20.11.2023	26.10.2023
208	Mithun Knitting and Dyeing Ltd.	202306	30.12.23	-	-	20.11.2023	26.10.2023
209	Tallu Spinning Mills Limited	202306	30.12.23	-	-	20.11.2023	26.10.2023
210	Taufika Foods and Lovello Ice-cream PLC	202306	30.12.23	10.00	-	20.11.2023	30.10.2023
211	Safko Spinnings Mills Ltd.	202306	30.12.23	10.00	-	23.11.2023	30.10.2023
212	Khan Brothers PP Woven Bag Industries Limited	202306	30.12.23	-	-	05.12.2023	15.11.2023
213	Aramit Limited	202306	30.12.23	25.00	-	06.12.2023	16.11.2023
214	Aramit Cement Limited	202306	30.12.23	-	-	06.12.2023	16.11.2023
215	Dominage Steel Building Systems Limited	202306	30.12.23	0.50	-	06.12.2023	16.11.2023
216	Monno Ceramic Industries Ltd.	202306	30.12.23	10.00	-	07.12.2023	19.11.2023
217	Standard Ceramic Industries Ltd.	202306	30.12.23	-	-	07.12.2023	19.11.2023
218	Aftab Automobiles Limited	202306	27.12.23	10.00	-	19.12.2023	30.11.2023
219	Confidence Cement PLC	202306	27.12.23	5.00	5.00	07.12.2023	10.01.2024

Sl.	Name of Company	Year-End	Date of AGM	% of Dividend		Record Date	Dividend Declaration Date
				Cash	Stock		
220	Far East Knitting & Dyeing Industries Ltd.	202306	31.12.23	10.00	-	16.11.2023	23.10.2023
221	Aziz Pipes Ltd.	202306	31.12.23	-	-	16.11.2023	29.10.2023
222	aamra technologies Limited	202306	31.12.23	10.00	-	19.11.2023	29.10.2023
223	Golden Harvest Agro Industries Ltd.	202306	31.12.23	1.00	-	21.11.2023	29.10.2023
224	Pacific Denims Limited	202306	31.12.23	1.00	-	21.11.2023	29.10.2023
225	Fortune Shoes Limited	202306	31.12.23	5.00	-	23.11.2023	29.10.2023
226	Oimex Electrode Limited	202306	31.12.23	-	-	30.11.2023	12.11.2023
227	Queen South Textile Mills Limited	202306	31.12.23	6.00	-	05.12.2023	12.11.2023
228	S. S. Steel Limited	202306	31.12.23	2.00	-	05.12.2023	16.11.2023
229	Intech Limited	202306	31.12.23	-	-	07.12.2023	16.11.2023
230	Fu-Wang Ceramic Industries Ltd.	202306	31.12.23	2.00	-	07.12.2023	19.11.2023
231	Tamijuddin Textile Mills PLC	202306	31.12.23	20.00	-	10.12.2023	21.11.2023
232	Samata Leather Complex Ltd.	202306	31.12.23	0.40	-	20.12.2023	30.11.2023
233	Shahjibazar Power Co. Ltd.	202306	09.01.24	11.00	-	03.12.2023	29.10.2023
234	Silco Pharmaceuticals Limited	202306	13.01.24	3.00	-	18.12.2023	27.11.2023
235	The Dacca Dyeing and Manufacturing Co. Ltd.	202306	19.01.24	-	-	20.12.2023	27.11.2023
236	Padma Oil Co.Ltd.	202306	20.01.24	135.00	-	28.11.2023	08.11.2023
237	JMI Hospital Requisite Manufacturing Limited	202306	22.01.24	5.00	-	26.11.2023	19.10.2023
238	H.R. Textile Ltd.	202306	23.01.24	5.00	-	04.12.2023	12.11.2023
239	S. Alam Cold Rolled Steels Ltd.	202306	23.01.24	5.00	-	07.12.2023	01.11.2023

Sl.	Name of Company	Year-End	Date of AGM	% of Dividend		Record Date	Dividend Declaration Date
				Cash	Stock		
240	Sinobang laIndustries Limited	202306	25.01.24	10.00	-	30.11.2023	26.10.2023
241	Esquire Knit Composite PLC	202306	25.01.24	10.00	-	05.12.2023	31.10.2023
242	Coppertech Industries Limited	202306	25.01.24	2.00	-	21.12.2023	03.12.2023
243	Dhaka Electric Supply Company Ltd.	202306	27.01.24	10.00	-	23.11.2023	16.10.2023
244	Jamuna Oil Com. Ltd.	202306	27.01.24	130.00	-	14.12.2023	13.11.2023
245	Eastern Lubricants Ltd.	202306	03.02.24	60.00	10	04.12.2023	05.11.2023
246	Anwar Galvanizing Ltd.	202306	04.02.24	10.00	-	04.12.2023	29.10.2023
247	Maksons Spinning Mills Ltd.	202306	05.02.24	-	-	06.12.2023	29.10.2023
248	Eastern Cables Ltd.	202306	05.02.24	3.00	-	13.12.2023	07.11.2023
249	Meghna Petroleum Ltd.	202306	10.02.24	160.00	-	11.12.2023	09.11.2023
250	Power Grid Company of Bangladesh Ltd.	202306	10.02.24	10.00	-	13.11.2023	09.11.2023
251	Savar Refractories Limited	202306	17.02.24	-	-	16.11.2023	29.10.2023
252	RAK Ceramics (BD) Ltd.	202312	19.03.24	10.00	-	19.02.2024	30.01.2024
253	Beach Hatchery Ltd.	202306	28.03.24	2.00	-	16.11.2023	29.10.2023
254	Envoy Textiles Limited	202306	28.03.24	15.00	-	15.02.2024	24.01.2024
255	Singer Bangladesh Ltd.	202312	28.03.24	53.00	-	22.02.2024	30.01.2024
256	British American Tobacco Bangladesh Company Limited	202312	28.03.24	100.00	-	03.03.2024	11.02.2024
257	City General Insurance Co. Ltd.	202312	28.03.24	12.00	-	12.03.2024	19.02.2024
258	Sena Kalyan Insurance Company Limited	202312	31.03.24	13.50	-	03.03.2024	08.02.2024
259	Green Delta Insurance Company PLC	202312	31.03.24	25.00	-	06.03.2024	13.02.2024

Sl.	Name of Company	Year-End	Date of AGM	% of Dividend		Record Date	Dividend Declaration Date
				Cash	Stock		
260	Reliance Insurance Ltd.	202312	30.03.24	25.00	-	06.03.2024	13.02.2024
261	Crystal Insurance Company Limited	202312	30.03.24	7.00	10.00	12.03.2024	19.02.2024
262	Miracle Industries Limited	202306	15.04.24	-	-	29.02.2024	26.09.2023
263	Summit Power Ltd.	202306	18.04.24	10.00	-	14.03.2024	22.02.2024
264	Jamuna Oil Com. Ltd.	202312	24.04.24	10.00	-	18.03.2024	29.10.2024
265	Robi Axiata PLC	202312	29.04.24	12.50	12.50	02.04.2024	11.03.2024
266	Eastern Bank PLC	202312	29.04.24	15.00	-	04.04.2024	29.10.2024
267	IDLC Finance PLC	202312	30.04.24	10.00	-	21.03.2024	28.02.2024
268	United Insurance Company Ltd.	202312	30.04.24	10.00	-	02.04.2024	10.30.2024
269	Eastland Insurance Company Limited	202312	02.05.24	125.00	-	29.02.2024	06.02.2024
270	Heidelberg Cement Bangladesh Ltd.	202312	08.05.24	25.00	-	02.04.2024	07.03 .2024
271	Lafarge Holcim Limited	202312	14.05.24	50.00	-	24.03.2024	03.03.2024
272	Unilever Consumer Care Limited	202312	14.05.24	300.00	-	02.04.2024	10.03.2024
273	DBH Finance PLC	202312	16.05.24	15.00	-	09.04.2024	12.03.2024
274	IPDC Finance Limited	202312	16.05.24	5.00	5.00	24.04.2024	31.03.2024
275	Sonali Aansh Industries Limited	202306	21.05.24	10.00	100.00	07.12.2023	06.11.2023
276	Reckitt Benckiser (Bangladesh) PLC	202312	28.05.24	550.00	-	25.04.2024	31.03.2024
277	City Bank PLC	202312	30.05.24	15.00	10.00	23.04.2024	28.03.2024
278	Uttara Bank PLC	202312	23.05.24	17.50	12.50	07.05.2024	16.04.2024
279	Prime Bank PLC	202312	30.05.24	17.50	-	07.05.2024	16.04.2024

Sl.	Name of Company	Year-End	Date of AGM	% of Dividend		Record Date	Dividend Declaration Date
				Cash	Stock		
280	BRAC Bank PLC	202312	30.05.24	10.00	10.00	07.05.2024	03.04.2024
281	Mercantile Bank PLC	202312	30.05.24	10.00	-	08.05.2024	17.04.2024
282	Shahjalal Islami Bank PLC	202312	30.05.24	14.00	-	08.05.2024	18.04.2024
283	Mutual Trust BankPLC	202312	30.06.24	10.00	-	09.05.2024	18.04.2024
284	Pubali Bank PLC	202312	05.06.24	12.50	12.50	12.05.2024	21.04.2024
285	Dutch-Bangla Bank PLC	202312	09.06.24	17.50	17.50	09.05.2024	18.04.2024
286	ACME Pesticides Limited	202306	10.06.24	0.10	-	22.04.2024	04.04.2024
287	Peoples Insurance Company Ltd.	202312	10.06.24	10.00	-	27.05.2024	30.04.2024
288	Prime Insurance Company Ltd.	202312	11.06.24	12.00	-	21.05.2023	30.04.2024
289	Central Insurance Company Ltd.	202312	12.06.24	12.00	-	30.04.2024	24.03.2024
290	Premier Bank PLC	202312	12.06.24	12.50	-	21.05.2024	30.04.2024
291	AB Bank PLC	202312	12.06.24	-	2.00	26.05.2024	05.05.2024
292	United Finance Limited	202312	13.06.24	6.00	-	16.05.2024	25.04.2024
293	Midland Bank PLC	202312	13.06.24	5.00	-	20.05.2024	29.04.2024
294	NRBC Bank PLC	202312	13.06.24	11.00	-	21.05.2024	02.05.2024
295	Meghna Insurance Company Ltd.	202312	19.06.24	10.00	-	30.05.2024	23.04.2024
296	SBAC Bank PLC	202312	22.06.24	2.00	-	29.05.2024	02.05.2024
297	Bank Asia PLC	202312	23.06.24	15.00	-	20.05.2024	29.04.2024
298	First Security Islami Bank PLC	202312	24.06.24	5.00	5.00	26.05.2024	30.04.2024
299	Islami Bank Bd Ltd.	202312	25.06.24	10.00	-	16.05.2024	25.04.2024

Sl.	Name of Company	Year-End	Date of AGM	% of Dividend		Record Date	Dividend Declaration Date
				Cash	Stock		
300	IBBL Mudaraba Perpetual Bond	202312	25.06.24	7.44	-	16.05.2024	25.04.2024
301	Dhaka Bank PLC	202312	25.06.24	10.00	-	19.05.2024	29.04.2024
302	Bangladesh National Insurance Company Limited	202312	25.06.24	20.00	-	27.05.2024	25.04.2024
303	Paramount Insurance Co. Ltd.	202312	26.06.24	10.00	-	06.05.2024	04.04.2024
304	Social Islami Bank PLC	202312	26.06.24	5.00	5.00	19.05.2024	25.04.2024
305	Standard Insurance Ltd.	202312	26.06.24	10.00	-	23.05.2024	30.04.2024
306	Pragati Insurance Ltd.	202312	26.06.23	20.00	7.00	27.05.2024	29.04.2024
307	Agrani Insurance Co. Ltd.	202312	27.06.23	12.00	-	24.04.2024	31.03.2024
308	Nitol Insurance Co. Ltd.	202312	27.06.23	10.50	-	19.05.2024	28.04.2024
309	Export Import (Exim) Bank of Bangladesh PLC	202312	27.06.23	10.00	-	26.05.2024	23.04.2024
310	Mercantile Islami Insurance PLC	202312	27.06.23	10.00	-	26.05.2024	30.04.2024
311	Republic Insurance Company Ltd.	202312	27.06.23	10.00	-	26.05.2024	30.04.2024
312	IFIC Bank PLC	202312	27.06.23	-	5.00	26.05.2024	30.04.2024
313	Asia Pacific General Insurance Co. Ltd.	202312	27.06.23	12.00	-	30.05.2024	29.04.2024
314	NRB Bank Limited	202312	27.06.23	10.00	-	02.06.2024	29.04.2024
315	United Commercial Bank PLC	202312	30.06.23	5.00	5.00	23.06.2024	30.04.2024
316	National Life Insurance Co Ltd.	202312	30.06.23	38.00	-	12.06.2024	26.05.2024

List of Foreign Training/Workshop of the Commission's Officer's in the FY 2023-2024

Sl.	Name and Designation	Title of Training Program	Country	Duration of Program
1	Professor Shibli Rubayat-UI-Islam Chairman	Bangladesh Trade and Business Summit	South Africa & Mauritius	22.08.2023 to 28.08.2023
		Bangladesh Trade and Investment Summit	France, Germany & Belgium	23.10.2023 to 03.11.2023
		The 2023 GEMC Annual Meeting and Conference	Egypt	20.11.2023 to 23.11.2023
		Discussion on New Technologies, Agility and Innovation, Improved Productivity, Digital Trends for the Future of Finance	China	21.02.2024 to 25.02.2024
		IOSCO Asia-Pacific Regional Committee Meeting	Hong Kong (China)	26.02.2024 to 28.02.2024
		Asia-Pacific Blue Economy Forum	Maldives	13.05.2024 to 14.05.2024
		IOSCO 49th Annual Meeting	Greece	26.05.2024 to 29.05.2024
2	Dr. Shaikh Shamsuddin Ahmed Commissioner	Specialized professional training program on Commodity Derivatives at MCX, India	India	20.08.2023 to 26.08.2023
		Study tour for the development of National Sustainability Bond Guideline in Bangladesh	China and Indonesia	03.12.2023 to 09.12.2023
		Asia Financial Institutions Forum (AFIFORUM)	Thailand	22.01.2024 to 24.01.2024
		Workshop on Strengthening Regional Partnerships for Climate Transition	Thailand	26.03.2024 to 27.03.2024
3	Mr. Md. Abdul Halim	Bangladesh Trade and Investment Summit	France, Germany & Belgium	23.10.2023 to 03.11.2023
4	Mr. Md. Mahbubul Alam Executive Director	Bangladesh Trade and Business Summit	South Africa & Mauritius	22.08.2023 to 28.08.2023
		Bangladesh Trade and Investment Summit	France, Germany & Belgium	23.10.2023 to 03.11.2023

Sl.	Name and Designation	Title of Training Program	Country	Duration of Program
		Discussion on New Technologies, Agility and Innovation, Improved Productivity, Digital Trends for the Future of Finance	China	21.02.2024 to 25.02.2024
		IOSCO Asia-Pacific Regional Committee Meeting	Hong Kong (China)	26.02.2024 to 28.02.2024
5	Mr. Mohammad Rezaul Karim Executive Director	Specialized professional training program on Commodity Derivatives at MCX, India	India	20.08.2023 to 26.08.2023
6	Mr. Prodip Kumar Basak Executive Director	Bangladesh Trade and Business Summit	South Africa & Mauritius	22.08.2023 to 28.08.2023
7	Mr. Md. Abul Kalam Director	Specialized professional training program on Commodity Derivatives at MCX, India	India	20.08.2023 to 26.08.2023
8	Mr. Md. Monsur Rahman Director	Bangladesh Trade and Investment Summit	France, Germany & Belgium	23.10.2023 to 03.11.2023
9	Farhana Faruqui Director	IOSCO 49 th Annual Meeting	Greece	26.05.2024 to 29.05.2024
10	Mr. Md. Yusuf Bhuiyan Additional Director	GLOPAC (Global Financial Partnership Centre) Fellowship Program	Japan	07.03.2024 to 21.03.2024
11	Mr. SK. Md. Lutful Kabir Additional Director	Study tour for the development of National Sustainability Bond Guideline in Bangladesh	China & Indonesia	03.12.2023 to 09.12.2023
12	Mr. Mohammed Nazrul Islam Additional Director	Specialized professional training program on Commodity Derivatives at MCX, India	India	20.08.2023 to 26.08.2023
13	Mohammad Mahmoodul Hoque Director	Specialized professional training program on Commodity Derivatives at MCX, India	India	20.08.2023 to 26.08.2023
14	Mr. Syed Muhammad Golam Mowla, Joint Director	Study tour for the development of National Sustainability Bond Guideline in Bangladesh	China & Indonesia	03.12.2023 to 09.12.2023
15	Mr. Md. Rashidul Alam Joint Director	Bangladesh Trade and Business Summit	South Africa & Mauritius	22.08.2023 to 28.08.2023
16	Mr. Mohammad Asif Iqbal Deputy Director	Study tour for the development of National Sustainability Bond Guideline in Bangladesh	China & Indonesia	03.12.2023 to 09.12.2023

Sl.	Name and Designation	Title of Training Program	Country	Duration of Program
17	Mr. Md. Rafiqunnabi Deputy Director	Fintech Market Development and Policy Implications	India	17.07.2023 to 21.07.2023
18	Mr. Md. Mustafizur Rahman Deputy Director	Fintech Market Development and Policy Implications	India	17.07.2023 to 21.07.2023
19	Mr. Muhammad Oarisul Hasan Rifat Deputy Director	Fintech Market Development and Policy Implications	India	17.07.2023 to 21.07.2023
20	Mr. Gour Chand Sarker Deputy Director	Fintech Market Development and Policy Implications	India	17.07.2023 to 21.07.2023
21	Mr. Md. Sohidul Islam Deputy Director	Fintech Market Development and Policy Implications	India	17.07.2023 to 21.07.2023
22	Mr. Sakil Ahmed Assistant Director	Fintech Market Development and Policy Implications	India	17.07.2023 to 21.07.2023
23	Mr. Jonny Hossain Assistant Director	Study tour for the development of National Sustainability Bond Guideline in Bangladesh	China & Indonesia	03.12.2023 to 09.12.2023
24	Mr. Mahmudur Rahman Assistant Director	Specialized professional training program on Commodity Derivatives at MCX, India	India	20.08.2023 to 26.08.2023
25	Mr. Md. Ariful Islam Assistant Director	Study tour for the development of National Sustainability Bond Guideline in Bangladesh	China & Indonesia	03.12.2023 to 09.12.2023
26	Mr. Mohammed Minhaz Bin Salim Assistant Director	Fintech Market Development and Policy Implications	India	17.07.2023 to 21.07.2023
27	Mr. Md. Atikur Rahman Assistant Director	Specialized professional training program on Commodity Derivatives at MCX, India	India	20.08.2023 to 26.08.2023
28	Ms. Farhana Waleja Assistant Director	Fintech Market Development and Policy Implications	India	17.07.2023 to 21.07.2023
29	Ms. Maksuda Mila Assistant Director	Fintech Market Development and Policy Implications	India	17.07.2023 to 21.07.2023

Sl.	Name and Designation	Title of Training Program	Country	Duration of Program
30	Ms. Farzana Yeasmin Assistant Director	Fintech Market Development and Policy Implications	India	17.07.2023 to 21.07.2023
31	Ms. Fabliha Fairuj Accounts Officer	Fintech Market Development and Policy Implications	India	17.07.2023 to 21.07.2023
32	Mr. Md. Sazzad Hossain Assistant Director	Specialized professional training program on Commodity Derivatives at MCX, India	India	20.08.2023 to 26.08.2023
33	Ms. ASMA-UL-HUSNA Personal Officer	Bangladesh Trade and Investment Summit	France, Germany & Belgium	23.10.2023 to 03.11.2023

Abbreviations

AGM	Annual General Meeting
AML	Anti Money Laundering
APRC	Asia Pacific Regional Committee
BAS	Bangladesh Accounting Standards
BASM	Bangladesh Academy For Securities Markets
BFIU	Bangladesh Financial Intelligence Unit
BICM	Bangladesh Institute of Capital Market
BSEC	Bangladesh Securities and Exchange Commission
CDBL	Central Depository Bangladesh Limited
CDP	Committee for Development Policy
CFT	Combating the Financing of Terrorism
CID	Criminal Investigation Department
CGC	Corporate Governance Code
CSE	Chittagong Stock Exchange PLC
DP	Depository Participants
DSE	Dhaka Stock Exchange PLC
EI	Eligible Investors
GI	General Investors
IAS	International Accounting Standards
ICAB	Institute of Chartered Accountants of Bangladesh
IFRS	International Financial Reporting Standards
IOSCO	International Organization of Securities Commissions
IWMSS	Instant Watch Market Surveillance System
LBSL	Lanka Bangla Securities Limited
MER	Mutual Evaluation Report
MOU	Memorandum of Understanding
NSI	National Security Intelligence
NTMC	National Telecommunication Monitoring Center
OTC	Over The Counter Market
PGDCM	Post Graduate Diploma in Capital Market
SDG	Sustainable Development Goals
TREC	Trading Right Entitlement Certificate
WIW	World Investor Week